



Economic Development and Finance
Standing Committee Meeting Agenda
Monday, December 2, 2019
Immediately upon adjournment of earlier committee

Location:

Municipal Office Building
701 N 7th Street
Kansas City, Kansas 66101
5th Floor Conference Room (Suite 515)

Name

Absent

Commissioner Tom Burroughs, Chair

☐

Commissioner Brian McKiernan

☐

Commissioner Gayle E. Townsend

☐

Commissioner Ann Brandau-Murguia

☐

Commissioner James Walters

☐

Jeff Bryant, BPU Member

☐

- I.** **Call to Order/Roll Call**
- II.** **Revisions to December 2, 2019 Agenda**
- III.** **Approval of standing committee minutes. None**
- IV.** **Committee Agenda**

Item No. 1 - RESOLUTION: FIRST AMENDMENT TO KC FOODIE PARK DEVELOPMENT AGREEMENT

Synopsis: A resolution adopting the First Amendment to KC Foodie Park Development Agreement, submitted by Katherine Carttar, Director of Economic Development.

Tracking #: 191063

Item No. 2 - ORDINANCE: OMNIBUS AMENDMENT OF BOND DOCUMENTS (PHVIF KANSAS CITY)

Synopsis: An ordinance approving an Omnibus Amendment of bond documents relating to its taxable industrial revenue bonds (PHVIF Kansas City Hilton Garden Inn Project), Series 2019, submitted by Katherine Carttar, Director of Economic Development. The UG is not responsible for payment of the bonds.

Tracking #: 191065

Item No. 3 - RESOLUTION: SALE OF MUNICIPAL TEMPORARY NOTES, GOBS,

AND PBC REVENUE BONDS

Synopsis: A resolution authorizing the offering for sale of municipal temporary notes and general obligation bonds of the Unified Government and requesting the Public Building Commission issue its leasehold revenue bonds, submitted by Debbie Jonscher, Deputy Chief Financial Officer.

Tracking #: 191066

Item No. 4 - PRESENTATION/RESOLUTION: CASH AND INVESTMENT POLICY

Synopsis: A presentation of the Unified Government's Cash and Investments Policy and a resolution adopting said policy, submitted by Rick Mikesic, Director of Revenue/County Treasurer. This annual review and adoption is required by State statute.

Tracking #: 191061

Item No. 5 - RESOLUTION: REVISIONS TO SPECIAL REVENUE FUND RESERVE POLICY

Synopsis: A resolution revising the Special Revenue Funds Operating Reserve Policy to adjust the minimum reserve targets, submitted by Kathleen VonAchen, Chief Financial Officer.

Tracking #: 191068

Item No. 6 - REPORT: THIRD QUARTER BUDGET TO ACTUAL

Synopsis: Third Quarter Fiscal Year 2019 Budget to Actuals Report, submitted by Kathleen VonAchen, Chief Financial Officer; and Reginald Lindsey, Budget Manager.

For information only.

Tracking #: 191062

Item No. 7 - REPORT: THIRD AND FOURTH QUARTER BUDGET REVISIONS

Synopsis: Third Quarter Budget Revisions \$10K or greater and Fourth Quarter Budget Revisions \$50K or greater, submitted by Reginald Lindsey, Budget Manager.

For information only.

Tracking #: 191073

V. Public Agenda

Item No. 1 - APPEARANCE: TSCHER MANCK

Synopsis: Appearance of Tscher Manck regarding:

- Northeast Master Plan
- BPU bills

Tracking #: 191074

VI. Adjourn



Staff Request for Commission Action

Tracking No. 191063

Full Commission Meeting Date: 12/12/19

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: No

<u>Date:</u> 11/19/2019	<u>Contact Name:</u> Katherine Carttar	<u>Contact Phone:</u> x5176	<u>Contact Email:</u> kcarttar@wycokck.org	<u>Department/Division:</u> Economic Development
<u>Item Description:</u> Resolution adopting First Amendment to KC Foodie Park Development Agreement.				
<u>Action Requested:</u> Request approval.				
<u>Budget Impact: (if applicable)</u> Amount: Source: Included In Budget: Other (explain):				
<u>Attachments List:</u> Resolution approving First Amendment to KC Foodie Park DA, First Amendment to KC Foodie Park DA				

RESOLUTION NO. R-____-19

**A RESOLUTION ADOPTING THE FIRST AMENDMENT TO KC
FOODIE PARK DEVELOPMENT AGREEMENT**

WHEREAS, on September 26, 2019 the Unified Government of Wyandotte County/Kansas City, Kansas (the "UG"), KC Foodie Park, LLC, a Delaware limited liability company ("Developer") and Scavuzzo's, Inc., a Kansas corporation d/b/a Scavuzzo's Food Service Company ("Scavuzzo's"), collectively, the ("Parties") entered into that certain KC Foodie Park Development Agreement (the "Agreement"); and

WHEREAS, the Agreement provides that Developer shall close on the Phase 1 Property by December 31, 2019; and

WHEREAS, Developer has been diligently proceeding with its due diligence obligations with respect to the Phase 1 Property but needs a short amount of additional time to close on the Property.

WHEREAS, the Governing Body has determined that it is advisable to enter into an amended Development Agreement with Developer extending the deadline for closing on the Phase 1 Property to March 31, 2020.

**NOW, THEREFORE, BE IT ORDAINED BY THE GOVERNING BODY OF THE
UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS:**

Section 1. That the Unified Government Board of Commissioners hereby approves the First Amendment to KC Foodie Park Development ("First Amendment") in substantially the form attached hereto as Exhibit 1.

Section 2. That the Mayor of the Unified Government is hereby authorized to execute in the name of the Unified Government of Wyandotte County/Kansas City, Kansas, the First Amendment, and any other certificates and instruments as may be necessary or desirable to carry out and comply with the intent of this Resolution.

Section 3. This Resolution shall be effective upon adoption by the Governing Body.

**ADOPTED BY THE UNIFIED GOVERNMENT BOARD OF COMMISSIONERS
THIS 12th DAY OF DECEMBER, 2019.**

ATTEST:

Unified Government Clerk

(Seal)

FIRST AMENDMENT TO KC FOODIE PARK DEVELOPMENT AGREEMENT

THIS FIRST AMENDMENT TO KC FOODIE PARK DEVELOPMENT AGREEMENT (the "First Amendment") is made as of the ____ day of _____, 2019 (the "Effective Date") between the Unified Government of Wyandotte County/Kansas City, Kansas (the "UG"), KC Foodie Park, LLC, a Delaware limited liability company ("Developer") and Scavuzzo's, Inc., a Kansas corporation d/b/a Scavuzzo's Food Service Company ("Scavuzzo's").

RECITALS

- A. The UG, Developer and Scavuzzo's entered into that certain KC Foodie Park Development Agreement dated September 26, 2019 (the "Agreement") for Developer to design, develop, and construct or cause to be designed, developed, or constructed a first-class mixed use development as more fully set forth therein.
- B. The Agreement provides for Developer to acquire the Phase 1 Property pursuant to the terms set forth in the Agreement.
- C. Developer has been diligently proceeding with its due diligence obligations with respect to the Phase 1 Property but needs a short amount of additional time to close on the Property.
- D. The UG, Developer and Scavuzzo's hereby desire to amend the Agreement, all as more particularly set forth below.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the UG and Developer agree as follows:

1. Closing; Section 5.1(a).

(a) Section 5.1(a) is hereby amended as follows:

- (i) deleting the date "December 31, 2019" and inserting the date "March 31, 2020."

IN WITNESS WHEREOF, the parties have caused this First Amendment to be executed as of the day and year first above written.

**THE UNIFIED GOVERNMENT OF WYANDOTTE
COUNTY/KANSAS CITY, KANSAS**

STATE OF KANSAS)
) SS.
COUNTY OF WYANDOTTE)

Printed Name: _____
 Notary Public in and for said State
 Commissioned in Wyandotte County

2

IN WITNESS WHEREOF, the parties hereto have executed these presents as of the day and year first above written.

DEVELOPER:

KC FOODIE PARK, LLC, a Delaware limited liability company

By: _____
Printed Name: _____
Title: _____

STATE OF _____)
) SS.
COUNTY OF _____)

This instrument was acknowledged before me on _____, 2019, by _____, as _____ of KC Foodie Park, LLC, a Delaware limited liability company.

Printed Name: _____
Notary Public in and for said State
Commissioned in _____ County

My commission expires

JOINER OF SCAVUZZO'S, INC.

SCAVUZZO'S, INC., a Kansas corporation d/b/a **SCAVUZZO'S FOOD SERVICE COMPANY** ("Scavuzzo's"), hereby joins in this First Amendment for the limited purpose of joining in Developer's obligations pertaining to Project Area 1 following completion of the Food Service Center, and Scavuzzo's hereby agrees to be jointly and severally liable with Developer for the performance of the obligations of "Developer" pertaining to Project Area 1 as set forth in the Agreement, as amended from time to time.

SCAVUZZO'S, INC., a Kansas corporation d/b/a **SCAVUZZO'S FOOD SERVICE COMPANY**

By: _____
Printed Name: _____
Title: _____

STATE OF _____)
) SS.
COUNTY OF _____)

This instrument was acknowledged before me on _____, 2019, by _____, as _____ of Scavuzzo's, Inc., a Kansas corporation d/b/a Scavuzzo's Food Service Company.

Printed Name: _____
Notary Public in and for said State
Commissioned in _____ County

My commission expires



Staff Request for Commission Action

Tracking No. 191065

Full Commission Meeting Date: 12/12/19

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: No

<u>Date:</u>	<u>Contact Name:</u>	<u>Contact Phone:</u>	<u>Contact Email:</u>	<u>Department/Division:</u>
11/20/2019	Katherine Carttar	x5176	kcarttar@wycokck.org	Economic Development

Item Description:

Ordinance approving and authorizing the Unified Government to enter into an Omnibus Amendment of Bond documents relating to its taxable industrial revenue bonds (PHVIF Kansas City, Project), series 2019.

Original bonds of \$4 Million were approved in December 2018. The project costs have increased to a total of \$5.5 Million, necessitating an additional \$1.5 Million in bonds.

The UG is not responsible for payment of the Bonds.

Action Requested:

Adopt Ordinance authorizing Omnibus Amendment of Bond Documents by the Full Commission for approval on December 12, 2019.

Budget Impact: (if applicable)

Amount:

Source:

Included In Budget:

Other (explain):

Attachments List:

Ordinance (Omnibus Amendment), Omnibus Amendment (PHVIF)

ORDINANCE NO. O-__-19

ORDINANCE APPROVING AND AUTHORIZING THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, TO ENTER INTO AN OMNIBUS AMENDMENT OF BOND DOCUMENTS RELATING TO ITS TAXABLE INDUSTRIAL REVENUE BONDS (PHVIF KANSAS CITY, PROJECT), SERIES 2019.

WHEREAS, the Unified Government of Wyandotte County/Kansas City, Kansas (the “Issuer”), is authorized by K.S.A. 12-1740 to 12-1749d, inclusive, as amended (collectively, the “Act”), to issue revenue bonds, the proceeds of which shall be used for the purpose of paying all or part of the cost of purchasing, acquiring, constructing, reconstructing, improving, equipping, furnishing, repairing, enlarging or remodeling facilities for agricultural, commercial, hospital, industrial, natural resources, recreational development and manufacturing purposes; and

WHEREAS, the governing body of the Issuer, pursuant to Ordinance No. O-24-19 passed on April 11, 2019, found and determined that it was necessary and desirable to issue its revenue bonds to assist in financing a commercial facility (the “Project”) for PHVIF Kansas City, LLC (the “Company”), through the issuance of revenue bonds; and

WHEREAS, pursuant to the Act, the Issuer issued \$4,000,000 principal amount of Taxable Industrial Revenue Bonds (PHVIF Kansas City, LLC Project), Series 2019 (the “Bonds”), under the Trust Indenture dated as of April 1, 2019 (the “Indenture”), between the Issuer and Security Bank of Kansas City, as trustee (the “Trustee”), for the purpose of providing funds to finance the costs of the Project; and

WHEREAS, the Issuer entered into a Lease Agreement dated as of April 1, 2019 (the “Lease Agreement”), by and between the Issuer, as lessor, and the Company, as lessee, pursuant to which the Project was acquired, constructed and equipped and pursuant to which the Issuer leased the Project to the Company, and the Company agreed to pay Lease Payments (as defined in the Indenture) sufficient to pay the principal of and premium, if any, and interest on, the Bonds; and

WHEREAS, the Company and Bondowner have requested that the Issuer and Trustee consider amending the Indenture, the Lease Agreement, and other documents relating to the Bonds (collectively, the “Bond Documents”) to increase the principal amount of the Bonds to \$5,500,000, and the Issuer finds and determines that it is necessary and desirable that the Issuer enter into an Omnibus Amendment of Bond Documents dated as of December 1, 2019 (the “Omnibus Amendment”) to amend and supplement the Bond Documents in connection with the Bonds, a copy of which has been presented to the governing body at this meeting and shall be filed with the records of the Issuer;

NOW, THEREFORE, BE IT ORDAINED BY THE GOVERNING BODY OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, AS FOLLOWS:

Section 1. Approval of Omnibus Amendment; Execution. The governing body of the Issuer hereby determines and declares the intent of the Issuer to acquire, construct and equip the Project out of the proceeds of the Bonds of the Issuer in the principal amount not to exceed \$5,500,000. The form of the Omnibus Amendment is hereby approved. The governing body authorizes the Mayor/CEO and Clerk to execute and deliver the Omnibus Amendment and a new Bond R-2 in substantially the form attached thereto on behalf of the Issuer in substantially the form presented to the governing body on this date.

Section 2. Further Authority. The Issuer shall, and the officers, agents and employees of the Issuer are hereby authorized and directed to, take such further action, and execute such other documents, certificates and instruments, including any required agreements or documentation, as may be necessary or desirable to carry out and comply with the intent of this Ordinance.

Section 3. Effective Date. This Ordinance shall take effect and be in force from and after its passage by the governing body, approval by the Mayor/CEO and publication of the Ordinance or a summary thereof in the official Issuer newspaper.

PASSED by the governing body of the Issuer on December 12, 2019 and **APPROVED AND SIGNED** by the Mayor/CEO.

Mayor/CEO

(Seal)

ATTEST:

Clerk

(Published in *The Wyandotte Echo* on December __, 2019)

SUMMARY OF ORDINANCE NO. _____

On December 12, 2019, the governing body of the Unified Government of Wyandotte County/Kansas City, Kansas passed an ordinance entitled:

ORDINANCE APPROVING AND AUTHORIZING THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, TO ENTER INTO AN OMNIBUS AMENDMENT OF BOND DOCUMENTS RELATING TO ITS TAXABLE INDUSTRIAL REVENUE BONDS (PHVIF KANSAS CITY, PROJECT), SERIES 2019.

The Ordinance authorized the execution of supplemental bond documents between the Unified Government, PHVIF Kansas City, LLC, and Security Bank of Kansas City, as trustee, relating to the Unified Government's outstanding Taxable Industrial Revenue Bonds (PHVIF Kansas City, LLC Project), Series 2019 (the "Bonds"). The supplemental documents amend certain provisions of the original Bond documents relating to the total amount of the project and the Bonds, and the term of the Bonds. A complete text of the Ordinance may be obtained or viewed free of charge at the office of the City Clerk, 701 N. 7th Street, Kansas City, Kansas 66101. A reproduction of the Ordinance is available for not less than 7 days following the publication date of this Summary at <https://www.wycokck.org>.

This Summary is hereby certified to be legally accurate and sufficient pursuant to the laws of the State of Kansas.

DATED: December 12, 2019.

Chief Counsel to Unified Government

OMNIBUS AMENDMENT OF BOND DOCUMENTS

Dated as of December 1, 2019

Among

**UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS
CITY, KANSAS
as Issuer**

And

**SECURITY BANK OF KANSAS CITY,
as Trustee**

**PHVIF KANSAS CITY, LLC
as Bondowner**

And

**PHVIF KANSAS CITY, LLC
as Company**

**\$5,500,000
Taxable Industrial Revenue Bonds
(PHVIF Kansas City, LLC Project)
Series 2019**

OMNIBUS AMENDMENT OF BOND DOCUMENTS

\$5,500,000

**Unified Government of Wyandotte County/Kansas City, Kansas
Taxable Industrial Revenue Bonds
(PHVIF Kansas City, LLC Project)
Series 2019**

TABLE OF CONTENTS

Section 1.	Definitions of Words and Terms	2
Section 2.	Representation of Bondowner	2
Section 3.	Amendment to Bond Documents	2
Section 4.	Form of Bond	2
Section 5.	Applicability of Bond Documents	2
Section 6.	Immunity of Officers, Employees and Governing Body of Issuer	2
Section 7.	Severability	2
Section 8.	Execution in Counterparts	2
Section 8.	Governing Law	3
Section 9.	Electronic Transactions	3
	Signatures	S-1

Exhibit A – Form of Bond

OMNIBUS AMENDMENT OF BOND DOCUMENTS

THIS OMNIBUS AMENDMENT OF BOND DOCUMENTS (this “Omnibus Amendment”), entered into as of December 1, 2019, among the **UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS**, a municipal corporation organized and existing under the laws of the State of Kansas (the “Issuer”), **SECURITY BANK OF KANSAS CITY**, a banking corporation duly organized and existing under the laws of the State of Kansas and duly authorized to accept and execute trusts of the character herein, and having its principal corporate trust office located in Kansas City, Kansas, as trustee (the “Trustee”), and **PHVIF KANSAS CITY, LLC**, a Georgia limited liability company (the “Company” and “Bondowner”);

RECITALS

1. The Issuer is authorized by K.S.A. 12-1740 to 12-1749d, inclusive, as amended (the “Act”), to acquire, construct and equip certain facilities (as defined in the Act) for commercial, industrial and manufacturing purposes, to enter into leases and lease-purchase agreements with any person, firm, or corporation with respect to said facilities, to issue revenue bonds for such purposes, and to secure the payment of such bonds as provided in the Act.

2. Pursuant to the Act, the Issuer has issued \$4,000,000 principal amount of Taxable Industrial Revenue Bonds (PHVIF Kansas City, LLC Project), Series 2019 (the “Series 2019 Bonds”), under a Trust Indenture dated as of April 1, 2019 (the “Indenture”), between the Issuer and the Trustee, for the purpose of providing funds to the Company for the constructing and equipping of a commercial facility in downtown Kansas City, Kansas (collectively, the “Project”) and paying all other costs and fees necessary to complete the Project.

3. The Issuer entered into a Lease Agreement dated as of April 1, 2019 (the “Lease Agreement”), by and between the Issuer, as lessor, and the Company, as lessee, pursuant to which the Project was acquired, constructed and equipped and pursuant to which the Issuer leased the Project to the Company, and the Company agreed to pay Basic Rent (as defined in the Indenture) sufficient to pay the principal of and premium, if any, and interest on, the Series 2019 Bonds.

4. The parties hereto entered into various other documents regarding the Series 2019 Bonds which are contained in a transcript of proceedings relating to the issuance of the Series 2019 Bonds (collectively, the Indenture, the Lease Agreement, and such other documents relating to the Series 2019 Bonds are referred to herein as the “Bond Documents”).

5. The Bond Documents provide that the parties hereto are authorized to amend or supplement the Bond Documents, subject to the terms and provisions contained therein.

6. The Company has requested and the parties hereto have consented and agreed that the Bond Documents shall be amended and supplemented by this Omnibus Amendment to provide for the principal amount of the Series 2019 Bonds to be increased to \$5,500,000.

7. All things necessary to constitute this Omnibus Amendment as a valid, legal and binding pledge and assignment of the property, rights, interests and revenues made therein for the security of the payment of the Series 2019 Bonds, have been done and performed, and the execution and delivery of this Omnibus Amendment has in all respects been duly authorized.

NOW, THEREFORE, the parties hereto agree as follows:

Section 1. Definitions of Words and Terms. For all purposes of this Omnibus Amendment, except as otherwise provided or unless the context otherwise requires, the words and terms used in this Omnibus Amendment shall have the meanings set forth in the Indenture. Any words and terms defined herein that modify definitions already contained in the Indenture are intended to replace and supersede such definitions contained in the Indenture.

Section 2. Representation of Bondowner; Waiver. The Bondowner represents that it is the sole owner of the Series 2019 Bonds. Bondowner hereby waives any notice or consent provisions contained in the Bond Documents relating to amendment or supplement of the Bond Documents as contemplated by this Omnibus Amendment.

Section 3. Amendment to Bond Documents.

- (a) *Principal Amount.* Notwithstanding anything to the contrary in the Indenture or Bond Documents, the parties hereby agree that the principal amount of the Series 2019 Bonds shall be increased to \$5,500,000, and all references to the principal amount of the Series 2019 Bonds contained in the Bond Documents shall be amended to “\$5,500,000.”
- (b) *Inconsistent Provisions.* Any provisions of the Bond Documents inconsistent with the foregoing shall be considered amended in accordance with this Omnibus Amendment.

Section 4. Form of Bond. A Series 2019 Bond and the Trustee’s Certificate of Authentication to be endorsed thereon shall be delivered to the Trustee in substantially the form set forth in **Exhibit A** attached hereto, and such bond shall be executed and authenticated in the manner prescribed by the Indenture, with such necessary or appropriate variations, omissions and insertions as are permitted or required therein.

Section 5. Applicability of the Bond Documents. Except as otherwise provided in this Omnibus Amendment, the provisions of the Bond Documents are hereby ratified, approved and confirmed and incorporated herein. This Omnibus Amendment shall be construed as having been authorized, executed and delivered under the provisions of **Article XI** of the Indenture and **Article XIV** of the Lease.

Section 6. Immunity of Officers, Employees and Governing Body of Issuer. No recourse shall be had for the payment of the principal of or redemption premium, if any, or interest on any of the Series 2019 Bonds or for any claim based thereon or upon any obligation, covenant or agreement contained herein against any past, present or future officer, director, member, employee or agent of the Issuer, or of any successor issuer, either directly or through the Issuer or any successor issuer, under any rule of law or equity, statute or constitution, or by the enforcement of any assessment or penalty or otherwise, and all such liability of any such officers, directors, members, employees or agents as such is hereby expressly waived and released as a condition of and consideration for the execution of this Omnibus Amendment.

Section 7. Severability. If any provision hereof shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

Section 8. Execution in Counterparts. This Omnibus Amendment may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such counterparts shall together constitute but one and the same instrument.

Section 9. Governing Law. This Omnibus Amendment shall be governed by and construed in accordance with the laws of the State of Kansas.

Section 10. Electronic Transactions. The parties agree that the transaction described herein may be conducted and related documents may be received, sent or stored by electronic means. Electronic notices, copies, telecopies, facsimiles, electronic files and other reproductions of original executed documents shall be deemed to be authentic and valid counterparts of such original documents for all purposes, including the filing of any claim, action or suit in the appropriate court of law.

[Balance of page intentionally left blank.]

IN WITNESS WHEREOF, the Issuer and the Trustee have caused this Omnibus Amendment of Bond Documents to be duly executed by their duly authorized officers, as of the day and year first above written.

**UNIFIED GOVERNMENT OF
WYANDOTTE COUNTY/KANSAS CITY,
KANSAS**

[SEAL]

By: _____
Mayor/CEO

ATTEST:

By: _____
Clerk

SECURITY BANK OF KANSAS CITY
as Trustee

By: _____
Title: _____

PHVIF KANSAS CITY, LLC
as Company

By: _____
Title: _____

PHVIF KANSAS CITY, LLC
as Bondowner

By: _____
Title: _____

This Bond has not been registered under the Securities Act of 1933, as amended, or any state securities laws, and this Bond may not be transferred unless (i) the Issuer consents in writing to such transfer, and (ii) the Issuer and the Trustee are furnished a written legal opinion from counsel acceptable to the Issuer, the Trustee and the Company, to the effect that such transfer is exempt from the registration requirements of the Securities Act of 1933, as amended, and any applicable state securities laws. This Bond may be transferred to any successor of the Company or any entity owned by or under common ownership with the Company without the necessity of obtaining the Issuer's consent or such an opinion.

**Registered
No. R-2**

**Registered
\$5,500,000**

**UNITED STATES OF AMERICA
STATE OF KANSAS
COUNTY OF WYANDOTTE**

**UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS
TAXABLE INDUSTRIAL REVENUE BOND
(PHVIF KANSAS CITY, LLC PROJECT)
SERIES 2019**

Interest Rate

Maturity Date

Dated Date

2.00%

April 1, 2020

April 26, 2019

REGISTERED OWNER:

PHVIF KANSAS CITY, LLC

MAXIMUM PRINCIPAL AMOUNT:

**FIVE MILLION FIVE HUNDRED
THOUSAND DOLLARS**

THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, a municipal corporation organized and existing under the laws of the State of Kansas (the "Issuer"), for value received, promises to pay, but solely from the source hereinafter referred to, to the Registered Owner named above or registered assigns, on the Maturity Date shown above, the principal amount shown above or such lesser amount as may be outstanding hereunder as reflected in the bond registration books maintained by the Trustee. The Registered Owner shall note the principal amount outstanding hereunder in the Table of Cumulative Outstanding Principal Amount attached hereto, provided, however, that the registration books maintained by the Trustee shall be the official record of the Cumulative Outstanding Principal Amount of this Bond, in any coin or currency of the United States of America which on the date of payment thereof is legal tender for the payment of public and private debts, and in like manner to pay to the Registered Owner hereof, either by check, electronic transfer or draft mailed to the Registered Owner at a stated address as it appears on the bond registration books of the Issuer kept by the Trustee under the within mentioned Indenture or, in certain situations authorized in the Indenture, by internal bank transfer or by wire transfer to an account in a commercial bank or savings institution located in the continental United States, interest on the Cumulative Outstanding Principal Amount (as hereinafter defined) at the rate shown above per annum payable in arrears on each Interest Payment Date, and continuing thereafter until the said Cumulative Outstanding Principal Amount is paid in full **provided**, that so long as the Company is the sole Registered Owner, the Paying Agent may make payments of principal on such Bond by internal bank transfer or by wire transfer to an account at a commercial bank or savings institution designated by such Bondowner and located in the continental United States. Interest shall be computed on the basis of a year of 360 days consisting of 12 months of 30 days each. Principal on this Bond shall be payable on the maturity date set forth above, unless such principal shall have

been paid as a result of a redemption of the Bonds prior to such maturity date. The Bonds are issuable in the form of fully registered Bonds without coupons in the denomination of \$100,000 or any integral multiple of \$0.01 in excess thereof.

As used herein, the term “Cumulative Outstanding Principal Amount” means an amount equal to the aggregate of all amounts paid into the Project Fund in accordance with the terms of the hereinafter defined Indenture, less any amounts redeemed, as reflected in the bond registration books maintained by the Trustee.

The Trustee shall keep and maintain a record of the amounts deposited into the Project Fund pursuant to the terms of the Indenture as “Principal Amount Deposited into Project Fund” and shall enter the aggregate principal amount of this Bond then outstanding on its records as the “Cumulative Outstanding Principal Amount” on its records maintained for this Bond. On each date upon which a portion of the Cumulative Outstanding Principal Amount is paid to the Registered Owner hereof, pursuant to the optional redemption provisions of the Indenture, the Trustee shall enter on its records the principal amount paid on the Bond as “Principal Amount Paid Pursuant to Optional Redemption Provisions,” and shall enter the then outstanding principal amount of this Bond as “Cumulative Outstanding Principal Amount” on its records. The Registered Owner may from time to time enter the respective amounts deposited into the Project Fund pursuant to the terms of the Indenture under the column headed “Principal Amount Deposited Into Project Fund” on the attached Table of Cumulative Outstanding Principal Amount (the “Table”) and may enter the aggregate principal amount of this Bond then outstanding under the column headed “Cumulative Outstanding Principal Amount” on the attached Table. On each date upon which a portion of the Cumulative Outstanding Principal Amount is paid to the Registered Owner hereof pursuant to the optional redemption provisions of the Indenture, the Registered Owner may enter the principal amount paid on this Bond under the column headed “Principal Amount Paid Pursuant to Optional Redemption Provisions” on the Table and may enter the then outstanding principal amount of this Bond under the column headed “Cumulative Outstanding Principal Amount” on the Table. However, the records maintained by the Trustee as to amounts deposited into the Project Fund or principal amounts paid on this Bond shall be the official records of the Cumulative Outstanding Principal Amount for all purposes.

THIS BOND is a duly authorized Bond of the Issuer designated “Unified Government of Wyandotte County/Kansas City, Kansas Taxable Industrial Revenue Bonds (PHVIF Kansas City, LLC Project), Series 2019,” in the maximum aggregate principal amount of \$5,500,000 (the “Bonds”), to be issued for the purpose of providing funds to pay the cost of acquiring, constructing, and equipping a commercial facility, including land, buildings, structures, improvements, fixtures, machinery and equipment, and all rights-of-way and appurtenances necessary therefor (the “Project”), to be leased to PHVIF Kansas City, LLC, a Georgia limited liability company (the “Company”), under the terms of a Lease Agreement dated as of April 1, 2019 (said Lease Agreement, as amended and supplemented from time to time in accordance with the provisions thereof, being herein called the “Lease Agreement”), between the Issuer and the Company, all pursuant to the authority of and in full compliance with the provisions, restrictions and limitations and Constitution and statutes of the State of Kansas, including particularly K.S.A. 12-1740 to 12-1749d, inclusive, as amended, and pursuant to proceedings duly had by the governing body of the Issuer.

THE BONDS are issued under and are equally and ratably secured and entitled to the protection given by a Trust Indenture dated as of April 1, 2019 (said Trust Indenture, as amended and supplemented from time to time in accordance with the provisions thereof, being herein called the “Indenture”), between the Issuer and Security Bank Of Kansas City, as trustee (the “Trustee”). Subject to the terms and conditions set forth therein, the Indenture permits the Issuer to issue Additional Bonds (as defined therein) secured by the Indenture on a parity with the Bonds. Reference is hereby made to the Indenture for a description of the provisions, among others, with respect to the nature and extent of the security for the Bonds, the rights, duties and obligations of

the Issuer, the Trustee and the owners of the Bonds, and the terms upon which the Bonds are issued and secured. *Capitalized terms not defined herein shall have the meanings set forth in the Indenture.*

THIS BOND shall be subject to redemption and payment as provided in the Indenture.

THE BONDS are special obligations of the Issuer payable solely out of the rents, revenues and receipts derived by the Issuer from the Project and not from any other fund or source of the Issuer, and are secured by a pledge and assignment of the Project and of such rents, revenues and receipts, including all rentals and other amounts to be received by the Issuer under and pursuant to the Lease Agreement, all as provided in the Indenture. The Bonds do not constitute general obligations of the Issuer or the State of Kansas, and neither the Issuer nor said State shall be liable thereon, and the Bonds shall not constitute an indebtedness within the meaning of any constitutional or statutory debt limitation or restriction, and are not payable in any manner by taxation. Pursuant to the provisions of the Lease Agreement, rental payments sufficient for the prompt payment when due of the principal of and interest on the Bonds are to be paid by the Company directly to the Trustee for the account of the Issuer and deposited in a special account created by the Issuer and designated the "Unified Government of Wyandotte County/Kansas City, Kansas, Taxable Industrial Revenue Bond Fund – PHVIF Kansas City, LLC Project, Series 2019."

THE OWNER of this Bond shall have no right to enforce the provision of the Indenture or to institute action to enforce the covenants therein, or to take any action with respect to any event of default under the Indenture, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Indenture. In certain events, on the conditions, in the manner and with the effect set forth in the Indenture, the principal of all the Bonds issued under the Indenture and then Outstanding may become or may be declared due and payable before the stated maturity thereof, together with interest accrued thereon. Modifications or alterations of this Bond or the Indenture may be made only to the extent and in the circumstances permitted by the Indenture.

SUBJECT TO the requirements for transfer set forth above in the legend contained on the face of this Bond, this Bond is transferable, as provided in the Indenture, only upon the books of the Issuer kept for that purpose at the above-mentioned office of the Trustee by the Registered Owner hereof in person or by such person's duly authorized attorney, upon surrender of this Bond together with a written instrument of transfer satisfactory to the Trustee duly executed by the Registered Owner or such person's duly authorized attorney, and thereupon a new fully registered Bond or Bonds, without coupons, and in the same aggregate principal amounts, shall be issued to the transferee in exchange therefor as provided in the Indenture, and upon payment of the charges therein prescribed. The Issuer, the Trustee and any Paying Agent may deem and treat the person in whose name this Bond is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes.

THE BONDS are issuable in the form of one fully registered Bond without coupons in the maximum principal denomination of \$5,500,000.

THIS BOND shall not be valid or become obligatory for any purposes or be entitled to any security or benefit under the Indenture until the Certificate of Authentication hereon shall have been executed by the Trustee.

IT IS HEREBY CERTIFIED AND DECLARED that all acts, conditions and things required to exist, happen and be performed precedent to and in the execution and delivery of the Indenture and the issuance of this Bond do exist, have happened and have been performed in due time, form and manner as required by the Constitution and laws of the State of Kansas.

IN WITNESS WHEREOF, the Unified Government of Wyandotte County/Kansas City, Kansas, has caused this Bond to be executed in its name by the manual or facsimile signature of its Mayor/CEO, attested by the manual or facsimile signature of its Clerk and its corporate seal to be affixed hereto or imprinted hereon.

**UNIFIED GOVERNMENT OF
WYANDOTTE COUNTY/KANSAS CITY,
KANSAS**

By _____
Mayor/CEO

(SEAL)

ATTEST:

By _____
Unified Government Clerk

CERTIFICATE OF AUTHENTICATION

This Bond is the Taxable Industrial Revenue Bond (PHVIF Kansas City, LLC Project), Series 2019, described in the Trust Indenture. The effective date of registration of this Bond is set forth below.

**SECURITY BANK OF KANSAS CITY,
as Trustee**

Date

By _____
Name: _____
Title: _____

TABLE OF CUMULATIVE OUTSTANDING PRINCIPAL AMOUNT

<u>Date</u>	Principal Amount Deposited Into <u>Project Fund</u>	Principal Amount Paid Pursuant to Redemption <u>Provisions</u>	Cumulative Outstanding Principal <u>Amount</u>	Notation Made <u>By</u>
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(FORM OF ASSIGNMENT)
(NOTE RESTRICTIONS ON TRANSFERS)

FOR VALUE RECEIVED, the undersigned hereby sells, assigns and transfers unto

Print or Typewrite Name, Address and Social Security or
other Taxpayer Identification Number of Transferee

the within Bond and all rights thereunder, and hereby irrevocably constitutes and appoints _____ attorney to transfer the within Bond on the books kept by the Trustee for the registration and transfer of Bonds, with full power of substitution in the premises.

Dated: _____.

NOTICE: The signature to this assignment must correspond with the name as it appears upon the face of the within Bond in every particular.

Signature Guaranteed By:

[Seal]

(Name of Eligible Guarantor Institution (as)
defined by SEC Rule 17Ad-15, 12CFR
240.17Ad-15, or any similar rule which Trustee
deems applicable))

By _____
Title: _____



Staff Request for Commission Action

Tracking No. 191066

Full Commission Meeting Date: 12/12/19

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: No

<u>Date:</u> 11/20/2019	<u>Contact Name:</u> Debbie Jonscher	<u>Contact Phone:</u> x5847	<u>Contact Email:</u> djonscher@wycokck.org	<u>Department/Division:</u> Finance
<u>Item Description:</u> A Resolution Authorizing the Offering for Sale of Municipal Temporary Notes and General Obligation Bonds of the Unified Government of Wyandotte County/KC, KS; Requesting the Public Building Commission of the Unified Government of Wyandotte County/KC, KS to Issue its Leasehold Revenue Bonds.				
<u>Action Requested:</u> Please Approve.				
<u>Budget Impact: (if applicable)</u> Amount: Source: Included In Budget: Other (explain):				
<u>Attachments List:</u> Sale Resolution (UG Spring 2020) v4				

RESOLUTION NO. _____

A RESOLUTION AUTHORIZING THE OFFERING FOR SALE OF MUNICIPAL TEMPORARY NOTES AND GENERAL OBLIGATION BONDS OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS; REQUESTING THE PUBLIC BUILDING COMMISSION OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS TO ISSUE ITS LEASEHOLD REVENUE BONDS.

WHEREAS, pursuant to the provisions of the laws of the State of Kansas applicable thereto, by proceedings duly had, the governing body of the Unified Government of Wyandotte County/Kansas City, Kansas (the “Issuer” or the “Unified Government”) has previously authorized certain internal improvements described as follows (the “Improvements”):

Improvements to be Funded with General Obligation Bonds and/or Municipal Temporary Notes

CMIP	Project Name	Estimated Project Costs to be Financed
9701615	131st St and Leavenworth Rd Intersection Reconstruction	\$1,100,000
9701072	6th St. Improvements, Ann Ave. to Central Ave.	650,000
9701073	7th St./US-69 and Central Ave. Reconstruction	500,000
9480319	Annual ADA Modification-UG Facilities, 2019	100,000
9410419	Annual Arterial/Collect Resurface, 2019	650,000
9411220	Annual Alley Improvement Program, 2020	300,000
9410320	Annual Concrete Repair Program, 2020	1,000,000
9480117	Annual Elevator Upgrades, 2017	100,000
9480118	Annual Elevator Upgrades, 2018	150,000
9480119	Annual Elevator Upgrades, 2019	200,000
9420119	Annual Emergency Bridge Repair, 2019	300,000
9420120	Annual Emergency Bridge Repair, 2020	300,000
9410119	Annual Industrial District Street Rehab, 2019	300,000
9411120	Annual Pavement Preservation Program, 2020	2,500,000
9622170	Bridge #210 (18th St.) over Turkey Creek	150,000
9622169	Bridge #223 (36th and Ohio Ave.) Replacement	410,000
9701071	90 th St. Parallel - Brune Elementary Road Improvements	2,000,000
9698176	City Hall Structure Study and Stabilization	355,000
9698518	Urban Renewal Project - Demolition	2,000,000
9699255	Downtown Grocery Store	2,400,000
9480218	Facilities/Parking Annual Maintenance/Repair, 2018	550,000
9480219	Facilities /Parking Annual Maintenance/Repair, 2019	700,000
9480220	Facilities /Parking Annual Maintenance/Repair, 2020	700,000
9410918	Fairfax Industrial Area Improvements, 2018	100,000
9410919	Fairfax Industrial Area Improvements, 2019	100,000
9410920	Fairfax Industrial Area Improvements, 2020	100,000
9701054	Fiber Connectivity Projects	1,115,000
9698079	Hutton Road Fire Station	4,000,000
9622141	Holiday Drive Bridge Replacements	1,300,000

9701620	Interstate 70 and Turner Diag. Interchange Reconstruction	3,750,000
9701612	Leavenworth Road, 78th - 63rd Street	9,000,000
9739232	Maintenance Facility KS Ave	1,100,000
9698208	Memorial Hall HVAC	2,750,000
9701614	Metropolitan Bikeway Improvement	350,000
9701619	Minnesota Ave., from 6th St. to 7th St. Improvements	1,230,000
9698171	New Boilers Municipal Office Building/City Hall	10,000
9622142	North 34th Street Box Culvert	380,000
9698215	Parking Mgmt. System, Ticket Splitter, Cash Registers	175,000
9698212	Police Tow Lot	2,608,000
9430120	Priority Traffic Signal Replacements, 2020	400,000
9430118	Priority Traffic Signal Replacements, 2018	300,000
9430119	Priority Traffic Signal Replacements, 2019	800,000
9701225	Route 107 Bus Stop & Station Upgrades	235,000
9703334	Safe Routes to School, Group D	300,000
9703335	Safe Routes to School, Group E	155,000
9703037	Safe Routes to Schools-Phase G (Northwest Middle and Caruthers Elem.)	100,000
9714253	Wyandotte County Park Road Repairs	200,000
9714425	WyCo Lake Waterline Study & Repair	1,140,000
	GOVERNMENTAL SUBTOTAL	\$49,113,000
9635045	51st N of Cleveland RCB Replacement	700,000
9636125	CID Dump Station Improvements/Relocation	1,000,000
9636048	Green Infrastructure Improvements	2,000,000
9635057	Kansas Levee Betterment	10,200,000
9636045	Kaw Point Biosolids Digestion	9,300,000
9635056	Kaw River Bank Stabilization at Turkey Creek	10,000,000
9635047	Leavenworth Road, 63 rd to 38 th Street - Stormwater	600,000
9636213	Lombardy Dr Sanitary Sewer Improvements	1,700,000
9636046	Piper Creek Interceptor	7,500,000
9636132	Plant 20 & 3 Equipment and Structural Rehab	900,000
9636047	Plant 20 Biosolids Dewatering	3,150,000
9636131	Pump Station 18, 5, 4 Upgrade	3,200,000
9636354	Pump Stations SCDA	3,000,000
9636039	Relocation of Sewer Maintenance Facilities	4,000,000
9635046	Stream Bank Stabilization Improvements, 2017-19	100,000
9635049	Strong Ave Levee Pump Sta Flood Control	1,200,000
9636056	Wolcott Expansion/Conner Creek	30,000,000
	STORM/SEWER SUBTOTAL	\$88,550,000
	Total	\$137,663,000

Improvements to be Funded with PBC Leasehold Revenue Bonds

CMIP	Project Name	Estimated Project Costs to be Financed
9768174	Courthouse Improvements	4,450,000
9768720	Health Depart Bldg Upgrades	3,075,000
9768690	Jail - Juvenile Facility	2,700,000
	Total	\$10,225,000

WHEREAS, it is necessary for the Issuer to provide cash funds to meet its obligations incurred in constructing the Improvements prior to the completion thereof and the issuance of the Issuer's general obligation bonds, and it is desirable and in the interest of the Issuer that such funds be raised by the issuance of one or more of temporary notes of the Issuer; and

WHEREAS, pursuant to Charter Ordinance No. CO-03-09, K.S.A. 12-1770 *et seq.*, K.S.A. 17-4754, and Article 12, Section 5 of the Constitution of the State of Kansas the Unified Government previously exercised its authority to issue and sell its general obligation bonds and/or temporary notes for the purpose of funding certain of the Improvements described herein;

WHEREAS, the Issuer under the authority of K.S.A. 12-1757 *et seq.*, as amended by Charter Ordinance No. CO-1-98 and Charter Resolution No. CR-1-98 (jointly, the "PBC Act"), has previously created the Public Building Commission of the Unified Government of Wyandotte County/Kansas City, Kansas, a municipal corporation within the State of Kansas (the "PBC"); and

WHEREAS, the Issuer has previously issued \$44,400,000 principal amount of Municipal Temporary Notes, Series 2019-I and \$7,445,000 principal amount of Municipal Temporary Notes, Series 2019-II (collectively, the "Existing Notes"); and

WHEREAS, all aspects of the Improvements being financed with the temporary notes will not be completed prior to the maturity date of the Existing Notes and it is necessary for the Issuer to provide cash funds to meet its obligations on a portion of the Existing Notes and to temporarily finance a portion of the costs of the Improvements by the issuance of one or more series of additional temporary notes of the Issuer; and

WHEREAS, the Issuer desires to issue its general obligation bonds in one or more series in order to permanently finance a portion of the costs of the Improvements and to retire a portion of the Existing Notes which were issued to temporarily finance a portion of the costs of the Improvements; and

WHEREAS, the PBC has the power and the authority under the PBC Act to issue revenue bonds to provide funds for the purpose of paying all or a portion of the costs of the Improvements; and

WHEREAS, the Issuer desires the PBC to issue its revenue bonds in one or more series in order to permanently finance a portion of the costs of the Improvements; and

WHEREAS, the Issuer hereby selects the firm of Baker Tilly Municipal Advisors, LLC, Saint Paul, Minnesota ("Municipal Advisor"), as Municipal Advisor for one or more series of municipal temporary notes and one or more series of general obligation bonds of the Issuer, to be issued in order to provide funds to pay the costs of the Improvements; and

WHEREAS, the Issuer desires to authorize the Municipal Advisor, in conjunction with the Clerk, Chief Financial Officer, and other officers and representatives of the Issuer to proceed with the offering for sale of said municipal temporary notes and general obligation bonds, and related activities; and

WHEREAS, one of the duties and responsibilities of the Issuer is to prepare and distribute a preliminary official statement relating to said municipal temporary notes and general obligation bonds; and

WHEREAS, the Issuer desires to authorize the Municipal Advisor, in conjunction with the Clerk, Chief Financial Officer, and other officers and representatives of the Issuer to proceed with the preparation and distribution of a preliminary official statement and notice of bond sale and to authorize the distribution

thereof and all other preliminary action necessary to sell said municipal temporary notes and general obligation bonds.

THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, AS FOLLOWS:

Section 1. General Obligation Financing Authorized. The Issuer is hereby authorized to offer at competitive public sale one or more series of municipal temporary notes and one or more series of general obligation bonds to fund total project costs of approximately \$137,663,000 plus interest and costs of issuance (collectively, the “Obligations”) as described in the Notice of Sale to be prepared by officials and representatives of the issuer, as authorized below. Such project costs may be paid through the issuance of tax-exempt or taxable Obligations.

The governing body hereby ratifies its previous authorization pursuant to Resolution R-50-19/O-53-19 of the projects described in the 2019 Amended Budget and the 2020 Annual Budget of the Unified Government (the “Proposed Budget”), and specifically the Debt Authorizations contained in the Proposed Budget, pages 477-512, attached hereto as **Exhibit A**, and the previous authorization of general obligation bonds and/or temporary notes as described therein. The governing body also ratifies its previous authorizations of the CID Dump Station (CMIP 9636125) pursuant to Resolution R-71-15 and New Boilers Municipal Office Building/City Hall (CMIP 9698171) pursuant to Resolution R-82-15, attached hereto as **Exhibit B**, and the previous authorization of general obligation bonds and/or temporary notes as described therein.

Section 2. PBC Financing Requested. It is requested that the PBC issue its revenue bonds in accordance with the provision of the PBC Act and all other law of the State of Kansas for the purpose of financing a portion of the Improvements. The Issuer hereby declares intent to enter into a lease or lease purchase agreement with PBC pursuant to the PBC Act to provide for the source of repayments of the revenue bonds of the PBC and other related expenses of the PBC.

Section 3. Preliminary Official Statement. The Chief Financial Officer is hereby authorized to cause to be prepared a Preliminary Official Statement, and such officials and other representatives of the Issuer are hereby authorized to use such document in connection with the public sale of the Obligations.

Section 4. Notice of Bond Sale. The Chief Financial Officer, in conjunction with Municipal Advisor and Gilmore & Bell, P.C., Kansas City, Missouri (“Bond Counsel”), is hereby authorized and directed to give notice of said bond sale by publishing a summary of the Notice of Sale not less than 6 days before the date of the bond sale in a newspaper of general circulation in Wyandotte County, Kansas, and the **Kansas Register** and is hereby authorized to distribute copies of the Notice of Sale and Preliminary Official Statement relating to the Obligations to prospective purchasers of the Obligations. Bids for the purchase of the Obligations shall be delivered to the governing body at its meeting to be held on the date of such sale, at which meeting the governing body shall review such bids and shall award the sale of the Obligations or reject all bids for a particular series of the Obligations.

Section 5. Compliance with Federal Securities Laws. For the purpose of enabling the purchaser(s) of the Obligations (collectively, the “Purchasers”) to comply with the requirements of Rule 15c2-12 of the Securities and Exchange Commission (the “Rule”), the appropriate officers of the Issuer are hereby authorized: (a) to approve the form of the Preliminary Official Statement; (b) covenant to provide continuous secondary market disclosure by annually transmitting certain financial information and operating data and other information necessary to comply with the Rule to certain national repositories and the Municipal Securities Rulemaking Board, as applicable; and (c) take such other actions or execute such

other documents as such officers in their reasonable judgment deem necessary to enable the Purchasers to comply with the requirement of the Rule.

The Issuer agrees to provide to the Purchasers within seven business days of the date of the sale of Obligations or within sufficient time to accompany any confirmation that requests payment from any customer of the Purchasers, whichever is earlier, sufficient copies of the final Official Statement to enable the Purchasers to comply with the requirements of Rule 15c2-12(3) and (4) of the Securities and Exchange Commission and with the requirements of Rule G-32 of the Municipal Securities Rulemaking Board.

Section 6. Further Authority. The Mayor/Chief Executive, Chief Financial Officer, Clerk and the other officers and representatives of the Issuer, Bond Counsel and the Municipal Advisor are hereby authorized and directed to take such other action as may be necessary to carry out the sale of the Obligations.

Section 7. Effective Date. This Resolution shall be in full force and effect from and after its adoption.

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ADOPTED by the governing body on December 12, 2019.

(SEAL)

Mayor/Chief Executive Officer

ATTEST:

Clerk

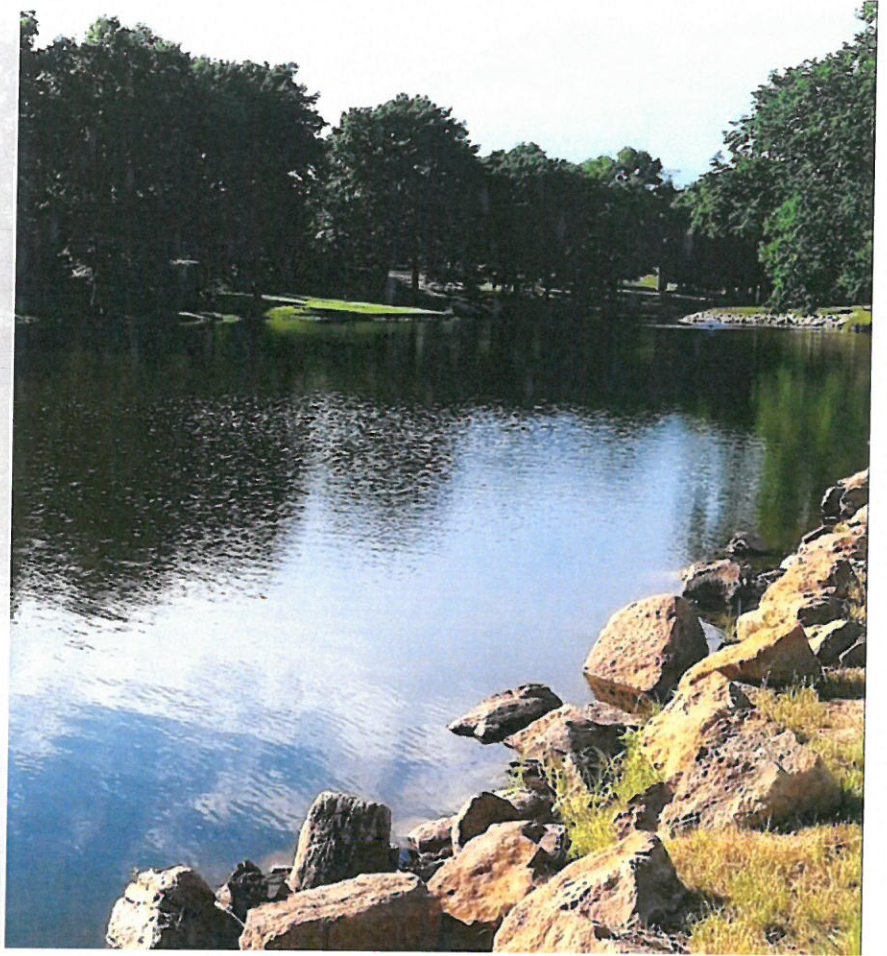
EXHIBIT A

2019-2024 Capital Maintenance Improvement Program

2019 - 2024

UNIFIED GOVERNMENT

PROPOSED BUDGET



DEBT AUTHORIZATIONS





Debt Project Authorizations

131st St and Leavenworth Rd Intersection Reconstruction

CMIP #: 1615

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$1,100,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project to reconstruct the exiting rural four-legged intersection, with north and south stop patterns, to a rural section with a roundabout style intersection. Improvements would include two 12 ft lanes with 2-3 ft shoulders, a 5 ft sidewalk on one side and a 10 ft shared use trail on the other, and "v-ditches" for storm water drainage. The roundabout itself would be constructed out of concrete with mountable curb and gutter to accommodate larger vehicles.

51st N of Cleveland RCB Replacement

CMIP #: 5045

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-80-16

Prior Authorization Amount \$700,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Replacement of a reinforced concrete box located approximately 150 feet north of the intersection of Cleveland Avenue and N. 51st Street.

6th St. Improvements, Ann Ave. to Central Ave.

CMIP #: 1072

New Project

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$650,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project that will involve the milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs associated with the project location along 6th Street, between Ann Avenue and Central Avenue.



Debt Project Authorizations

77th & Troup 96" Storm Replacement

CMIP #: 5048

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17
Prior Authorization Amount \$580,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will entail the removal and replacement of approximately 550 linear feet of 96" corrugated metal piping that runs from a junction under 77th Street, approximately 475ft south of Parallel Parkway, east to the outfall area. The restoration of this project will include the asphalt repairs to 77th Street and also a private parking area, in which the pipe runs under.

7th St./US-69 and Central Ave. Reconstruction

CMIP #: 1073

New Project

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: NONE
Prior Authorization Amount NONE
Approved*:
New Authorization Amount*: \$2,000,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include improvements at US-69/7th St. Trafficway and Central Avenue. Elements of this project will include, but is not limited to, the widening of radii, reconstruction of curb and gutter, pedestrian ramps, new traffic signals, resurfacing, pavement marking and signing at this intersection. Also included in this project are any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs at this location.

90th St. Parallel - Brune Elementary

CMIP #: 1071

Name Change

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount \$2,000,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include the construction of a new roadway leading to the property acquired by the USD 500 near the intersection of 90th Street and Parallel Parkway for construction of a new school named Brune Elementary. Elements of the project will involve land acquisition, site grading, tree removal, stormwater mitigation, roadway base and surface work, sidewalks, curb and gutter, and all other appurtenances related to the design, construction, and inspection as it pertains to this project.



Debt Project Authorizations

Annual ADA Modif-UG Facilities, 2020

CMIP #: 8181
Annual CMIP#: 948-0320

Reimbursement Resolution

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: NONE
Prior Authorization Amount: NONE
Approved*: NONE
New Authorization Amount*: \$100,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will make ADA (Americans With Disabilities Act) modifications, corrections and new construction to UG facilities. Included in this project will be plumbing, electrical, restroom fixtures, door, building signage and all other components of facilities to comply with local, state and federal regulation as it applies to ADA. The project will also address exterior, parking, drives, sidewalks, curbs, handrails and other items that will require modifications and or additions. Scope of work will include engineering/architectural design needed to execute the improvements and modifications. This project is mandated by the DOJ as part of the signed settlement agreement.

Annual ADA Modif-UG Facilities, 2019

CMIP #: 8181
Annual CMIP#: 948-0319

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18 (RR)
Prior Authorization Amount: \$100,000
Approved*: NONE
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will make ADA (Americans With Disabilities Act) modifications, corrections and new construction to UG facilities. Included in this project will be plumbing, electrical, restroom fixtures, door, building signage and all other components of facilities to comply with local, state and federal regulation as it applies to ADA. The project will also address exterior, parking, drives, sidewalks, curbs, handrails and other items that will require modifications and or additions. Scope of work will include engineering/architectural design needed to execute the improvements and modifications. This project is mandated by the DOJ as part of the signed settlement agreement.

City Hall	701 N 7th Street
Court House	710 N. 7th Street
Justice Complex	725 Ann Ave.
WyCo Museum	631 N. 126th St.
Memorial Hall	600 N 7th Street
Reardon Center	500 Minnesota Ave.
Court Services Bldg.	812 N. 7th Street
West Annex	8200 State Ave.
Election Warehouse	850 State Ave.
Willa Gill Soup Kitchen	645 Nebraska
Police Headquarters	700 Minnesota
Police Station, Division 3	2151 S 24th
Fire Headquarters	801 N 6th



Debt Project Authorizations

Fire Station No. 8	3131 N 123rd
Fire Station No. 20	7741 Kansas Avenue
Fire Station No. 4	3046 N 81st
Fire Station No. 18	5427 Leavenworth Rd
Fire Station No. 19	1011 N 80th
Fire Station No. 2	6241 State Avenue
Fire Station No. 3	418 Kansas Avenue

Annual Alley Improvement Program, 2020

CMIP #: 1334

New Project

Annual CMIP#: 941-1220

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$300,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Annual project that will involve the milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, Americans With Disabilities Act compliant street to sidewalk access, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs associated with the alleyways within the locations below:

AREA #2:

Riverview Avenue, From 24th Street To 23rd Street
 Riverview Avenue, From 25th Street To 24th Street
 Riverview Avenue, From 26th Street To 25th Street
 Riverview Avenue, From Grandview Boulevard To 27th Street
 25th Street, From Park Drive To Riverview Avenue
 26th Street, From Riverview Avenue To Grandview Boulevard
 26th Street, From Park Drive To Riverview Avenue
 27th Street, From Riverview Avenue To North End
 23rd Street, From Riverview Avenue To Grandview Boulevard
 23rd Street, From Park Drive To Riverview Avenue
 24th Street, From Riverview Avenue To Grandview Boulevard
 24th Street, From Park Drive To Riverview Avenue
 25th Street, From Riverview Avenue To Grandview Boulevard
 Grandview Boulevard, From 26th Street To 25th Street
 Grandview Boulevard, From 24th Street To 23rd Street
 Grandview Boulevard, From 25th Street To 24th Street
 27th Street, From Park Drive To Riverview Avenue
 Grandview Boulevard, From Riverview Avenue To 26th Street

AREA #3:

Mill Street, From Tenny Avenue To Ohio Avenue



Debt Project Authorizations

Northrup Avenue, From 10th Street To 9th Street
Ohio Avenue, From 8th Street To Coy Street
Ohio Avenue, From 8th Street To 8th Street
Ohio Avenue, From Early Street To 8th Street
Ohio Avenue, From Mill Street To Early Street
Ohio Avenue, From 9th Street To Mill Street
Orville Avenue, From 8th Street To 7th Street Trfy
Orville Avenue, From 8th Street To 8th Street
Splitlog Avenue, From Coy Street To 7th Street Trfy
Splitlog Avenue, From 9th Street To 8th Street
Splitlog Avenue, From 10th Street To 9th Street
8th Street, From Ohio Avenue To Splitlog Avenue
9th Street, From Ohio Avenue To Splitlog Avenue
9th Street, From Ella Avenue To Orville Avenue
Early Street, From Tenny Avenue To Ohio Avenue
Ella Avenue, From 9th Street To 8th Street
Ella Avenue, From 10th Street To 9th Street
9th Street, From Tenny Avenue To Northrup Avenue
Ella Avenue, From 8th Street To Eastreet End
Orville Avenue, From 9th Street To 8th Street
Coy Street, From Splitlog Avenue To Ne End
Orville Avenue, From 10th Street To 9th Street
Splitlog Avenue, From 8th Street To Coy Street

Annual Arterial/Collect Resurface, 2019

CMIP #: 1302

Annual CMIP#: 941-0419

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$650,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs at the following locations:

Site 1: Park Drive, between Grandview Boulevard and N. 18th Street.

Site 2: Shawnee Drive, between S. 30th Street and S. 42nd Street.



Debt Project Authorizations

Annual Concrete Repair Program, 2020

CMIP #: 1301

New Project

Annual CMIP#: 941-0320

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount: NONE

Approved*:

New Authorization Amount*: \$1,000,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Annual project that will involve, but is not limited to, the removal and replacement of concrete roadway elements such as curb and gutter, sidewalk, curb access ramps, or concrete roadway panels, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs within the following locations:

AREA #1:

32nd Street, From Strong Avenue To Powell Avenue
 32nd Street, From Connor Avenue To Strong Avenue
 33rd Street, From Strong Avenue To Powell Avenue
 33rd Street, From Metropolitan Avenue To Strong Avenue
 Powell Avenue, From 34th Street To 33rd Street
 Powell Avenue, From 35th Street To 34th Street
 Powell Avenue, From 36th Street To 35th Street
 Powell Avenue, From 37th Street To 36th Street
 Powell Avenue, From 38th Street To 37th Street
 Powell Avenue, From 39th Street To 38th Street
 Strong Avenue, From 33rd Street To 32nd Street
 Strong Avenue, From 34th Street To 33rd Street
 Strong Avenue, From 35th Street To 34th Street
 34th Street, From Argentine Boulevard To North End Of Pavement
 34th Street, From Powell Avenue To Argentine Boulevard
 34th Street, From Metropolitan Avenue To Strong Avenue
 35th Street, From Argentine Boulevard To North End
 35th Street, From Powell Avenue To Argentine Boulevard
 35th Street, From Strong Avenue To Powell Avenue
 35th Street, From Metropolitan Avenue To Strong Avenue
 36th Street, From Argentine Boulevard To North End
 36th Street, From Powell Avenue To Argentine Boulevard
 36th Street, From Strong Avenue To Powell Avenue
 36th Street, From Metropolitan Avenue To Strong Avenue
 37th Street, From Argentine Boulevard To North End
 37th Street, From Powell Avenue To Argentine Boulevard
 34th Street, From South End Of Pavement To Powell Avenue

AREA #3:

Mill Street, From Tenny Avenue To Ohio Avenue

Northrup Avenue, From 10th Street To 9th Street



Debt Project Authorizations

Ohio Avenue, From 8th Street To Coy Street
Ohio Avenue, From 8th Street To 8th Street
Ohio Avenue, From Early Street To 8th Street
Ohio Avenue, From Mill Street To Early Street
Ohio Avenue, From 9th Street To Mill Street
Orville Avenue, From 8th Street To 7th Street Trfy
Orville Avenue, From 8th Street To 8th Street
Splitlog Avenue, From Coy Street To 7th Street Trfy
Splitlog Avenue, From 9th Street To 8th Street
Splitlog Avenue, From 10th Street To 9th Street
8th Street, From Ohio Avenue To Splitlog Avenue
9th Street, From Ohio Avenue To Splitlog Avenue
9th Street, From Ella Avenue To Orville Avenue
Early Street, From Tenny Avenue To Ohio Avenue
Ella Avenue, From 9th Street To 8th Street
Ella Avenue, From 10th Street To 9th Street
9th Street, From Tenny Avenue To Northrup Avenue
Ella Avenue, From 8th Street To Eastreet End
Orville Avenue, From 9th Street To 8th Street
Coy Street, From Splitlog Avenue To Ne End
Orville Avenue, From 10th Street To 9th Street
Splitlog Avenue, From 8th Street To Coy Street

AREA #5:

81st Terrace, From Nebraska Avenue To 81ststreet
81st Street, From 81st Terrace To Washington Avenue
Everett Court, From 81st To Everett Court
81st Terrace, From Arcadia Court To Arcadia Court
Arcadia Court, From 81stterrace To 81stterrace
81stterrace, From Arcadia Court To Nebraska Avenue
80th Street, From Arcadia Court To Nebraska Avenue
Arcadia Court, From 81stterrace To 80th Street
Everett Court Cds, From Everett Court To Everett Court
Everett Court Cds, From Everett Court To Everett Court
Nebraska Avenue, From 81stterrace To 80th Street
81ststreet, From Everett Court To Oakland Avenue
81ststreet, From Washington Avenue To Everett Court
79th Street, From Oakland Avenue To Corona Avenue
79th Street, From South End Of Pavement To Oakland Avenue
Oakland Avenue, From 81st Street To 79th Street

Annual Elevator Upgrades, 2020

Reimbursement Resolution

Statutory Authority:

Prior Authorization Approved: NONE

CMIP #: 8167

Annual CMIP#: 948-0120



Debt Project Authorizations

Prior Authorization Amount NONE
Approved*:
New Authorization Amount*: \$250,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include engineering and design necessary to upgrade and improve elevators at locations to be determined to ensure compliance with City, State, and Federal codes and regulations including Americans With Disabilities Act mandates. The scope of work will include but is not limited to the repair and replacements of drives, brakes, hoist cables, sheave brakes, governor, motor controls, and other associated mechanical equipment. The project will upgrade and replace interior lighting, panels, flooring and railings of the cab itself. Elevator will have new operational systems install with new pushbutton automated operations and controls.

Annual Elevator Upgrades, 2017

CMIP #: 8167
Annual CMIP#: 948-0117

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-80-16/O-66-17
Prior Authorization Amount \$100,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include engineering and design necessary to upgrade and improve (1) passenger and (2) freight service elevators at Kansas City, Kansas Police Headquarters located at 7th Street and Minnesota Avenue to insure compliance with City, State, and Federal codes and regulations including Americans with Disabilities Act mandates. The scope of work will include but is not limited to the repair and replacements of drives, brakes, hoist cables, sheave brakes, governor, motor controls, and other associated mechanical equipment. The project will upgrade and replace interior lighting, panels, flooring and railings of the cab itself. Elevator will have new operational systems install with new pushbutton automated operations and controls.

Annual Elevator Upgrades, 2018

CMIP #: 8167
Annual CMIP#: 948-0118

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17
Prior Authorization Amount \$150,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

This project will include engineering and design necessary to upgrade and improve (2) passenger and (2) freight service elevators at Kansas City, Kansas Police Headquarters located at 7th Street and Minnesota Avenue to ensure compliance with City, State, and Federal codes and regulations including Americans with Disabilities Act mandates. The scope of work will include but is not limited to the repair and replacements of drives, brakes, hoist cables, sheave brakes, governor, motor controls, and other associated mechanical equipment. The project will upgrade and replace interior lighting, panels, flooring and railings of the cab itself. Elevator will have new operational systems install with new pushbutton automated operations and controls.

Annual Elevator Upgrades, 2019

Description Amended

CMIP #: 8167

Annual CMIP#: 948-0119

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18 (RR)

Prior Authorization Amount \$200,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include engineering and design necessary to upgrade and improve elevators at City Site locations including but not limited to City Hall and Police Headquarters, to be determined to ensure compliance with City, State, and Federal codes and regulations including Americans With Disabilities Act mandates. The scope of work will include but is not limited to the repair and replacements of drives, brakes, hoist cables, sheave brakes, governor, motor controls, and other associated mechanical equipment. The project will upgrade and replace interior lighting, panels, flooring and railings of the cab itself. Elevator will have new operational systems install with new push-button automated operations and controls.

Annual Emergency Bridge Repair, 2020

New Project

CMIP #: 2301

Annual CMIP#: 942-0120

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$300,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Repair, removal and replacement of certain bridges and associated streets within the Unified Government, and any appurtenances related thereto. All work includes necessary traffic control, detour signing, and utility adjustments necessary to accommodate work near Structure #223 at 36th Street and Ohio Street.

Annual Emergency Bridge Repair, 2019

Description Amended

CMIP #: 2301

Annual CMIP#: 942-0119

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18 (RR)



Debt Project Authorizations

Prior Authorization Amount \$300,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Repair, removal and replacement of certain bridges and associated streets within the Unified Government, and any appurtenances related thereto. All work includes necessary traffic control, detour signing, and utility adjustments necessary to accommodate the improvements as well as all necessary engineering, design, inspection, appurtenances, and related construction. Project sites will be Structure #199 on Fairfax Trafficway, south of Quindaro Boulevard and Bridge #311, on Thorn Drive, South of Kansas Avenue.

Annual Industrial District Street Rehab, 2019

CMIP #: 1113

Description Amended

Annual CMIP#: 941-0119

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18 (RR)

Prior Authorization Amount \$300,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs along; France Family Drive, between Village West Parkway and North 98th Street, Prairie Crossing/Sporting Way, between State Avenue and Parallel Parkway, and Sunflower Lane, between State Avenue and Village West Parkway.

Annual Pavement Preservation Program, 2020

CMIP #: 1333

New Project

Annual CMIP#: 941-1120

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$2,500,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Annual project that will involve the milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, and surface treatment applications including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs located within the below listed Commission Districts:

District #5:



Debt Project Authorizations

Annual project that will involve the milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, and surface treatment applications including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs at the following locations.

AREA #1:

32nd Street, From Strong Avenue To Powell Avenue
32nd Street, From Connor Avenue To Strong Avenue
33rd Street, From Strong Avenue To Powell Avenue
33rd Street, From Metropolitan Avenue To Strong Avenue
Powell Avenue, From 34th Street To 33rd Street
Powell Avenue, From 35th Street To 34th Street
Powell Avenue, From 36th Street To 35th Street
Powell Avenue, From 37th Street To 36th Street
Powell Avenue, From 38th Street To 37th Street
Powell Avenue, From 39th Street To 38th Street
Strong Avenue, From 33rd Street To 32nd Street
Strong Avenue, From 34th Street To 33rd Street
Strong Avenue, From 35th Street To 34th Street
34th Street, From Argentine Boulevard To North End Of Pavement
34th Street, From Powell Avenue To Argentine Boulevard
34th Street, From Metropolitan Avenue To Strong Avenue
35th Street, From Argentine Boulevard To North End
35th Street, From Powell Avenue To Argentine Boulevard
35th Street, From Strong Avenue To Powell Avenue
35th Street, From Metropolitan Avenue To Strong Avenue
36th Street, From Argentine Boulevard To North End
36th Street, From Powell Avenue To Argentine Boulevard
36th Street, From Strong Avenue To Powell Avenue
36th Street, From Metropolitan Avenue To Strong Avenue
37th Street, From Argentine Boulevard To North End
37th Street, From Powell Avenue To Argentine Boulevard
34th Street, From South End Of Pavement To Powell Avenue

AREA #2:

Riverview Avenue, From 24th Street To 23rd Street
Riverview Avenue, From 25th Street To 24th Street
Riverview Avenue, From 26th Street To 25th Street
Riverview Avenue, From Grandview Boulevard To 27th Street
25th Street, From Park Drive To Riverview Avenue
26th Street, From Riverview Avenue To Grandview Boulevard
26th Street, From Park Drive To Riverview Avenue
27th Street, From Riverview Avenue To North End
23rd Street, From Riverview Avenue To Grandview Boulevard



Debt Project Authorizations

23rd Street, From Park Drive To Riverview Avenue
24th Street, From Riverview Avenue To Grandview Boulevard
24th Street, From Park Drive To Riverview Avenue
25th Street, From Riverview Avenue To Grandview Boulevard
Grandview Boulevard, From 26th Street To 25th Street
Grandview Boulevard, From 24th Street To 23rd Street
Grandview Boulevard, From 25th Street To 24th Street
27th Street, From Park Drive To Riverview Avenue
Grandview Boulevard, From Riverview Avenue To 26th Street

AREA #3:

Mill Street, From Tenny Avenue To Ohio Avenue
Northrup Avenue, From 10th Street To 9th Street
Ohio Avenue, From 8th Street To Coy Street
Ohio Avenue, From 8th Street To 8th Street
Ohio Avenue, From Early Street To 8th Street
Ohio Avenue, From Mill Street To Early Street
Ohio Avenue, From 9th Street To Mill Street
Orville Avenue, From 8th Street To 7th Street Trfy
Orville Avenue, From 8th Street To 8th Street
Splitlog Avenue, From Coy Street To 7th Street Trfy
Splitlog Avenue, From 9th Street To 8th Street
Splitlog Avenue, From 10th Street To 9th Street
8th Street, From Ohio Avenue To Splitlog Avenue
9th Street, From Ohio Avenue To Splitlog Avenue
9th Street, From Ella Avenue To Orville Avenue
Early Street, From Tenny Avenue To Ohio Avenue
Ella Avenue, From 9th Street To 8th Street
Ella Avenue, From 10th Street To 9th Street
9th Street, From Tenny Avenue To Northrup Avenue
Ella Avenue, From 8th Street To Eastreet End
Orville Avenue, From 9th Street To 8th Street
Coy Street, From Splitlog Avenue To Ne End
Orville Avenue, From 10th Street To 9th Street
Splitlog Avenue, From 8th Street To Coy Street

AREA #5:

81st Terrace, From Nebraska Avenue To 81ststreet
81st Street, From 81st Terrace To Washington Avenue
Everett Court, From 81st To Everett Court
81st Terrace, From Arcadia Court To Arcadia Court
Arcadia Court, From 81stterrace To 81stterrace
81stterrace, From Arcadia Court To Nebraska Avenue
80th Street, From Arcadia Court To Nebraska Avenue
Arcadia Court, From 81stterrace To 80th Street
Everett Court Cds, From Everett Court To Everett Court
Everett Court Cds, From Everett Court To Everett Court



Debt Project Authorizations

Nebraska Avenue, From 81st terrace To 80th Street
81st street, From Everett Court To Oakland Avenue
81st street, From Washington Avenue To Everett Court
79th Street, From Oakland Avenue To Corona Avenue
79th Street, From South End Of Pavement To Oakland Avenue
Oakland Avenue, From 81st Street To 79th Street

AREA #6:

Cleveland Avenue, From Cleveland Avenue To Cleveland Avenue
Georgia Avenue, From 105th Street To I-435 Highway
111th Terrace, From 111th Court To Cleveland Avenue
111th Terrace, From Haskell Avenue To 111th Court
Nogard Avenue, From West End Of Pavement To 111th Court
Cleveland Avenue, From 111th Terrace To Cleveland Avenue
Rowland Court, From Rowland Avenue To Rowland Avenue
Haskell Avenue, From West End Of Pavement To 111th Terrace
Haskell Avenue, From 111th Terrace To Hutton Rd
109th Terrace, From Georgia Avenue To Kimball Avenue
109th Street, From Kimball Avenue To Hutton Rd
Hutton Rd, From 109th Street To Kimball Avenue
Kimball Avenue, From 109th Street To New Market Avenue
Hutton Rd, From Kimball Avenue To Webster Terrace Avenue
Kimball Avenue, From West End Of Pavement To 110th Terrace
Kimball Avenue, From 110th Terrace To 109th Terrace
Georgia Avenue, From 109th Terrace To Hutton Rd
Georgia Avenue, From Hutton Rd To 107th Terrace
107th Street, From Cleveland Avenue To Georgia Avenue
Hutton Rd, From Rowland Court To Georgia Avenue
109th Street, From Parallel Parkway To North End Of Pavement
107th Terrace, From 107th Terrace To 107th Terrace
Georgia Avenue, From 107th Terrace To 107th Street

Annual R/R Crossing Improvement, 2020

Reimbursement Resolution

CMIP #: 1307

Annual CMIP#: 941-1020

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$150,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

Project that will involve the milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs at locations to be determined.

Annual R/R Crossing Improvement, 2018

CMIP #: 1307
Annual CMIP#: 941-1018

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17 (RR); R-52-18
Prior Authorization Amount \$150,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project includes public safety improvements at railway street crossings located on Sunshine Road, west of Chrysler Road, and Funston Road, west of Chrysler Road. Improvements to include crossing surfaces, roadway approaches, all necessary traffic marking, detours, pavement markings, and area restoration.

Bridge #210 (18th St.) over Turkey Creek

CMIP #: 2170

New Project

Statutory Authority:
Prior Authorization Approved: NONE
Prior Authorization Amount NONE
Approved*:
New Authorization Amount*: \$150,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project to include the inspection, evaluation, and removal or replacement of the currently closed Bridge #210 over Turkey Creek. Removal of the bridge would include the complete removal of the super structure, sub-structure, and any other structural bases for the bridge. Removal would also include the cleaning/clearing of the Turkey Creek Channel, and restoration of the embankments on either side of the creek to natural conditions. Replacement would involve the re-design of the bridge location to account for the new raised flood limits from the Army Corp. of Engineers, and allow for suitable right-of-way for the adjacent railroad.

Bridge #223 (36th and Ohio Ave.) Replacement

CMIP #: 2169

New Project

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount \$700,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

491



Debt Project Authorizations

Project will include the design, construction and inspection for the removal and replacement of the existing single cell 6'x 8'x 68' reinforced concrete box that has significant structural deficiencies. These deficiencies have caused the flow line of the creek feeding this structure to change drastically and cause erosion of the creek bank, and failure of the adjacent roadway. The new structure will be the same height and width dimensions but will be 200ft long and skewed at a different angle to allow for continuous flow of the creek, as to not create any new erosion issues. The additional length will also allow us to not require guardrails for the structure, as the ends of the structure will be outside of the required clear zones for vehicle and pedestrian traffic. Other elements of the project will include the removal and replacement of 8 tons of existing road pavement, 400 ft. of new curb and gutter, and 2 new storm drainage inlets to accommodate the water flowing down the roadway.

City Hall Structure Study and Stabilization

CMIP #: 8176

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount \$10,355,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Exploratory Study, including both Architectural and Structural to investigate structural integrity of City Hall with possible temporary stabilization and repair in lieu of major structure plan, decking and diaphragm renovation.

Downtown Grocery Store

CMIP #: 9255

Statutory Authority: Charter Ordinance CO-03-09; Article 12 Section 5 of the Constitution of the State
Prior Authorization Approved: R-52-18; O-40-19
Prior Authorization Amount \$5,500,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

The Downtown Grocery Store project is located on the corner of 5th & Minnesota and will include the design and construction of an estimated 12,000 sq. foot commercial structure to be owned by the Unified Government and intended for the sale of groceries for neighborhood residents, as well as associated parking facilities, site work, infrastructure, utilities, storm water control, access, street improvements, fixtures and equipment, landscaping and lighting. Includes economic development grants as necessary to obtain New Market Tax Credits as described in O-40-19.



Debt Project Authorizations

Facilities/Parking Annual Maintenance/Repair, 2020

CMIP #: 8513

Annual CMIP#: 948-0220

New Project

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: NONE
Prior Authorization Amount NONE
Approved*:
New Authorization Amount*: \$700,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will provide for all engineering, design, inspection and construction for one or more of the following Unified Government parking garages and surface lots. Work will include concrete surface and deck repair and replacement. Construction work shall include and provided for new gates, entry control equipment, lighting, signage and security equipment upgrades. Also will be new deck ware surface treatment and new canopy.

Surface Lots: Downtown

Lot # 3 - Located on South side of Barnett Avenue Between 6th & 7th Street

Memorial Hall Lot- Located between Barnett and Tauromee Avenue Between 7th & 8th Street

Reardon Lot - Located on South side of Minnesota Avenue. Between 5th & 6th Street

Parking Garages: Downtown

Parking garage A - Located on North side of State Avenue. Between 7th & 8th Street

Parking garage C- Located on North side of Armstrong. Between 7th & 8th Street

Parking garage D- Located between Ann and Barnett Avenue. Between 7th & 8th Street

Parking garage E- Located on North side of Barnett Avenue Between 6th & 7th Street

E Reserve- Located on between Ann and Barnett Avenue Between 6th & 7th Street

VIP Lot F- Located between Ann and Barnett Avenue Between 6th & 7th Street

Facilities/Parking Annual Maintenance/Repair, 2018

CMIP #: 8513

Annual CMIP#: 948-0218

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17; R-52-18
Prior Authorization Amount \$550,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will provide for all engineering, design, inspection and construction for one or more of the following Unified Government parking garages and surface lots. Work will include concrete surface and deck repair and replacement. Construction work shall include and provided for new gates, entry control equipment, lighting, signage and security equipment upgrades. Also will be new deck ware surface treatment and new canopy.

Surface Lots: Downtown

Lot # 3 - Located on South side of Barnett Avenue Between 6th & 7th Street

Memorial Hall Lot- Located between Barnett and Tauromee Avenue Between 7th & 8th Street

Reardon Lot - Located on South side of Minnesota Avenue. Between 5th & 6th Street

Parking Garages: Downtown

Parking garage A - Located on North side of State Avenue. Between 7th & 8th Street



Debt Project Authorizations

Parking garage C- Located on North side of Armstrong. Between 7th & 8th Street
Parking garage D- Located between Ann and Barnett Avenue. Between 7th & 8th Street
Parking garage E- Located on North side of Barnett Avenue Between 6th & 7th Street
E Reserve- Located on between Ann and Barnett Avenue Between 6th & 7th Street
VIP Lot F- Located between Ann and Barnett Avenue Between 6th & 7th Street

Facilities/Parking Annual Maintenance/Repair, 2019

CMIP #: 8513
Annual CMIP#: 948-0219

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount \$700,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will provide for all engineering, design, inspection and construction for one or more of the following Unified Government parking garages and surface lots. Work will include concrete surface and deck repair and replacement. Construction work shall include and provided for new gates, entry control equipment, lighting, signage and security equipment upgrades. Also will be new deck ware surface treatment and new canopy.

Surface Lots: Downtown

Lot # 3 - Located on South side of Barnett Avenue Between 6th & 7th Street
Memorial Hall Lot- Located between Barnett and Tauromee Avenue Between 7th & 8th Street
Reardon Lot - Located on South side of Minnesota Avenue. Between 5th & 6th Street
Parking Garages: Downtown

Parking garage A - Located on North side of State Avenue. Between 7th & 8th Street
Parking garage C- Located on North side of Armstrong. Between 7th & 8th Street
Parking garage D- Located between Ann and Barnett Avenue. Between 7th & 8th Street
Parking garage E- Located on North side of Barnett Avenue Between 6th & 7th Street
E Reserve- Located on between Ann and Barnett Avenue Between 6th & 7th Street
VIP Lot F- Located between Ann and Barnett Avenue Between 6th & 7th Street

Fairfax Industrial Area Improvements, 2020

CMIP #: 1220
Annual CMIP#: 941-0920

New Project

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: NONE
Prior Authorization Amount NONE
Approved*:
New Authorization Amount*: \$100,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

Project to include the milling, concrete pouring, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, Americans With Disabilities Act compliant street to sidewalk access, and removal and replacement of curb, all in the Fairfax industrial area, including any appurtenances related thereto, any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs. Project work will be along Brinkerhoff Road, between Kindleberger Road and Sunshine Road.

All work includes necessary traffic control and detour signing and utility adjustments necessary to accommodate the improvements as well as all necessary engineering, design, inspection, appurtenances, and related construction.

Fairfax Industrial Area Improvements, 2018

CMIP #: 1220
Annual CMIP#: 941-0918

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17 (RR); R-52-18
Prior Authorization Amount \$100,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project to include the milling, concrete pouring, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, Americans With Disabilities Act compliant street to sidewalk access, and removal and replacement of 1,300 ft of curb and gutter along 7th Street Trafficway, from Sunshine Road to Kindleberger Road, all in the Fairfax industrial area, including any appurtenances related thereto, any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs.

All work includes necessary traffic control and detour signing and utility adjustments necessary to accommodate the improvements as well as all necessary engineering, design, inspection, appurtenances, and related construction.

Fairfax Industrial Area Improvements, 2019

CMIP #: 1220
Annual CMIP#: 941-0919

Description Amended

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18 (RR)
Prior Authorization Amount \$100,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project to include the milling, concrete pouring, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, Americans With Disabilities Act compliant street to sidewalk access, and removal and replacement of curb, all in the Fairfax industrial area, including any appurtenances related thereto, any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs. Project work will be located along Fairfax Trafficway, South of Quindaro Boulevard approximately 1/2 mile.



Debt Project Authorizations

All work includes necessary traffic control and detour signing and utility adjustments necessary to accommodate the improvements as well as all necessary engineering, design, inspection, appurtenances, and related construction.

Fiber Connectivity Projects

CMIP #: 1054

Statutory Authority: Charter Ordinance CO-03-09 and Article 12, Section 5 of the Constitution of the
Prior Authorization Approved: O-66-17
Prior Authorization Amount: \$4,100,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include the installation of fiber optic cable, conduit and other elements needed to complete segments of the government fiber network for Public Safety, Public Works, and Government needs and any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs.

All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, and all necessary appurtenances and related construction.

Green Infrastructure Improvements

CMIP #: 6048

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount: \$5,000,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design and build green infrastructure to reduce stormwater entering the combined sanitary sewer system adjacent to the new parking lot constructed on the Southeast corner of 8th Street and Barnett Avenue. Infrastructure items include previous pavement and rain gardens (water detention basins) to reduce the amount of stormwater runoff created by an asphalt parking surface.

Holiday Drive Bridge Replacements

CMIP #: 2141

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17
Prior Authorization Amount: \$1,300,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

Project will include the removal and replacement of three (Bridge #s 281, 282, and 283) short span bridge structures located along Holiday Drive between South 73th Street and Quivira Lane and any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs.

All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, and all necessary appurtenances and related construction.

Hutton Rd Fire Station

CMIP #: 8079

Name Change

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-80-16/O-66-17

Prior Authorization Amount \$4,000,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design, engineering, construction, repair, or improvements to a new Fire Department Station in the northwest area of the City located at 2913 Hutton Road.

Interstate 70 and Turner Diag. Interchange Reconstruction

CMIP #: 1620

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$10,000,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

The project will reconfigure and construct the interchange of I-70 and Turner Diagonal to a Diverging Diamond Interchange (DDI). This configuration would eliminate several of the extra ramps needed and would make this interchange more efficient for traffic flow and future development. The project also includes pedestrian accommodations, signals installation, and storm drainage concerns.

Kansas Levee Betterment

CMIP #: 5057

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-24-19 (RR)

Prior Authorization Amount \$5,700,000

Approved*:

New Authorization Amount*: \$10,200,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

Project includes Betterments associated with the federally funded Kansas Levee project, a joint effort with the Army Corps of Engineers, KCMO, the Unified Government, and the Kaw Valley Drainage District. The betterments will include property acquisition, relocation, facility betterment, design and construction, public right of way real estate, utility relocations, and permitting necessary for improvements related to the Argentine Levee and the Armourdale and Central Industrial District Levee Projects..

Kaw Point Biosolids Digestion

CMIP #: 6045

Statutory Authority: Charter Ordinance CO-03-09 (for general obligation bonds) and K.S.A. 10-1201 et
Prior Authorization Approved: R-52-18
Prior Authorization Amount \$70,500,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design and construct improvements to restore biosolids digestion process at Kaw Point Waste Water Treatment Plant. The non-utilized existing digester tanks will be structurally rehabilitated and new equipment installed so that the Unified Government may stabilize the biosolids produced at Kaw Point to increase disposal options and decrease disposal costs.

Kaw River Bank Stabilization at Turkey Creek

CMIP #: 5056

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18; R-13-19
Prior Authorization Amount \$10,000,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Construction, inspection, repair and restoration of a portion of the Kaw River Bank adjacent to the Turkey Creek outfall into the Kaw River. Additional work includes replacing the wingwall and floodwall, providing stronger stabilization for the riverbank and restoring the Levee wall / Headwall.

Leavenworth Road, 63rd to 38th Street - Stormwater

CMIP #: 5047

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-80-16
Prior Authorization Amount \$600,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

Construct improvements along 2.8 miles of Leavenworth Road (also designated K-5 State Highway), from 38th Street to 63rd Street. The work includes replacement of existing storm drainage inlets and pipes, and extension of existing drainage culverts. All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, all necessary appurtenances and related construction.

Leavenworth Road, 78th - 63rd Street

CMIP #: 1612

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: O-66-17

Prior Authorization Amount \$9,000,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include the milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, Americans With Disabilities Act compliant street to sidewalk access, along 1.8 miles of Leavenworth Road, from 63rd Street to 78th Street and any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs.

All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, and all necessary appurtenances and related construction.

Lombardy Dr Sanitary Sewer Improvements

CMIP #: 6213

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: O-66-17

Prior Authorization Amount \$1,700,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Relocation and replacement/rehabilitation of sanitary and combined sewers within the Lombardy Park subdivision off of Lombardy Drive and N 22nd Street. This includes approximately 2,400 LF of sewer line ranging from 10"-27" in diameter. Work includes installation of new sewer pipe (including easements), point repairs on existing sewer, trenchless rehabilitation, service line reinstatement and restoration of existing sites. Work could include sewer separation, stormwater BMPs and possible green infrastructure where feasible.

Maintenance Facility - Kansas Avenue

CMIP #: 9232

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-28-09/R-52-18



Debt Project Authorizations

Prior Authorization Amount \$2,100,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Retrofit of an existing building at 5440 Kansas Avenue to accommodate staff and materials for maintenance of UG Vehicles. Improvements to HVAC, structural, plumbing, office spaces, parking lot, equipment lifting elements, and electrical systems will all be a part of this project.

Memorial Hall HVAC

CMIP #: 8208

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-80-16

Prior Authorization Amount \$2,750,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include demolition of the existing equipment and installation of a new, more efficient, air conditioning system. Below is a list of the major mechanical pieces of equipment to be replaced.

- Air Conditioning Compressors, Chillers and Condensers
- Chilled Water Pump
- Chilled Water "Spray Booth"
- Cooling Tower Pump
- Cooling Tower
- Steam Bank
- Blower Fan and Motor
- Boilers and Support Equipment

The electrical systems, which serve this equipment, will also be upgraded.

The existing mechanical room will be relocated from the sub-basement level to basement floor, as well as any modifications necessary to bring the space into compliance with current codes and regulations.

Modifications will also be performed as necessary to bring the existing water service to the building into compliance with current codes regarding cross-connection control.

Project scope of work will also include new boilers and all associated mechanical upgrades to facilitate the improvements.

Additional Air Conditioning Equipment

This project will include installation of air conditioning systems to serve the meeting rooms and offices in the northeast and southeast corners of the building, and the dressing rooms adjacent to the stage. A separate air conditioning system for the grand ballroom will also be provided.

Metropolitan Bikeway Improvement

CMIP #: 1614

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: O-66-17



Debt Project Authorizations

Prior Authorization Amount \$350,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include the addition of installation of designated bike lanes and "sharrows" along a 2.2 mile portion of Metropolitan Avenue, Strong Avenue and 24th Street and any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs.

All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, and all necessary appurtenances and related construction.

Minnesota Ave., from 6th St. to 7th St. Improvements

CMIP #: 1619

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$1,230,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include the improvements to the streetscape of the project area. Elements of the project will be curb and gutter, sidewalk, planting areas, road surface, stormwater concerns, parking issues, and other necessary improvements and related construction.

Neighborhood ADA Pedestrian Handicapped Ramps, 2020

CMIP #: 1141

Reimbursement Resolution

Annual CMIP#: 941-0820

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$400,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Removal and replacement of curbs and sidewalks with curbs, sidewalks, and ramps to provide street to sidewalk access which complies with the Americans With Disabilities Act, including concrete pouring, resurfacing, utility cover adjustments, and associated construction costs, including all appurtenances related thereto, and necessary land acquisitions, engineering, and design at the intersections falling on and within the areas within the City of Kansas City, Kansas at locations to be determined.

North 34th Street Box Culvert

CMIP #: 2142

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-80-16 501



Debt Project Authorizations

Prior Authorization Amount \$380,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Replace existing structure located approximately 125 feet south of the intersection of N. 34th Street and Georgia, and approximately 175 feet north of the intersection of N. 34th St. and Rowland Avenue. Structure is a small bridge with a 9' span on stone backwalls.

Parallel Parkway and K-7 Intersection Improvements

CMIP #: 1617

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$600,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project would include the reconstruction of the intersection of K-7 Highway and Parallel Parkway from its current 4-way signalized configuration to a restricted crossing u-turn style intersection that would reduce the amount of conflict points for motorists. This would involve the removal and replacement of the current signals, pavement, medians, and approaches to the new designs and specifications for the restricted cross u-turn.

Parking Management System, Ticket Spitter, Cash Registers

CMIP #: 8215

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$175,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project is upgrading the parking control system infrastructure including control hardware, ticket spitters, paying machines, gate arms and boxes, and cash registers in the following locations:.

Surface Lots: Downtown

Lot # 3 - Located on South side of Barnett Avenue. Between 6th & 7th Street

Parking Garages: Downtown

Parking garage A - Located on North side of State Avenue. Between 7th & 8th Street

Parking garage C- Located on North side of Armstrong Avenue. Between 7th & 8th Street

Parking garage D- Located between Ann Avenue and Barnett Avenue. Between 7th & 8th Street

Parking garage E- Located on North side of Barnett Avenue. Between 6th & 7th Street

E Reserve- Located on between Ann Avenue and Barnett Avenue. Between 6th & 7th Street

PEDESTRIAN ACCESS TO TRANSIT 970-1232



Debt Project Authorizations

PBC - Courthouse Improvements

CMIP #: 8174

Public Building Commission

Statutory Authority: K.S.A. 12-1757 et seq., as amended by Charter Ordinance CO-1-98 and Charter

Prior Authorization Approved: O-66-17/PBC Resolution 13/R-52-18/PBC Resolution 16

Prior Authorization Amount \$9,825,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include engineering, design, construction, inspection, and project management necessary (1) to upgrade, repair and replace the existing HVAC system within the County Courthouse, as well as install the components for a redundancy system in case of issues with the primary; (2) for the cleaning, and replacing of the Courthouse roof and installation of a new granular surface with sub-structure adhesive in a modified bitumen roofing system; (3) for the removal of the outdated and no longer functioning tornado warning siren; and (4) to update/replace/repair the fire alarms.

PBC - Health Department Building Upgrades

CMIP #: 8720

Public Building Commission

Statutory Authority: K.S.A. 12-1757 et seq., as amended by Charter Ordinance CO-1-98 and Charter

Prior Authorization Approved: R-52-18/PBC Resolution 16

Prior Authorization Amount \$3,075,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Annual upgrades and replacements to and capital repair and renovation of existing Health Department facilities located at 619 Ann Avenue, with emphasis on roof repairs, HVAC System, and elevators. Other issues, including but not limited to plumbing, electrical, and other operating systems, with the building will be addressed during these projects as well.

PBC - Jail - Adult Facility

CMIP #: 8687

Public Building Commission

Statutory Authority: K.S.A. 12-1757 et seq., as amended by Charter Ordinance CO-1-98 and Charter

Prior Authorization Approved: R-80-16/O-66-17/PBC Resolution 13

Prior Authorization Amount \$3,270,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Various improvements to the existing jail facility, including but not limited to roof replacement, chiller replacement, generator improvements, elevator improvements, replacement and repair of cooling towers, and other necessary and related capital improvements to the jail buildings and facilities.



Debt Project Authorizations

PBC - Jail - Juvenile Facility

CMIP #: 8690

Public Building Commission

Statutory Authority: K.S.A. 12-1757 et seq., as amended by Charter Ordinance CO-1-98 and Charter

Prior Authorization Approved: R-80-16/O-66-17/PBC Resolution 13/R-52-18/PBC Resolution 16

Prior Authorization Amount \$28,500,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design, engineering, construction of a Juvenile Detention Facility in the northeast area of the county at a location that is bounded by 7th Street on the east, 8th Street on the west, Tauromee Avenue on the south, and Armstrong Avenue on the north. Project may include construction of additional surface parking lots to service the Juvenile Detention Facility, as well as the nearby adult jail facility and courthouse operations.

Piper Creek Interceptor

CMIP #: 6046

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18

Prior Authorization Amount \$9,500,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Installation and construction of a new sanitary sewer interceptor line along the Piper Creek Sewer-shed that will allow for flow to the new Wolcott Treatment Plant. Project will also include improvements to existing pump stations along this flow route to increase capacity and efficiency for future needs.

Plant 20 & 3 Equipment and Structural Rehab

CMIP #: 6132

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: O-66-17/R-52-18

Prior Authorization Amount \$3,000,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include the engineering, and installation for the replacement of the influent bar screens and bar screen conveyor system at Treatment Plant 20 and Treatment Plant 3. Currently, treatment plant #20 and #3 have aged bar screens that are failing and have deteriorated past the point of repair. The bar screens are necessary to remove the larger debris before entering the treatment facility in order to save wear and tear on the pumps and other equipment within the treatment plant.



Debt Project Authorizations

Plant 20 Biosolids Dewatering

CMIP #: 6047

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount: \$5,000,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Rehabilitate and relocate existing equipment from the Kaw Point Wastewater Treatment Plant to Plant 20 to replace equipment that has outlived its useful life.

Police Tow Lot

CMIP #: 8212

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-80-16
Prior Authorization Amount: \$5,000,000
Approved*:
Authorization Amount*: NONE

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

The governing body hereby determines that it is necessary to design, engineer, construct, repair, or improve a Police Tow Lot within the boundaries of Kansas City, Kansas at a location to be determined.

Priority Traffic Signal Replacements, 2020

CMIP #: 3345

New Project

Annual CMIP#: 943-0120

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: NONE
Prior Authorization Amount: NONE
Approved*:
New Authorization Amount*: \$400,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

The project will be focused on traffic signal equipment upgrades to improve operation efficiency and connection to the communication network. Scope of work includes but is not limited to upgrades to the communication switches, underground connection to backbone fiber network, and upgrades to traffic signal controller, servers, and software. Work includes retiming of traffic signals for coordination along corridors. This includes upgrades to traffic signals along major arterial streets controlled by traffic signals. Arterial streets this project includes but are not limited to are Kansas Avenue, 55th Street, State Avenue, Parallel Parkway, Metropolitan Avenue, Shawnee Drive, Downtown area and others as needed. The scope of work also includes equipment upgrades to signalized intersections at K-59 and Kansas Avenue, and preliminary design plans for intersection of Metropolitan and 42nd Street.



Debt Project Authorizations

Priority Traffic Signal Replacements, 2018

CMIP #: 3345
Annual CMIP#: 943-0118

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17
Prior Authorization Amount: \$800,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Evaluation and complete removal of existing non-warranted traffic signals that may include: 8th and Armstrong, 8th and Ann, 9th and Quindaro, and 10th at Walker. Design and complete reconstruction of the traffic signal at from the priority list that may include 6th and Ann, 6th and Armstrong, 5th and State Avenue, 13th and Washington, 10th and Kansas Avenue, 10th and Pacific, 10th and State Avenue, 59th and Leavenworth Road pole replacement. Reconstruction includes new poles, mast arms, controllers, underground conduits and wiring, video and pavement vehicle detectors, associated curbs, sidewalks, pavement repairs, Americans With Disabilities Act accessible ramps, signing, and pavement markings. This project includes all associated construction costs and any appurtenances related thereto, any necessary land acquisition, engineering, and design as well as concept design of adjacent signals and coordination. This include equipment upgrades to existing traffic signal to connect to the fiber optic communication network along Parallel Parkway west of College Parkway, State Avenue west of 94th street and other locations along the new fiber optic communication network.

Priority Traffic Signal Replacements, 2019

CMIP #: 3345
Annual CMIP#: 943-0119

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount: \$800,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

The project will be focused on traffic signal equipment upgrades to improve operation efficiency and connection to the communication network. Scope of work includes but is not limited to upgrades to the communication switches, underground connection to backbone fiber network, and upgrades to traffic signal controller, servers, and software. The scope of work also includes equipment upgrades to signalized intersections along K-7 intersections from Parallel Parkway to Polfer Road. These signalized intersections will be added to the Operation Green Light communication network. The primary locations that would receive upgrades are the signalized intersections along State Avenue, Parallel Parkway, Minnesota Avenue, K-32 and any other adjacent to the new UG/UPN fiber optic network.

Pump Station 18, 5, 4 Upgrade

CMIP #: 6131

Amount Amended

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: O-66-17/R-52-18 506



Debt Project Authorizations

Prior Authorization Amount \$3,450,000

Approved*:

New Authorization Amount*: \$4,450,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will require the study, design, construction, inspection, and testing to repair and/or replace the existing force main between pump station #18 and pump station #5, and the force main between pump station #5 and pump station #4 as well as structural upgrades. The aging infrastructure combined with the consequence of failure on these facilities has moved this project up the priority list.

Pump Stations SCDA

CMIP #: 6354

Amount Amended

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-80-16

Prior Authorization Amount \$5,500,000

Approved*:

New Authorization Amount*: \$7,000,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project will include design and construction to upgrade hardware, software, instrumentation, controls and electrical at pump stations and wastewater treatment plants system wide.

Relocation of Sewer Maintenance Facilities

CMIP #: 6039

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-114-13/R-98-15/R-52-18

Prior Authorization Amount \$11,000,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project involves the building of new facilities to house sewer maintenance offices for staff, equipment, and vehicles. Project may or may not include the purchase of ground to build on based on research by outside consultants for optimum site location. Building facility would include offices as well as garage space for large vehicles and equipment and supplies used in the sewer maintenance section including any appurtenances related thereto, and any associated inspection, engineering, design, and construction costs. Location and size of building has not yet been determined.

Route 107 Bus Stop & Station Upgrades

CMIP #: 1225

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-114-14

Prior Authorization Amount \$760,000

Approved*:

507



Debt Project Authorizations

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Construction of 4 new transit stops at the following intersections: 7th Street & Ann Avenue, 7th Street and Central Avenue, 7th Street and Kansas Avenue, Rainbow Blvd & W. 39th Avenue, as well as the enhancement of existing bus stops at 7th Street & Pacific Avenue, 7th Street and Osage Avenue, 7th Street & Miami Avenue, 7th Street & Stine, Rainbow Blvd & Rainbow Extension, Rainbow Blvd & W. 36th Avenue, and Rainbow Blvd & Olathe Avenue. This work includes concrete sidewalk and curb construction, removal of existing sidewalks, cross walk upgrades, plus all necessary traffic control and detours signing, pavement markings, and utility box adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, all necessary appurtenances and related construction.

Safe Routes to School, Group D

CMIP #: 3334

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-115-14/R-80-16

Prior Authorization Amount \$570,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Construct new and replacement sidewalks and make other pedestrian walkway improvements within one-half mile of the following existing schools:

Hazel Grove Elementary, at Cleveland Avenue and 67th Street;

Midland Trial Elementary, at 51st Street and Locust Avenue; and

Frank Rushton Elementary, at 43rd Avenue and Fisher Avenue.

Work includes the addition of sidewalks, repair and replacement of curbs, existing sidewalk and driveways, repair and patching of existing pavement, the addition of ADA-compliant curb ramps, select traffic calming measures such as curb bulb-outs or speed humps, storm drainage upgrades including pipe and inlets, and new pavement markings, crosswalks, and signage, as well as associated restoration.

All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, all necessary appurtenances and related construction.

Safe Routes to School, Group E

CMIP #: 3335

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-116-14/O-66-17

Prior Authorization Amount \$530,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:



Debt Project Authorizations

Construct new and replacement sidewalks and make other pedestrian walkway improvements within one-half mile of the following existing schools:

T.A. Edison Elementary, at 10th Street and Locust Avenue;
Noble Prentis Elementary, at 14th Street and Gibbs Road; and
William Allen White Elementary, at 44th Street and Rowland Avenue.

Work includes the addition of sidewalks, repair and replacement of curbs, existing sidewalk and driveways, repair and patching of existing pavement, the addition of ADA-compliant curb ramps, select traffic calming measures such as curb bulb-outs or speed humps, storm drainage upgrades including pipe and inlets, and new pavement markings, crosswalks, and signage, as well as associated restoration.

All work includes necessary traffic control and detour signing, and utility adjustments, necessary to accommodate the improvements as well as all necessary engineering, design, inspection, all necessary appurtenances and related construction.

Safe Routes to Schools-Phase G (Northwest Middle and

CMIP #: 3037

New Project

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: NONE

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$528,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Construction of new sidewalks that would provide pedestrian connectivity between two schools, Northwest Middle and Bertram Caruthers Elementary, in the Northeast Historic Neighborhood in Kansas City, KS. The project will emphasis on filling the gaps on sidewalks along two east-west streets (Cleveland Avenue and Waverly Avenue between the boundaries of 18th St and 11th St) and north-south streets between Cleveland Ave and Parallel Pkwy. The project will construct new sidewalk to fill the gaps on sidewalks between the schools, provide access to major arterial roadways, activity centers, and transit routes.

Sewer Main Extension, 2020

CMIP #: 6001

Reimbursement Resolution

Annual CMIP#: 946-0520

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: None

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$1,500,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Construction of sanitary sewer main. Extensions may include gravity system, low pressure, or force main. Elements of the project will include grading, right of way acquisitions, design, inspection, and installation of pipes, pump stations, manholes, and all other appurtenances related to standard construction of this type of project at Pump Station 15 elimination near the intersection of Georgia and Hutton Road, multiple parcels near 10th and Shawnee Drive, and Fairfax Drive in the 1300 block.



Debt Project Authorizations

Sewer Main Extension, 2019

CMIP #: 6001

Name Change, Amount Amended

Annual CMIP#: 946-0519

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18 (RR)

Prior Authorization Amount \$7,500,000

Approved*:

New Authorization Amount*: \$1,500,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

The 2019 Project will include the construction of approx. 1.5 miles of sanitary sewer main along Inland Drive from Plant 14 to new potential development sites. Elements of the project will include grading, right of way acquisitions, design, inspection, and installation of pipes, pump stations, manholes, and all other appurtenances related to standard construction of this type of project.

Stream Bank Stabilization Improvements, 2020

CMIP #: 5046

Reimbursement Resolution

Annual CMIP#: 945-0220

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: None

Prior Authorization Amount NONE

Approved*:

New Authorization Amount*: \$100,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design and construction for protection of existing storm sewer structures due to stream bank failures and erosion. These sites are located within the City, and are discovered and prioritized as part of the MS4 requirement for annual storm sewer outfall inspections.

Stream Bank Stabilization Improvements, 2017-2019

CMIP #: 5046

Name Change, Description Amended, Amount Amended

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-52-18 (RR)

Prior Authorization Amount \$600,000

Approved*:

New Authorization Amount*: \$300,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design and construction of approximately 850 linear feet of streambank improvements East of N. 86th Street between N. 85th Place and N. 85th Terrace. Design and construction of improvements include grading, storm sewer outlet reconfiguration and protection, sanitary sewer crossing stabilization, channels grading, native plantings, stream stabilization revetment, removal of existing features, storm sewer outfall reconfiguration and protection and other ancillary tasks necessary to complete construction.



Debt Project Authorizations

Strong Avenue Levee Pump Station Flood Control

CMIP #: 5049

Amount Amended

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: O-66-17

Prior Authorization Amount \$1,200,000

Approved*:

New Authorization Amount*: \$2,500,000

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project involves the removal and replacement of existing pump station and machinery located at Strong Ave. and S. 24th Street. The flood pump station will be replaced with a new, modern station capable of handling the increased load that have been dictated by the Army Corp. of Engineers.

Urban Renewal Project - Demolition

CMIP #: 8518

Statutory Authority: K.S.A. 17-4754

Prior Authorization Approved: O-66-17

Prior Authorization Amount \$2,000,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

This project will include the costs related to the demolition of certain structures deemed by the Unified Government to be unsafe, insanitary, dangerous or detrimental to the health, safety or morals, or otherwise inimical to the welfare of residents of the Unified Government, and otherwise planning, undertaking or carrying out of urban renewal projects in the City of Kansas City, Kansas.

Wolcott Expansion/Conner Creek

CMIP #: 6056

Statutory Authority: Charter Ordinance CO-03-09

Prior Authorization Approved: R-80-16/O-66-17/R-52-18

Prior Authorization Amount \$53,942,000

Approved*:

New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Design and construct a new 2.0 million gallon per day (MGD) wastewater treatment plant to replace the existing temporary treatment plant that is now reaching its capacity due to growth. The new treatment plant will serve the Connor Creek, Honey Creek, Island Creek, Piper Creek and Marshall Creek sewer sheds in western Wyandotte County. The new treatment plant will serve areas currently pumped to Treatment Plant 20, thus postponing the expansion of Treatment Plant 20. The plant will be built at 9407 Main Street, adjacent to the existing plant at 9404 Main Street.



Debt Project Authorizations

Wyandotte County Lake Waterline Study & Repair

CMIP #: 4425

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-94-12/R-97-15/O-66-17
Prior Authorization Amount \$2,645,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Project design for the replacement of the existing UG owned water line around Wyandotte County Lake Park that was mostly originally constructed in the 1930's. Project construction will include the clearing of trees, earthwork, line removal and replacement, base stabilization, compaction, removal and replacement of existing lines, and restoration of disturbed areas.

Wyandotte County Park Road Repairs

CMIP #: 4253

Statutory Authority: Charter Ordinance CO-03-09
Prior Authorization Approved: R-52-18
Prior Authorization Amount \$200,000
Approved*:
New Authorization Amount*: N/A

**plus capitalized interest on any temporary financing and costs of issuance*

Project Description:

Milling, concrete pouring, asphalt overlay, resurfacing, utility cover adjustments, pavement marking, traffic control modifications, railroad crossing enhancements, Americans With Disabilities Act compliant street to sidewalk access, including any appurtenances related thereto any necessary land acquisitions, and associated engineering, design, inspection, removal, replacement, and construction costs at locations in and around several Wyandotte County Parks.

Possible locations:

Pierson Park
Wyandotte County Lake Park
Alvey Park
City Park
Garland Park
Klamm Park
Highland Park
Westheight Park

EXHIBIT B

Resolution R-71-15 and Resolution R-82-15

RESOLUTION NO. R-71-15

A RESOLUTION AUTHORIZING CERTAIN SANITARY SEWER FACILITIES AND SEWAGE TREATMENT AND DISPOSAL PLANT IMPROVEMENTS AND PROVIDING FOR THE MANNER OF PAYING FOR THE SAME.

WHEREAS, Article 12, Section 5 of the Constitution of the State of Kansas and Charter Ordinance No. CO-03-09 of the Unified Government of Wyandotte County/Kansas City, Kansas (the "Unified Government") authorizes the governing body of the Unified Government to make a variety of improvements as further described in CO-03-09 and to issue its general obligation bonds and/or temporary notes for the same; and

WHEREAS, the Unified Government has determined that it is necessary to make certain sanitary sewer facilities and sewage treatment and disposal plant improvements, as more fully described herein.

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, AS FOLLOWS:

Section 1. Pursuant to the authority described above, the Unified Government hereby finds and determines that it is necessary to make the following **CID DUMP STATION IMPROVEMENTS OR RELOCATION (CMIP 963-6125)** (the "Improvements"):

Project will include an alternatives evaluation followed by design and construction of recommended improvements to the septage receiving station (also known as "dump station"). The facility is currently located at the CID pump station at 300 North James Street. Upon completion of the alternatives evaluation, the recommended alternative will be designed and constructed.

Section 2. For the purpose of providing funds for the Improvements, all as approved by the governing body, the Unified Government hereby authorizes the issuance of its general obligation bonds pursuant to Article 12, Section 5(a) of the Constitution of the State of Kansas and Charter Ordinance No. CO-03-09 in an amount not in excess of **\$1,000,000** plus capitalized interest and costs of issuance. Temporary Notes of the Unified Government are hereby authorized to be issued from time to time by resolution in an amount not to exceed the amount of general obligation bonds herein authorized.

Section 3. The Unified Government expects to make capital expenditures in connection with the Improvements and intends to reimburse itself for such expenditures with the proceeds of general obligation bonds and/or temporary notes in an amount not to exceed **\$1,000,000** plus capitalized interest and costs of issuance. Any general obligation bonds and/or temporary notes issued under the authority of this Resolution may be used to reimburse expenditures made on or after the date that is 60 days before the date of adoption of the Reimbursement Resolution pursuant to U.S. Treasury Regulation §1.150-2.

Section 4. This Resolution shall take effect and be in full force from and after its passage

and approval by the governing body of the Unified Government.

PASSED by the Governing Body on 19 day of November, 2015
and APPROVED by the Mayor.

(SEAL)

ATTEST:



Mayor/CEO

Deputy



Unified Government Clerk

RESOLUTION NO. B-82-15

A RESOLUTION AUTHORIZING CERTAIN PUBLIC BUILDING AND STRUCTURE IMPROVEMENTS AND PROVIDING FOR THE MANNER OF PAYING FOR THE SAME.

WHEREAS, Article 12, Section 5 of the Constitution of the State of Kansas and Charter Ordinance No. CO-03-09 of the Unified Government of Wyandotte County/Kansas City, Kansas (the "Unified Government") authorizes the governing body of the Unified Government to make a variety of improvements as further described in CO-03-09 and to issue its general obligation bonds and/or temporary notes for the same; and

WHEREAS, the Unified Government has determined that it is necessary to make certain improvements to public buildings and structures, as more fully described herein.

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, AS FOLLOWS:

Section 1. Pursuant to the authority described above, the Unified Government hereby finds and determines that it is necessary to make the following **NEW BOILERS MUNICIPAL OFFICE BUILDING/CITY HALL (CMIP 969-8171)** improvements (the "Improvements"):

This project will cover all design, engineering testing, operational certification testing, and construction cost for two (2) new replacement boilers for the Municipal Office Building. Included in the project will be the associated mechanical, plumbing, and electrical items required to fully integrate the new boilers with the existing building heating system. Project will include all required demolition, abatement, and reinstallation of other support systems units to install the new boilers. The project cost will include but is not limited to removal of existing units to provide a clear access route to the boilers' original locations.

Section 2. For the purpose of providing funds for the Improvements, all as approved by the governing body, the Unified Government hereby authorizes the issuance of its general obligation bonds pursuant to Article 12, Section 5(a) of the Constitution of the State of Kansas and Charter Ordinance No. CO-03-09 in an amount not in excess of **\$750,000** plus capitalized interest and costs of issuance. Temporary Notes of the Unified Government are hereby authorized to be issued from time to time by resolution in an amount not to exceed the amount of general obligation bonds herein authorized.

Section 3. The Unified Government expects to make capital expenditures in connection with the Improvements and intends to reimburse itself for such expenditures with the proceeds of general obligation bonds and/or temporary notes in an amount not to exceed **\$750,000** plus capitalized interest and costs of issuance. Any general obligation bonds and/or temporary notes issued under the authority of this Resolution may be used to reimburse expenditures made on or after the date that is 60 days before the date of adoption of the Reimbursement Resolution pursuant to U.S. Treasury Regulation §1.150-2.

Section 4. This Resolution shall take effect and be in full force from and after its passage and approval by the governing body of the Unified Government.

PASSED by the Governing Body on 19 day of Nov, 2015
and APPROVED by the Mayor.

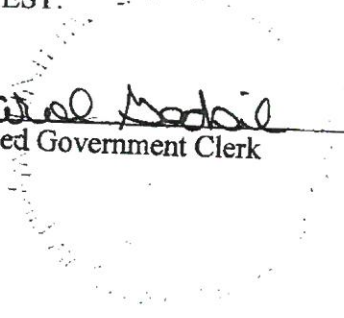
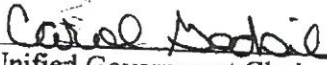
(SEAL)

ATTEST:



Mayor/CEO

Deputy

Unified Government Clerk



Staff Request for Commission Action

Tracking No. 191061

Full Commission Meeting Date: 12/12/19

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: Yes 12/7/2019

<u>Date:</u>	<u>Contact Name:</u>	<u>Contact Phone:</u>	<u>Contact Email:</u>	<u>Department/Division:</u>
11/19/2019	Rick Mikesic, Kathleen VonAchen, Andrea Parra	x8046, x5186	rmikesic@wycokck.org, kvonachen@wycokck.org	Finance

Item Description:

A presentation of the Unified Government's Cash and Investments Policy. This annual review and adoption is required by State statute. Submitted for your adoption by Rick Mikesic, Director of Revenue/County Treasurer.

Action Requested:

Please Approve

Budget Impact: (if applicable)

Amount:

Source:

Included In Budget:

Other (explain):

Attachments List:

Resolution Adopting Cash Management Policy, Cash-Investment Policy-Draft 2019-Final Draft, Cash-Investment Policy-Draft 2019-Red Line

(Published in *The Wyandotte Echo* on _____, 2019)

RESOLUTION NO. R-_____-19

**A RESOLUTION ADOPTING THE UNIFIED
GOVERNMENT'S CASH MANAGEMENT POLICY.**

WHEREAS, the purpose of the Cash Management and Investment Policy (the "Policy") is to identify the policies and statements of the Unified Government regarding the safe and responsible management of Unified Government funds and to authorize and establish procedures for the management and investment of Unified Government funds to achieve the Policy objectives.

WHEREAS, the Policy shall be reviewed on an annual basis by the Cash Management Committee and shall be reviewed and approved annually by the Board of Commissioners. If it deems it necessary, the Cash Management Committee will recommend changes to the Policy to the Board of Commissioners. Any recommended modifications to the Policy must be reviewed and approved by the Board of Commissioners.

WHEREAS, the Cash Management Committee met on October 22, 2019, to review the Policy. The Committee voted to make various revisions to the Policy as set forth in Exhibit A, attached hereto.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF
COMMISSIONERS OF THE UNIFIED GOVERNMENT:**

SECTION 1. Adoption of Cash Management and Investment Policy. The Board of Commissioners of the Unified Government hereby approves and adopts the Policy, as set forth in Exhibit A, attached hereto. The Board of Commissioners finds that the attached Policy sets forth procedures which will allow the Unified Government to invest funds in a manner that will meet daily cash flow demands while providing a reasonable rate of return with the maximum security in conformity with all Kansas statutes governing the investment of public funds.

SECTION 2. Effective Date. This Resolution shall be effective upon adoption by the Unified Government Board of Commissioners.

**ADOPTED BY THE BOARD OF COMMISSIONERS OF THE UNIFIED
GOVERNMENT THIS 12th DAY OF DECEMBER, 2019.**

Mayor/CEO

Unified Government Clerk



Unified Government of Wyandotte County and Kansas City, Kansas

Cash and Investment Policy

Commission Resolution:
Last Revised
Last Adopted:

Section 1. General Purpose Statement

The Board of Commissioners has authority to invest all funds held by or belonging to Wyandotte County/Kansas City, Kansas ("Unified Government" or "UG"). The purpose of this Cash Management and Investment Policy is to identify the policies and statements of the Unified Government regarding the safe and responsible management of the Unified Government funds; and to authorize and establish procedures for the management and investment of Unified Government funds to achieve the Policy objectives.

Section 2. Legal Authority

The Unified Government Board of Commissioners is granted the authority to invest temporarily idle funds, i.e. those funds which are not immediately required for the purposes for which the moneys were collected or received and the investment of which is not subject to or regulated by any other statute, under K.S.A. 12-1675 and 12-1677b which also identifies the types of investments the Unified Government may purchase.

Section 3. Policy Statement

The policy of the Unified Government is to invest its funds in a manner which will provide a reasonable rate of return with the maximum security while meeting the daily cash flow demands of the Unified Government and conforming to all statutes governing the investment of such funds.

Section 4. Scope

This Cash Management and Investment Policy shall apply uniformly to all officials, employees, departments, agencies, representatives and authorized agents in the performance of their official duties and to the processing and management of all investment transactions of the Unified Government's idle funds. All participants in the investment process shall act responsibly as custodians of the public trust. Investment officials will conduct themselves as good stewards of public funds that will promote public confidence in the Unified Government's ability to govern effectively.

This Cash Management and Investment Policy applies to the Unified Government's cash management and investment activities primarily focused on idle funds and general obligation bond proceeds held by or under the control of the Unified Government. Debt service funds, reserve funds, and other financial assets held by various fiscal agents and trustees as provided under various bond ordinances are invested at the direction of the Unified Government but are not held by the UG nor under the direct control of the Director of Revenue/County Treasurer.

Section 5. Adoption and Annual Review

This Cash Management and Investment Policy shall be adopted by resolution of the Board of Commissioners. The Policy shall be reviewed on an annual basis by the Cash Management Committee

and shall be reviewed and approved annually by the Board of Commissioners. If it deems it necessary, the Cash Management Committee will recommend changes to this Policy to the Board of Commissioners. Any recommended modifications to the Policy must be reviewed and approved by the Board of Commissioners.

Section 6. Cash Management Committee; Delegation of Authority

A Cash Management Committee shall be established. The Cash Management Committee (CMC) shall consist of the following voting members: Unified Government's Chief Financial Officer, the Clerk, the Director of Revenue/County Treasurer, the Chief Counsel or designee and the following non-voting members: the Legislative Auditor or designee, Accounting Manager, Cash Manager and one member from the municipal advisory firm of the Unified Government. The Chief Financial Officer shall serve as the Chairperson of the CMC.

Responsibility for the operation of the investment program is delegated to the CMC which shall establish procedures and internal controls for the operation of the investment program consistent with this Policy.

Daily and routine investments of Unified Government idle funds will be made by the Chief Financial Officer or designee, under the guidelines set forth in this Policy and as recommended by the CMC.

Section 7. Investment Procedures

This Policy is administered through a separate set of written Investment Procedures, which should be referred to in conjunction with this Policy. The Cash Management Committee is hereby authorized to adopt written Investment Procedures consistent with this Cash Management and Investment Policy. Such Procedures shall include explicit delegation of authority to persons responsible for investment transactions. No person may engage in an investment transaction except as provided under the terms of this Policy and the Procedures adopted by the Cash Management Committee. The Director of Revenue/County Treasurer shall be responsible for all transactions undertaken and shall establish a system of internal controls to regulate the activities of subordinate officials.

In the development of the system of internal controls, consideration shall be given to documentation of strategies and transactions, techniques for avoiding collusion, separation of functions, delegation of authority, limitations of authority, and custodial safekeeping.

Section 8. Staff Qualifications

- A. The Unified Government shall hire a Cash Manager or shall retain an outside manager to manage investments. The Cash Manager will have the necessary qualifications to perform investment duties as outlined in the Cash Management and Investment Policy and the Cash Management and Investment Procedures and will be supervised by the Chief Financial Officer or designee.
- B. Duties of the Cash Manager position include the following:
 - 1. Management of the short-term and long-term investment portfolios in accordance with K.S.A. 12-1675 and 12-1677b and amendments thereto, with any other applicable statutes or ordinances or resolutions, and with this Cash Management and Investment Policy and the Cash Management and Investment Procedures and amendments thereto;
 - 2. Tracking investment transactions; ensuring accuracy and security of investments, monitoring record keeping of investments;

3. Performing inspections on safekeeping receipts held as collateral to cover investments; alerting banks regarding insufficient collateral;
 4. Prepare cash flow forecasts;
 5. Generate investment performance statistics and activity reports; and
 6. Other duties as assigned by the Chief Financial Officer or the Director of Revenue/Treasurer.
- C. Specific qualifications include a bachelor's degree in Finance, Accounting, Economics, Business, or Public Administration and two years of progressively responsible investing or accounting experience, or any equivalent combination of education and experience sufficient to successfully perform the essential duties of the job. If the individual appointed to the Cash Manager position does not possess the requisite investment experience, the individual will attend government investment training approved by the Chief Financial Officer within one year of appointment.

Section 9. Investment Advisor

The Chief Financial Officer, with the approval of the Cash Management Committee, may appoint an independent Investment Advisor registered with the Securities and Exchange Commission pursuant to the Investment Advisers Act of 1940 and the rules adopted thereunder, or a "Municipal Advisor" as defined by Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act, amending Section 15B of the Securities Exchange Act of 1934, and interpreted by the Securities and Exchange Commission in its final rules adopted September 10, 2013, to advise the Unified Government on investment activities. The Investment Advisor will be selected through a competitive process under the Unified Government's Procurement Code. The terms and conditions of such relationship shall be set out in a contract. The duties and responsibilities of the Investment Advisor at a minimum shall include the following.

1. Providing advice and analysis on the Unified Government's Investment Policy, portfolio management techniques, portfolio structures, and new investment securities and products;
2. Assistance in developing or improving and implementing cash flow modeling;
3. Providing advice on investment benchmarking and performance reporting;
4. Evaluation of the capabilities and usage of software utilized in management of and accounting for the investments;
5. Assisting in any investment related presentations to the Cash Management Committee or Board of Commissioners; and
6. Providing analysis, advice, and assistance on other investment-related matters, including investment of bond proceeds.

Section 10. Standards of Care

A. Prudence

The standard of prudence to be used by investment officials shall be the "prudent person standard" and shall be applied in the context of managing an overall portfolio. Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence would exercise in the management of their own affairs, not for speculation, but for investment, considering first the safety and liquidity of their capital

and next the probable income to be derived. If outside investment professionals are retained, they shall be held to the “prudent expert standard,” that is, they shall exercise the judgment, care, skill, prudence and diligence, under the circumstances then prevailing, which persons of prudence, discretion and intelligence acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of like character and with like aims by diversifying the investments so as to minimize the risk of large losses, unless, under the circumstances, it is clearly prudent not to do so, and not in regard to speculation but in regard to the permanent disposition of similar funds, considering the probable income as well as the probable safety of their capital.

The Chief Financial Officer, other investment officials, and the members of the CMC, when acting in accordance with the written Investment Procedures and the Cash Management and Investment Policy, and when exercising due diligence, shall be relieved of personal responsibility for an individual security’s credit risk or market price changes, provided deviations from expectations are reported in a timely fashion and appropriate action is taken to control adverse developments.

B. *Ethics and Conflict of Interest*

Unified Government officers and employees authorized to perform investment duties shall refrain from personal business activity that could conflict with proper execution and management of the investment program, or that could impair their ability to make impartial decisions. For purposes of this Policy, “officers and employees” means voting members of the Cash Management Committee and the Cash Manager; it shall not mean elected officials.

No officer or employee shall use his or her official position or office to obtain direct or indirect personal financial gain for himself or herself, his or her family, or any business in which the officer or employee has a financial interest. Officers and employees are governed by this Policy, the Unified Government Code of Ethics, and any applicable state laws.

Investment staff shall refrain from undertaking personal investment transactions with the same individual with whom business is conducted on behalf of the Unified Government.

Officers and employees shall disclose annually to the Legislative Auditor and to the Ethics Administrator any financial interest in financial institutions with which the Unified Government conducts business or any benefit which the officer or employee obtains from any Unified Government contract or from placement of an investment of Unified Government funds. For purposes of this Policy, “financial institution” means banks, savings banks, or savings and loan associations as defined in K.S.A. 12-1675a and amendments thereto. For purposes of this Policy, “financial interest” means (a) ownership or any interest or involvement in any relationship from which, or as a result of which, a person within the past year has received, or is presently or in the future entitled to receive, more than \$5,000 per year, or its equivalent; (b) ownership of such interest in any property or any business as may be specified by the Ethics Commission; or (c) holding a position in a business such as an officer, director, trustee, partner, employee, or the like or holding any position of management. Financial interest does not include household operating accounts or a depository relationship with a financial institution.

Each financial institution in which the Unified Government deposits funds and each investment manager and each consultant retained by the Unified Government shall be notified of this

section of the Policy and shall conform to its provisions and shall not participate in any violation of this section or in any effort to influence any officer or employee to breach the standards of ethical conduct set forth in this section.

Section 11. Objectives

The primary objectives of the Unified Government investment activities, in priority order, shall be:

- A. *Safety.*** Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of principal in the overall portfolio. The objective will be to mitigate credit and interest rate risk.

1. *Credit Risk*

Credit risk, the risk of loss due to the failure of the security issuer or backer, will be minimized by:

- a. Limiting investment to the safest types of securities;
- b. Pre-qualifying financial institutions, broker/dealers, intermediaries, and advisors with whom the UG will do business; and
- c. Diversifying the investment portfolio so that potential loss on individual securities will be minimized.

2. *Interest Rate Risk*

Interest rate risk, the risk that the market value of securities in the portfolio will fall due to changes in general interest rates, will be minimized by:

- a. Structuring the investment portfolio so that the securities mature to meet cash requirements of the general operating fund, thereby avoiding the need to sell securities prior to maturity; and
- b. Investing general operating funds primarily in shorter-term securities.

B. *Diversification.*

1. *In General*

It is the policy of the Unified Government to diversify its investment portfolio so as to protect its funds from material losses due to issuer defaults, market price changes, technical complications leading to temporary lack of liquidity, or other risks resulting from an over-concentration of assets in a specific maturity, a specific issuer, or a specific class of securities.

2. *By Institution*

Investments will be diversified so that reliance on any one issuer or financial institution will not place an undue financial burden on the Unified Government in the event of default. Accordingly, no more than 25% of the total investment portfolio shall be with the same financial institution or issuer, except US treasuries, unless it would be prudent to do so under prevailing circumstances. If the above limit is exceeded, the Chief Financial Officer will notify the CMC.

3. *By Instrument Type*

Market and credit risk will be minimized by diversification among investment types. The following are maximum limits for the percentage of Unified Government investable funds to be invested in each investment type.

a.	Certificates of deposit	100%
b.	U. S. Treasury bills, notes or bonds	100%
c.	U. S. Government agency obligations	50%
d.	Kansas Municipal Investment Pool	50%
e.	Repurchase agreements	25/100%*
f.	Bank trust department municipal pools	25%
g.	Temporary notes or no-fund warrants	10%

Because of distortion created by deposit of proceeds from the sale of temporary notes issued by the Unified Government, measurement of the maximum limits on investments by institution and by instrument type for purposes of this subsection 11.B. shall occur at least one week after the deposit of such proceeds.

* NOTE: Investments in short term securities shall be limited to 10% of investable funds, and investments in repurchase agreements shall be limited to 25% of investable funds, except as set out below. While it is not the goal to invest 100% of investable funds in either short term securities or repurchase agreements, the ability to invest the maximum limit in these two investment types is recognized as an option in certain market circumstances when these investments offer higher returns than other investment types at minimal risk. The option to invest more than the 10% or 25% limit respectively will be used only when the Cash Manager determines, with the concurrence of the Chief Financial Officer and the Unified Government's municipal advisory firm, that it is advantageous and prudent to do so.

C. *Liquidity.*

1. The Unified Government's investment portfolio will remain sufficiently liquid to enable it to meet all operating requirements that might be reasonably anticipated without incurring material losses by structuring the portfolio so that securities mature concurrent with anticipated cash needs. Since all possible cash demands cannot be anticipated, the portfolio should consist largely of securities with active secondary or resale markets.
2. The Unified Government understands the importance of having sufficient funds invested in overnight sweep accounts to meet weekly payrolls, accounts payable, scheduled debt service, and extraordinary expenses that may occur, which may range from 10% to 25% of available investment funds.
3. It is important for a county government to have the necessary funds for the scheduled tax distributions to other governmental entities. In particular, liquidity is essential for the January and June tax distributions. Therefore, it is the policy of the Unified Government that such funds be held liquid to provide assurance as to the availability and

safety of these funds held on behalf of the other governmental entities under the Unified Government's trust.

D. *Maturity.*

1. All investments shall be made to mature in accordance with cash needs identified in regularly prepared and updated cash flow forecasts. The Unified Government recognizes that the laddering of investments is a sound approach to mitigate short-term interest rate fluctuations. Additional considerations in the structuring of investments shall include:
 - a. Review of economic and financial indicators, such as Federal Reserve monetary policy position statements and the U.S. Treasury yield curve; and
 - b. Input from the Unified Government's municipal advisory firm.
2. The Unified Government has adopted the following maturity target ranges for its core investment portfolio. Core investments include all operating funds of the Unified Government and exclude bond proceed funds held by a bond trustee for the purpose of project construction, debt service payments, debt reserves or other escrow requirements..

a.	0 – 12 months	30% to 60%
b.	12 – 24 months	20% to 40%
c.	24 – 36 months	15% to 30%
d.	36 – 48 months	10% to 20%

The maturity targets are provided as a guideline. Notwithstanding the above maturity target ranges, cash flow requirements and existing interest rate markets may dictate the need to adjust the timing of investment maturities.

4. The sale of securities before maturity shall require the prior approval of the Chief Financial Officer based on the following reasons:
 - a. A security with declining credit quality may be sold early to minimize loss of principal.
 - b. Liquidity needs require a security to be sold.
 - c. It is advantageous to the portfolio to sell such securities.
 - d. Financial failure of the issuer is likely.
5. As long as this Policy continues to be approved by the State Pooled Money Investment Board, the maximum maturity for investments shall be four years. Otherwise the maximum maturity for investments shall be two years.

E. *Return on Investment.* The investment portfolio shall be designed to attain a market-average rate of return throughout budgetary and economic cycles, taking into account the UG's investment risk constraints, state statutes, cash flow characteristics of the portfolio, and

prudent investment principles. As a benchmark for risk-free investment transactions, the U.S. Treasury Bill rate for the Unified Government's total portfolio weighted average maturity term will be the minimum standard for the portfolio's rate of return. Return on investment is the last in importance compared to the safety, diversification, liquidity and maturity objectives described above.

Section 12. Performance Evaluation and Reporting

Investment performance shall be continually monitored and evaluated by the CMC. Investment performance statistics and activity reports will be generated by the Cash Manager. Summary investment reports will be provided quarterly to the Economic Development and Finance Standing Committee of the Unified Government Board of Commissioners, with copies to the County Administrator, the Unified Government Commission, and the Cash Management Committee.

Reports shall include but not be limited to information on interest received, interest earned, investment yield, types of investments, distribution by type of investments, maturity schedule by month, weighted average days to maturity, evaluation of portfolio to selected benchmark, and any other information deemed necessary by the Chief Financial Officer or requested by the County Administrator or the Board of Commissioners.

Section 13: Eligible Financial Institutions

A. *Minimum Qualifications for Depositories*

1. In order to ensure the safety of principal, the Unified Government shall deposit funds, including those designated for investment purposes, only in eligible financial institutions which meet the minimum criteria set forth below. Financial institutions failing to meet the minimum criteria shall not be considered eligible.
2. Financial institutions must meet the following minimum qualifications:
 - a. The deposits of the financial institution are insured by the Federal Deposit Insurance Corporation (FDIC).
 - b. The financial institution meets the criteria for eligibility under state law for active or idle funds as appropriate.
3. If a financial institution loses its eligibility under state law after Unified Government funds are deposited or invested, no additional funds shall be deposited in such institution. Funds shall be removed as quickly as is prudent under the circumstances, but funds invested with a prescribed time for maturity need not be withdrawn before such maturity.

B. *Depositories for Active Funds*

1. *Security Required.* If a financial institution is designated as an official depository for active funds, before any Unified Government funds are deposited, satisfactory security must be obtained for such deposits. Satisfactory security is as described in K.S.A. 9-1402, as amended, and this Policy.

2. *Selection Criteria.* In addition to the required criteria listed above, the Unified Government may also consider the following when selecting a financial institution as a depository for active funds:
 - a. Full service capabilities
 - b. Submission of financial statements and availability schedules
 - c. Acceptable staff experience
 - d. Statement of equal opportunity employment practices
 - e. Extent of reinvestment of deposits in Wyandotte County.
3. *Competitive Selection.* The Chief Financial Officer shall solicit proposals prior to the designation of one or more depositories. The Unified Government's purchasing policies shall be followed when obtaining proposals on the Unified Government's depository specifications. Selection of the depositories shall be based on the capacity of an institution to perform the services required and on the most favorable terms and conditions for handling of Unified Government funds.
4. *Governing Body Designation.* K.S.A. 9-1401, as amended, requires the governing body of the Unified Government to designate by official action the financial institution or institutions, which shall serve as depositories of its active funds.

C. *Idle Funds*

1. *In General.* Idle funds shall be invested only in the manner set out in K.S.A. 12-1675 and 12-1677b, and amendments thereto, and in this Policy. Investment transactions shall only be conducted with:
 - a. Qualified financial institutions which meet the minimum requirements contained in this Section 13 and the criteria for eligibility under state law; or
 - b. Qualified primary government security dealers and broker/dealers as set out below.
2. *Certification.* In order to be qualified for investment of Unified Government idle funds, a financial institution, securities dealer, or broker/dealer must certify in writing that the person responsible for the investment has read and understood and agreed to comply with this Policy.
3. *Competitive Selection.* Investments of idle funds will be offered to all approved institutions and dealers who have requested to be on the list of interested bidders. Investments will be awarded through a competitive process involving solicitation of bids from qualified institutions and dealers.

A list will be maintained of financial institutions authorized to provide investment services. In addition, a list will be maintained of approved primary government security dealers and broker/ dealers.

4. *Primary Government Securities Dealers and Broker/Dealers.*

Investment transactions may be conducted with primary government securities dealers which report to the market report division of the Federal Reserve Bank of New York or any broker-dealer which is registered in compliance with the requirements of Section 15 or 15C of the Securities Exchange Act of 1934 and registered pursuant to K.S.A. 17-12a401, and amendments thereto.

In order to be qualified to conduct investment transactions with the Unified Government, broker/dealers must meet the minimum requirements for credit worthiness established by the Kansas Pooled Money Investment Board, including minimum capital requirements and years of operation, and must be approved by the Cash Management Committee.

All broker/dealers who wish to become qualified for investment transactions must supply to the Chief Financial Officer on an annual basis the following items as appropriate:

- a. A copy of the most recent audited annual financial statement;
- b. If requested by the Unified Government, a copy of the most recent, unaudited annual financial statement;
- c. Proof of Financial Industry Regulatory Authority (FINRA) certification;
- d. Proof of state registration with the Kansas Securities Commission;
- e. Completed broker/dealer questionnaire (non-primary dealers only);
- f. Business resume of individual assigned to UG account; and
- g. Notice of any regulatory action taken against the broker/dealer.

5. *Safekeeping and Custody.*

All security transactions, including collateral for repurchase agreements, shall occur on a delivery versus payment basis. This ensures that securities are deposited in the eligible financial institutions prior to the release of funds. Safekeeping and custody agreements will be maintained with third-party financial institutions. All securities, including those acquired by repurchase agreements, shall be perfected in the name of the Unified Government and shall be delivered to a third-party custodian designated by the Unified Government and evidenced by safekeeping receipts.

Section 14. Authorized Investments

A. *Idle Funds*

The investments authorized for the idle funds (those funds not immediately required for the purposes for which the moneys were collected) under this Policy shall be in conformance with K.S.A. 12-1675, K.S.A. 12-1677b, and amendments thereto, and any other applicable statutes or ordinances or resolutions and amendments thereto. As long as this Policy continues to be approved by the Kansas Pooled Money Investment Board, the investments permitted by K.S.A. 12-1677b shall be authorized investments under this Policy. For purposes of this Policy, "investment rate" means a rate which is the equivalent yield for United States government securities having a maturity date as published in the Wall Street Journal, nearest the maturity date for equivalent maturities. The 0-90 day rate shall be computed on the average effective federal funds rate as published by the Federal Reserve system for the previous week.

If for any reason this Policy is not approved by the Kansas Pooled Money Investment Board, the investments permitted by K.S.A. 12-1675 shall be the only authorized investments under this Policy until such time as this Policy obtains the approval of the Kansas Pooled Money Investment Board.

As long as this policy continues to be approved by the Kansas Pooled Money Investment Board, the following are authorized investments, pursuant to K.S.A. 12-1675 and 12-1677b. The maximum maturity for investments under this subsection shall be four years.

1. *United States Treasury and Agency Securities.* Direct obligations of, or obligations that are insured as to principal and interest by, the United States of America or any agency thereof and obligations and securities of United States-sponsored enterprises which under federal law may be accepted as security for public funds, except that such investments shall not be in mortgage-backed securities. Investments under this paragraph shall be limited to securities which do not have any more interest rate risk than do direct United States government obligations of similar maturities. For purposes of this subsection, "interest rate risk" means market value changes due to changes in current interest rates.
2. *Interest-bearing Time Deposits.* In any banks, savings and loan associations, and savings banks which have a main or branch office in Kansas.
3. *Repurchase Agreements.* With banks, savings and loan associations, and savings banks which have a main or branch office in Kansas or with a primary government securities dealer which reports to the market reports division of the Federal Reserve Bank of New York for direct obligations of, or obligations that are insured as to principal and interest by, the United States government or any agency thereof and obligations and securities of United States government-sponsored enterprises which under federal law may be accepted as security for public funds.
4. *Temporary Notes Issued by the Unified Government.*
5. *Municipal Investment Pool Fund.* The fund established in K.S.A. 12-1677a and amendments thereto and managed by the Kansas Pooled Money Investment Board.
6. *Multiple Municipal Client Investment Pools.* Managed by the trust departments of banks which have offices located in Wyandotte County or with trust companies incorporated under the laws of Kansas which have contracted to provide trust services under K.S.A. 9-2107, and amendments thereto. Moneys invested under this paragraph shall be secured as provided in K.S.A. 9-1402, and amendments thereto, and this Policy.

B. *Local Emphasis*

1. Subject to the other requirements of this Policy, funds available for investment under this section will be offered first to eligible financial institutions with a main or branch office located in Wyandotte County. If such financial institutions cannot or will not make the investments available at interest rates equal to or greater than the investment rate as defined in K.S.A. 12-1675a, and amendments thereto, or if such financial institutions are limited from bidding on the investment by the diversification requirements of this Policy,

then the funds may be offered to other eligible financial institutions or entities permitted under this Policy.

2. Notwithstanding any other requirements of this Policy, the Unified Government will offer \$235,000 to every financial institution with a main or branch office located in Wyandotte County if such financial institutions will make the investment at interest rates equal to or greater than the investment rate as defined in K.S.A. 12-1675a, and amendments thereto, at a maturity term to be determined by the Unified Government.

C. *Investment of Bond Proceeds*

The Unified Government will invest proceeds of bonds (other than industrial revenue bonds for which the Unified Government is merely a conduit issuer) and temporary notes in conformance with K.S.A.10-131, and amendments thereto. The following lists the investments, which the Unified Government will consider, and which shall be authorized for the investment of bond proceeds:

1. Investments authorized for idle funds by K.S.A. 12-1675 and this Policy.
2. The municipal investment pool established pursuant to K.S.A. 12-1677a.
3. Direct obligations of the United States government or any agency thereof;
4. Temporary notes issued by the Unified Government.
5. Interest-bearing time deposits in commercial banks located in Wyandotte County.
6. Obligations of the Federal National Mortgage Association, Federal Home Loan banks and Federal Home Loan Mortgage Corporation.
7. Repurchase agreements collateralized by direct obligations of the United States government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or the Federal Home Loan Mortgage Corporation.
8. Investment agreements with or other obligations of a financial institution, the obligations of which at the time of investment are rated in either of the three highest rating categories by Moody's Investors Service or Standard and Poor's Corporation;
9. Investments in shares of units of a money market fund or trust, the portfolio of which is comprised entirely of direct obligations of the U.S. government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or Federal Home Loan Mortgage Corporation.
10. Receipts evidencing ownership interest in securities or portions thereof in direct obligations of the United States government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or Federal Home Loan Mortgage Corporation.

11. Municipal bonds or other obligations issued by any municipality of the State of Kansas as defined in K.S.A. 10-1101, and amendments thereto, which are general obligations of the municipality issuing the same.
12. Bonds of any municipality of the State of Kansas as defined in K.S.A. 10-1101, and amendments thereto, which have been refunded in advance of their maturity and are fully secured as to payment of principal and interest thereon by deposit in trust, under escrow agreement with a bank, of direct obligations of the United States government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or Federal Home Loan Mortgage Corporation.
13. No moneys shall be invested in a derivative as that term is defined in K.S.A. 10-131, and amendments thereto.

D. *Arbitrage*

The Internal Revenue Code provides that on a periodic basis the Unified Government is required to compute rebate on each bond issue. Rebate is the calculated dollar amount representing the difference between what the issuer actually earned from the investment of certain funds related to the bond issue and the amount the issuer would have earned had those same funds been invested at an interest rate equal to the yield on the bond issue. Absent an exception to rebate, the Unified Government is required to pay or “rebate” to the United States the dollar amount representing these excess earnings.

For each bond issue, rebate must be calculated and paid at least once every five years and within 60 days after the last bond of the issue is paid. Payment of rebate is a condition to maintaining the tax-exempt status of each bond issue, and failure by the Unified Government to comply with the rebate requirements may cause the interest on an issue of bonds to become taxable, retroactive to their date of issuance.

The Unified Government’s investment position is to pursue the maximum yield on investments without jeopardizing the tax-exempt status of the bonds. To the extent possible, the Unified Government will seek to comply with applicable exceptions to rebate and when necessary rebate any excess earnings to the United States. The potential rebate of excess earnings will not influence the Unified Government’s investment policies.

Section 15. Collateral Requirements

- A. *Full Collateralization Required.*** All Unified Government deposits shall be fully insured or collateralized at all times.
- B. *Initial Placement.*** Moneys to be deposited in financial institutions shall not be released until the financial institution has executed and adopted a security agreement and required custodial agreements.

- C. Allowable Collateral.** Acceptable collateral for Unified Government deposits, including idle fund investments, as permitted by K.S.A. 9-1402, and amendments thereto, shall be limited to:
1. Except as otherwise set out in this subsection C.1., the financial institution may pledge or assign securities owned directly or indirectly by it, the market value of which is equal to 105% of the total deposits at any given time. The following are allowable securities:
 - a. Direct obligations of or obligations that are insured as to principal and interest by, the United States or any agency thereof.
 - b. Obligations including letters of credit and securities of United States-sponsored corporations which under federal law may be accepted as security for public funds, subject to the following restrictions:
 - (1) The letter of credit must be in the format acceptable to the Director of Revenue.
 - (2) The Unified Government must be designated as the irrevocable and unconditional beneficiary of the letter of credit.
 - (3) The issuer and the depository bank must notify the Director of Revenue by certified or registered mail at least 45 days prior to the cancellation or the non-renewal of a letter of credit.
 - (4) The issuer may not provide letters of credit for any one depository bank in an amount which exceeds ten percent of the issuer's capital and surplus.
 - (5) If a letter of credit issued by the Federal Home Loan Bank is to be pledged as collateral, the amount of the letter of credit shall be equal to 100% of the deposits to be collateralized plus the interest expected to be received by the Unified Government upon maturity of the investment.
 2. The following securities may be used as collateral only if the financial institution pledges or assigns them in an amount, the market value of which is equal to 125% of the Unified Government deposits. Not more than 5% of the Unified Government's total idle funds portfolio may be collateralized by the following securities.
 - a. Bonds of any Kansas municipality which have been refunded in advance of their maturity and are fully secured as to payment of principal and interest thereon by deposit in trust, under escrow agreement with a

bank, of direct obligations of, or obligations the principal of and the interest on which are unconditionally guaranteed by the United States.

- b. Bonds of the State of Kansas.
 - c. General obligation bonds of any Kansas municipality.
 - d. Revenue bonds of any Kansas municipality if approved by the state bank (or savings and loan) commissioner and which are rated at least Aa by Moody's Investors Service or AA by Standard and Poor's Corporation Bonds secured by revenues of a utility which has been in operation for less than three years will not be accepted as collateral.
 - e. Temporary notes of any Kansas municipality which are general obligations of the municipality issuing the same.
 - f. Warrants of any Kansas municipality, the issuance of which is authorized by the State Court of Tax Appeals and which are payable from the proceeds of a mandatory tax levy.
 - g. Commercial paper that does not exceed 270 days to maturity and which has received one of the two highest commercial paper credit ratings by a nationally recognized investment rating firm.
3. For overnight repurchase agreements in which the Unified Government is the buyer, the seller shall deliver the following securities to the custodian for the Unified Government in the amount of 102% of the market value of the securities on the purchase date:
- a. Direct obligations of or obligations that are insured as to principal and interest by the United States or any agency thereof, or
 - b. Obligations and securities of U.S. government-sponsored corporations which under federal law may be accepted as security for public funds, subject to any restrictions contained in Section C.1.b. above.
- D. *Peak Period Agreements.*** Peak-period agreements permitted under K.S.A. 9-1403 are not permitted under this Policy.
- E. *Collateral Substitution.*** Collateralized investments often require substitution, additions and/or deletions of collateral. Any financial institution requesting these actions must contact the Chief Financial Officer or the Director of Revenue/County Treasurer. Substitution of collateral shall be required whenever, in the opinion of the Unified Government Chief Financial Officer, the collateral no longer satisfies or complies with the security requirements established under this Policy.
- F. *Valuation of Collateral.*** For purposes of compliance with this section, all collateral shall be priced on a market value basis no less than monthly. Collateral requirement is

defined as the outstanding amount of Unified Government funds deposited plus accrued interest thereon less federal deposit insurance coverage.

- G. *Collateral Compliance Report.*** Each financial institution with Unified Government deposits shall submit monthly to the Chief Financial Officer or the Director of Revenue/County Treasurer, or more frequently if requested, a report documenting the institution's compliance with the collateral requirements of this Policy.
- H. *Custodial Agreement.*** Each depository bank depositing securities with a custodial bank shall enter into a written custodial agreement with the custodial bank and the Unified Government for the safekeeping of the securities.
- I. *Failure to Meet Collateral Requirements.*** If a depository bank fails to meet requirements established by this Policy, the depository bank shall be offered the following options:

 - 1. Close the account and return to the Unified Government all principal and accrued interest without penalty; or
 - 2. Convert the deposit to a repurchase agreement under terms acceptable to the Unified Government.



Unified Government of Wyandotte County and Kansas City, Kansas

Cash and Investment Policy

Commission Resolution:

Last Revised ~~R-63-18~~

Last Adopted: 12/13/2018

Section 1. General Purpose Statement

The Board of Commissioners has authority to invest all funds held by or belonging to Wyandotte County/Kansas City, Kansas ("Unified Government" or "UG"). The purpose of this Cash Management and Investment Policy is to identify the policies and statements of the Unified Government regarding the safe and responsible management of the Unified Government funds; and to authorize and establish procedures for the management and investment of Unified Government funds to achieve the Policy objectives.

Section 2. Legal Authority

The Unified Government Board of Commissioners is granted the authority to invest temporarily idle funds, i.e. those funds which are not immediately required for the purposes for which the moneys were collected or received and the investment of which is not subject to or regulated by any other statute, under K.S.A. 12-1675 and 12-1677b which also identifies the types of investments the Unified Government may purchase.

Section 3. Policy Statement

The policy of the Unified Government is to invest its funds in a manner which will provide a reasonable rate of return with the maximum security while meeting the daily cash flow demands of the Unified Government and conforming to all statutes governing the investment of such funds.

Section 4. Scope

This Cash Management and Investment Policy shall apply uniformly to all officials, employees, departments, agencies, representatives and authorized agents in the performance of their official duties and to the processing and management of all investment transactions of the Unified Government's idle funds. All participants in the investment process shall act responsibly as custodians of the public trust. Investment officials will conduct themselves as good stewards of public funds that will promote public confidence in the Unified Government's ability to govern effectively.

This Cash Management and Investment Policy applies to the Unified Government's cash management and investment activities primarily focused on idle funds and general obligation bond proceeds held by or under the control of the Unified Government. Debt service funds, reserve funds, and other financial assets held by various fiscal agents and trustees as provided under various bond ordinances are invested at the direction of the Unified Government but are not held by the UG nor under the direct control of the Director of Revenue/County Treasurer.

Section 5. Adoption and Annual Review

This Cash Management and Investment Policy shall be adopted by resolution of the Board of Commissioners. The Policy shall be reviewed on an annual basis by the Cash Management Committee

and shall be reviewed and approved annually by the Board of Commissioners. If it deems it necessary, the Cash Management Committee will recommend changes to this Policy to the Board of Commissioners. Any recommended modifications to the Policy must be reviewed and approved by the Board of Commissioners.

Section 6. Cash Management Committee; Delegation of Authority

A Cash Management Committee shall be established. The Cash Management Committee (CMC) shall consist of the following voting members: Unified Government's Chief Financial Officer, the Clerk, the Director of Revenue/County Treasurer, the Chief Counsel or designee and the following non-voting members:- the Legislative Auditor or designee ~~as a non-voting member~~, Accounting Manager, Cash Manager and one ~~non-voting~~ member from the municipal advisory firm of the Unified Government. The Chief Financial Officer shall serve as the Chairperson of the CMC.

Responsibility for the operation of the investment program is delegated to the CMC which shall establish procedures and internal controls for the operation of the investment program consistent with this Policy.

Daily and routine investments of Unified Government idle funds will be made by the Chief Financial Officer or designee, under the guidelines set forth in this Policy and as recommended by the CMC.

Section 7. Investment Procedures

This Policy is administered through a separate set of written Investment Procedures, which should be referred to in conjunction with this Policy. The Cash Management Committee is hereby authorized to adopt written Investment Procedures consistent with this Cash Management and Investment Policy. Such Procedures shall include explicit delegation of authority to persons responsible for investment transactions. No person may engage in an investment transaction except as provided under the terms of this Policy and the Procedures adopted by the Cash Management Committee. The Director of Revenue/County Treasurer shall be responsible for all transactions undertaken and shall establish a system of internal controls to regulate the activities of subordinate officials.

In the development of the system of internal controls, consideration shall be given to documentation of strategies and transactions, techniques for avoiding collusion, separation of functions, delegation of authority, limitations of authority, and custodial safekeeping.

Section 8. Staff Qualifications

- A. The Unified Government shall hire a Cash Manager or shall retain an outside manager to manage investments. The Cash Manager will have the necessary qualifications to perform investment duties as outlined in the Cash Management and Investment Policy and the Cash Management and Investment Procedures and will be supervised by the Chief Financial Officer or designee.
- B. Duties of the Cash Manager position include the following:
 - 1. Management of the short-term and long-term investment portfolios in accordance with K.S.A. 12-1675 and 12-1677b and amendments thereto, with any other applicable statutes or ordinances or resolutions, and with this Cash Management and Investment Policy and the Cash Management and Investment Procedures and amendments thereto;
 - 2. Tracking investment transactions; ensuring accuracy and security of investments, monitoring record keeping of investments;

3. Performing inspections on safekeeping receipts held as collateral to cover investments; alerting banks regarding insufficient collateral;
 4. Prepare cash flow forecasts;
 5. Generate investment performance statistics and activity reports; and
 6. Other duties as assigned by the Chief Financial Officer or the Director of Revenue/Treasurer.
- C. Specific qualifications include a bachelor's degree in Finance, Accounting, Economics, Business, or Public Administration and two years of progressively responsible investing or accounting experience, or any equivalent combination of education and experience sufficient to successfully perform the essential duties of the job. If the individual appointed to the Cash Manager position does not possess the requisite investment experience, the individual will attend government investment training approved by the Chief Financial Officer within one year of appointment.

Section 9. Investment Advisor

The Chief Financial Officer, with the approval of the Cash Management Committee, may appoint an independent Investment Advisor registered with the Securities and Exchange Commission pursuant to the Investment Advisers Act of 1940 and the rules adopted thereunder, or a "Municipal Advisor" as defined by Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act, amending Section 15B of the Securities Exchange Act of 1934, and interpreted by the Securities and Exchange Commission in its final rules adopted September 10, 2013, to advise the Unified Government on investment activities. The Investment Advisor will be selected through a competitive process under the Unified Government's Procurement Code. The terms and conditions of such relationship shall be set out in a contract. The duties and responsibilities of the Investment Advisor at a minimum shall include the following.

1. Providing advice and analysis on the Unified Government's Investment Policy, portfolio management techniques, portfolio structures, and new investment securities and products;
2. Assistance in developing or improving and implementing cash flow modeling;
3. Providing advice on investment benchmarking and performance reporting;
4. Evaluation of the capabilities and usage of software utilized in management of and accounting for the investments;
5. Assisting in any investment related presentations to the Cash Management Committee or Board of Commissioners; and
6. Providing analysis, advice, and assistance on other investment-related matters, including investment of bond proceeds.

Section 10. Standards of Care

A. Prudence

The standard of prudence to be used by investment officials shall be the "prudent person standard" and shall be applied in the context of managing an overall portfolio. Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence would exercise in the management of their own affairs, not for speculation, but for investment, considering first the safety and liquidity of their capital

and next the probable income to be derived. If outside investment professionals are retained, they shall be held to the "prudent expert standard," that is, they shall exercise the judgment, care, skill, prudence and diligence, under the circumstances then prevailing, which persons of prudence, discretion and intelligence acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of like character and with like aims by diversifying the investments so as to minimize the risk of large losses, unless, under the circumstances, it is clearly prudent not to do so, and not in regard to speculation but in regard to the permanent disposition of similar funds, considering the probable income as well as the probable safety of their capital.

The Chief Financial Officer, other investment officials, and the members of the CMC, when acting in accordance with the written Investment Procedures and the Cash Management and Investment Policy, and when exercising due diligence, shall be relieved of personal responsibility for an individual security's credit risk or market price changes, provided deviations from expectations are reported in a timely fashion and appropriate action is taken to control adverse developments.

B. *Ethics and Conflict of Interest*

Unified Government officers and employees authorized to perform investment duties shall refrain from personal business activity that could conflict with proper execution and management of the investment program, or that could impair their ability to make impartial decisions. For purposes of this Policy, "officers and employees" means voting members of the Cash Management Committee and the Cash Manager; it shall not mean elected officials.

No officer or employee shall use his or her official position or office to obtain direct or indirect personal financial gain for himself or herself, his or her family, or any business in which the officer or employee has a financial interest. Officers and employees are governed by this Policy, the Unified Government Code of Ethics, and any applicable state laws.

Investment staff shall refrain from undertaking personal investment transactions with the same individual with whom business is conducted on behalf of the Unified Government.

Officers and employees shall disclose annually to the Legislative Auditor and to the Ethics Administrator any financial interest in financial institutions with which the Unified Government conducts business or any benefit which the officer or employee obtains from any Unified Government contract or from placement of an investment of Unified Government funds. For purposes of this Policy, "financial institution" means banks, savings banks, or savings and loan associations as defined in K.S.A. 12-1675a and amendments thereto. For purposes of this Policy, "financial interest" means (a) ownership or any interest or involvement in any relationship from which, or as a result of which, a person within the past year has received, or is presently or in the future entitled to receive, more than \$5,000 per year, or its equivalent; (b) ownership of such interest in any property or any business as may be specified by the Ethics Commission; or (c) holding a position in a business such as an officer, director, trustee, partner, employee, or the like or holding any position of management. Financial interest does not include household operating accounts or a depository relationship with a financial institution.

Each financial institution in which the Unified Government deposits funds and each investment manager and each consultant retained by the Unified Government shall be notified of this

section of the Policy and shall conform to its provisions and shall not participate in any violation of this section or in any effort to influence any officer or employee to breach the standards of ethical conduct set forth in this section.

Section 11. Objectives

The primary objectives of the Unified Government investment activities, in priority order, shall be:

- A. *Safety.*** Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of principal in the overall portfolio. The objective will be to mitigate credit and interest rate risk.

1. *Credit Risk*

Credit risk, the risk of loss due to the failure of the security issuer or backer, will be minimized by:

- a. Limiting investment to the safest types of securities;
- b. Pre-qualifying financial institutions, broker/dealers, intermediaries, and advisors with whom the UG will do business; and
- c. Diversifying the investment portfolio so that potential loss on individual securities will be minimized.

2. *Interest Rate Risk*

Interest rate risk, the risk that the market value of securities in the portfolio will fall due to changes in general interest rates, will be minimized by:

- a. Structuring the investment portfolio so that the securities mature to meet cash requirements of the general operating fund, thereby avoiding the need to sell securities prior to maturity; and
- b. Investing general operating funds primarily in shorter-term securities.

B. *Diversification.*

1. *In General*

It is the policy of the Unified Government to diversify its investment portfolio so as to protect its funds from material losses due to issuer defaults, market price changes, technical complications leading to temporary lack of liquidity, or other risks resulting from an over-concentration of assets in a specific maturity, a specific issuer, or a specific class of securities.

2. *By Institution*

Investments will be diversified so that reliance on any one issuer or financial institution will not place an undue financial burden on the Unified Government in the event of default. Accordingly, no more than 25% of the total investment portfolio shall be with the same financial institution or issuer, except US treasuries, unless it would be prudent to do so under prevailing circumstances. If the above limit is exceeded, the Chief Financial Officer will notify the CMC.

3. *By Instrument Type*

Market and credit risk will be minimized by diversification among investment types. The following are maximum limits for the percentage of Unified Government investable funds to be invested in each investment type.

a.	Certificates of deposit	100%
b.	U. S. Treasury bills, notes or bonds	100%
c.	U. S. Government agency obligations	50%
d.	Kansas Municipal Investment Pool	50%
e.	Repurchase agreements	25/100%*
f.	Bank trust department municipal pools	25%
g.	Temporary notes or no-fund warrants	10%

Because of distortion created by deposit of proceeds from the sale of temporary notes issued by the Unified Government, measurement of the maximum limits on investments by institution and by instrument type for purposes of this subsection 11.B. shall occur at least one week after the deposit of such proceeds.

* NOTE: Investments in short term securities shall be limited to 10% of investable funds, and investments in repurchase agreements shall be limited to 25% of investable funds, except as set out below. While it is not the goal to invest 100% of investable funds in either short term securities or repurchase agreements, the ability to invest the maximum limit in these two investment types is recognized as an option in certain market circumstances when these investments offer higher returns than other investment types at minimal risk. The option to invest more than the 10% or 25% limit respectively will be used only when the Cash Manager determines, with the concurrence of the Chief Financial Officer and the Unified Government's municipal advisory firm, that it is advantageous and prudent to do so.

C. *Liquidity.*

1. The Unified Government's investment portfolio will remain sufficiently liquid to enable it to meet all operating requirements that might be reasonably anticipated without incurring material losses by structuring the portfolio so that securities mature concurrent with anticipated cash needs. Since all possible cash demands cannot be anticipated, the portfolio should consist largely of securities with active secondary or resale markets.
2. The Unified Government understands the importance of having sufficient funds invested in overnight sweep accounts to meet weekly payrolls, accounts payable, scheduled debt service, and extraordinary expenses that may occur, which may range from 10% to 25% of available investment funds.
3. It is important for a county government to have the necessary funds for the scheduled tax distributions to other governmental entities. In particular, liquidity is essential for the January and June tax distributions. Therefore, it is the policy of the Unified Government that such funds be held liquid to provide assurance as to the availability and

safety of these funds held on behalf of the other governmental entities under the Unified Government's trust.

D. *Maturity.*

1. All investments shall be made to mature in accordance with cash needs identified in regularly prepared and updated cash flow forecasts. The Unified Government recognizes that the laddering of investments is a sound approach to mitigate short-term interest rate fluctuations. Additional considerations in the structuring of investments shall include:
 - a. Review of economic and financial indicators, such as Federal Reserve monetary policy position statements and the U.S. Treasury yield curve; and
 - b. Input from the Unified Government's municipal advisory firm.
2. The Unified Government has adopted the following maturity target ranges for its core investment portfolio. Core investments include all operating funds of the Unified Government and exclude bond proceed funds held by a bond trustee for the purpose of project construction, debt service payments, debt reserves or other escrow requirements..

a.	0 – 12 months	30% to 60%
b.	12 – 24 months	20% to 40%
c.	24 – 36 months	15% to 30%
d.	36 – 48 months	10% to 20%

The maturity targets are provided as a guideline. Notwithstanding the above maturity target ranges, cash flow requirements and existing interest rate markets may dictate the need to adjust the timing of investment maturities.

4. The sale of securities before maturity shall require the prior approval of the Chief Financial Officer based on the following reasons:
 - a. A security with declining credit quality may be sold early to minimize loss of principal.
 - b. Liquidity needs require a security to be sold.
 - c. It is advantageous to the portfolio to sell such securities.
 - d. Financial failure of the issuer is likely.
5. As long as this Policy continues to be approved by the State Pooled Money Investment Board, the maximum maturity for investments shall be four years. Otherwise the maximum maturity for investments shall be two years.

E. *Return on Investment.* The investment portfolio shall be designed to attain a market-average rate of return throughout budgetary and economic cycles, taking into account the UG's investment risk constraints, state statutes, cash flow characteristics of the portfolio, and

prudent investment principles. As a benchmark for risk-free investment transactions, the U.S. Treasury Bill rate for the Unified Government's total portfolio weighted average maturity term will be the minimum standard for the portfolio's rate of return. Return on investment is the last in importance compared to the safety, diversification, liquidity and maturity objectives described above.

Section 12. Performance Evaluation and Reporting

Investment performance shall be continually monitored and evaluated by the CMC. Investment performance statistics and activity reports will be generated by the Cash Manager. Summary investment reports will be provided quarterly to the Economic Development and Finance Standing Committee of the Unified Government Board of Commissioners, with copies to the County Administrator, the Unified Government Commission, and the Cash Management Committee.

Reports shall include but not be limited to information on interest received, interest earned, investment yield, types of investments, distribution by type of investments, maturity schedule by month, weighted average days to maturity, evaluation of portfolio to selected benchmark, and any other information deemed necessary by the Chief Financial Officer or requested by the County Administrator or the Board of Commissioners.

Section 13: Eligible Financial Institutions

A. *Minimum Qualifications for Depositories*

1. In order to ensure the safety of principal, the Unified Government shall deposit funds, including those designated for investment purposes, only in eligible financial institutions which meet the minimum criteria set forth below. Financial institutions failing to meet the minimum criteria shall not be considered eligible.
2. Financial institutions must meet the following minimum qualifications:
 - a. The deposits of the financial institution are insured by the Federal Deposit Insurance Corporation (FDIC).
 - b. The financial institution meets the criteria for eligibility under state law for active or idle funds as appropriate.
3. If a financial institution loses its eligibility under state law after Unified Government funds are deposited or invested, no additional funds shall be deposited in such institution. Funds shall be removed as quickly as is prudent under the circumstances, but funds invested with a prescribed time for maturity need not be withdrawn before such maturity.

B. *Depositories for Active Funds*

1. *Security Required.* If a financial institution is designated as an official depository for active funds, before any Unified Government funds are deposited, satisfactory security must be obtained for such deposits. Satisfactory security is as described in K.S.A. 9-1402, as amended, and this Policy.

2. *Selection Criteria.* In addition to the required criteria listed above, the Unified Government may also consider the following when selecting a financial institution as a depository for active funds:
 - a. Full service capabilities
 - b. Submission of financial statements and availability schedules
 - c. Acceptable staff experience
 - d. Statement of equal opportunity employment practices
 - e. Extent of reinvestment of deposits in Wyandotte County.
3. *Competitive Selection.* The Chief Financial Officer shall solicit proposals prior to the designation of one or more depositories. The Unified Government's purchasing policies shall be followed when obtaining proposals on the Unified Government's depository specifications. Selection of the depositories shall be based on the capacity of an institution to perform the services required and on the most favorable terms and conditions for handling of Unified Government funds.
4. *Governing Body Designation.* K.S.A. 9-1401, as amended, requires the governing body of the Unified Government to designate by official action the financial institution or institutions, which shall serve as depositories of its active funds.

C. Idle Funds

1. *In General.* Idle funds shall be invested only in the manner set out in K.S.A. 12-1675 and 12-1677b, and amendments thereto, and in this Policy. Investment transactions shall only be conducted with:
 - a. Qualified financial institutions which meet the minimum requirements contained in this Section 13 and the criteria for eligibility under state law; or
 - b. Qualified primary government security dealers and broker/dealers as set out below.
2. *Certification.* In order to be qualified for investment of Unified Government idle funds, a financial institution, securities dealer, or broker/dealer must certify in writing that the person responsible for the investment has read and understood and agreed to comply with this Policy.
3. *Competitive Selection.* Investments of idle funds will be offered to all approved institutions and dealers who have requested to be on the list of interested bidders. Investments will be awarded through a competitive process involving solicitation of bids from qualified institutions and dealers.

A list will be maintained of financial institutions authorized to provide investment services. In addition, a list will be maintained of approved primary government security dealers and broker/ dealers.

4. *Primary Government Securities Dealers and Broker/Dealers.*

Investment transactions may be conducted with primary government securities dealers which report to the market report division of the Federal Reserve Bank of New York or any broker-dealer which is registered in compliance with the requirements of Section 15 or 15C of the Securities Exchange Act of 1934 and registered pursuant to K.S.A. 17-12a401, and amendments thereto.

In order to be qualified to conduct investment transactions with the Unified Government, broker/dealers must meet the minimum requirements for credit worthiness established by the Kansas Pooled Money Investment Board, including minimum capital requirements and years of operation, and must be approved by the Cash Management Committee.

All broker/dealers who wish to become qualified for investment transactions must supply to the Chief Financial Officer on an annual basis the following items as appropriate:

- a. A copy of the most recent audited annual financial statement;
- b. If requested by the Unified Government, a copy of the most recent, unaudited annual financial statement;
- c. Proof of Financial Industry Regulatory Authority (FINRA) certification;
- d. Proof of state registration with the Kansas Securities Commission;
- e. Completed broker/dealer questionnaire (non-primary dealers only);
- f. Business resume of individual assigned to UG account; and
- g. Notice of any regulatory action taken against the broker/dealer.

5. *Safekeeping and Custody.*

All security transactions, including collateral for repurchase agreements, shall occur on a delivery versus payment basis. This ensures that securities are deposited in the eligible financial institutions prior to the release of funds. Safekeeping and custody agreements will be maintained with third-party financial institutions. All securities, including those acquired by repurchase agreements, shall be perfected in the name of the Unified Government and shall be delivered to a third-party custodian designated by the Unified Government and evidenced by safekeeping receipts.

Section 14. Authorized Investments

A. *Idle Funds*

The investments authorized for the idle funds (those funds not immediately required for the purposes for which the moneys were collected) under this Policy shall be in conformance with K.S.A. 12-1675, K.S.A. 12-1677b, and amendments thereto, and any other applicable statutes or ordinances or resolutions and amendments thereto. As long as this Policy continues to be approved by the Kansas Pooled Money Investment Board, the investments permitted by K.S.A. 12-1677b shall be authorized investments under this Policy. For purposes of this Policy, "investment rate" means a rate which is the equivalent yield for United States government securities having a maturity date as published in the Wall Street Journal, nearest the maturity date for equivalent maturities. The 0-90 day rate shall be computed on the average effective federal funds rate as published by the Federal Reserve system for the previous week.

If for any reason this Policy is not approved by the Kansas Pooled Money Investment Board, the investments permitted by K.S.A. 12-1675 shall be the only authorized investments under this Policy until such time as this Policy obtains the approval of the Kansas Pooled Money Investment Board.

As long as this policy continues to be approved by the Kansas Pooled Money Investment Board, the following are authorized investments, pursuant to K.S.A. 12-1675 and 12-1677b. The maximum maturity for investments under this subsection shall be four years.

1. *United States Treasury and Agency Securities.* Direct obligations of, or obligations that are insured as to principal and interest by, the United States of America or any agency thereof and obligations and securities of United States-sponsored enterprises which under federal law may be accepted as security for public funds, except that such investments shall not be in mortgage-backed securities. Investments under this paragraph shall be limited to securities which do not have any more interest rate risk than do direct United States government obligations of similar maturities. For purposes of this subsection, "interest rate risk" means market value changes due to changes in current interest rates.
2. *Interest-bearing Time Deposits.* In any banks, savings and loan associations, and savings banks which have a main or branch office in Kansas.
3. *Repurchase Agreements.* With banks, savings and loan associations, and savings banks which have a main or branch office in Kansas or with a primary government securities dealer which reports to the market reports division of the Federal Reserve Bank of New York for direct obligations of, or obligations that are insured as to principal and interest by, the United States government or any agency thereof and obligations and securities of United States government-sponsored enterprises which under federal law may be accepted as security for public funds.
4. *Temporary Notes Issued by the Unified Government.*
5. *Municipal Investment Pool Fund.* The fund established in K.S.A. 12-1677a and amendments thereto and managed by the Kansas Pooled Money Investment Board.
6. *Multiple Municipal Client Investment Pools.* Managed by the trust departments of banks which have offices located in Wyandotte County or with trust companies incorporated under the laws of Kansas which have contracted to provide trust services under K.S.A. 9-2107, and amendments thereto. Moneys invested under this paragraph shall be secured as provided in K.S.A. 9-1402, and amendments thereto, and this Policy.

B. *Local Emphasis*

1. Subject to the other requirements of this Policy, funds available for investment under this section will be offered first to eligible financial institutions with a main or branch office located in Wyandotte County. If such financial institutions cannot or will not make the investments available at interest rates equal to or greater than the investment rate as defined in K.S.A. 12-1675a, and amendments thereto, or if such financial institutions are limited from bidding on the investment by the diversification requirements of this Policy,

then the funds may be offered to other eligible financial institutions or entities permitted under this Policy.

2. Notwithstanding any other requirements of this Policy, the Unified Government will offer \$235,000 to every financial institution with a main or branch office located in Wyandotte County if such financial institutions will make the investment at interest rates equal to or greater than the investment rate as defined in K.S.A. 12-1675a, and amendments thereto, at a maturity term to be determined by the Unified Government.

C. *Investment of Bond Proceeds*

The Unified Government will invest proceeds of bonds (other than industrial revenue bonds for which the Unified Government is merely a conduit issuer) and temporary notes in conformance with K.S.A.10-131, and amendments thereto. The following lists the investments, which the Unified Government will consider, and which shall be authorized for the investment of bond proceeds:

1. Investments authorized for idle funds by K.S.A. 12-1675 and this Policy.
2. The municipal investment pool established pursuant to K.S.A. 12-1677a.
3. Direct obligations of the United States government or any agency thereof;
4. Temporary notes issued by the Unified Government.
5. Interest-bearing time deposits in commercial banks located in Wyandotte County.
6. Obligations of the Federal National Mortgage Association, Federal Home Loan banks and Federal Home Loan Mortgage Corporation.
7. Repurchase agreements collateralized by direct obligations of the United States government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or the Federal Home Loan Mortgage Corporation.
8. Investment agreements with or other obligations of a financial institution, the obligations of which at the time of investment are rated in either of the three highest rating categories by Moody's Investors Service or Standard and Poor's Corporation;
9. Investments in shares of units of a money market fund or trust, the portfolio of which is comprised entirely of direct obligations of the U.S. government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or Federal Home Loan Mortgage Corporation.
10. Receipts evidencing ownership interest in securities or portions thereof in direct obligations of the United States government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or Federal Home Loan Mortgage Corporation.

11. Municipal bonds or other obligations issued by any municipality of the State of Kansas as defined in K.S.A. 10-1101, and amendments thereto, which are general obligations of the municipality issuing the same.
12. Bonds of any municipality of the State of Kansas as defined in K.S.A. 10-1101, and amendments thereto, which have been refunded in advance of their maturity and are fully secured as to payment of principal and interest thereon by deposit in trust, under escrow agreement with a bank, of direct obligations of the United States government or any agency thereof or obligations of the Federal National Mortgage Association, Federal Home Loan Banks or Federal Home Loan Mortgage Corporation.
13. No moneys shall be invested in a derivative as that term is defined in K.S.A. 10-131, and amendments thereto.

D. Arbitrage

The Internal Revenue Code provides that on a periodic basis the Unified Government is required to compute rebate on each bond issue. Rebate is the calculated dollar amount representing the difference between what the issuer actually earned from the investment of certain funds related to the bond issue and the amount the issuer would have earned had those same funds been invested at an interest rate equal to the yield on the bond issue. Absent an exception to rebate, the Unified Government is required to pay or “rebate” to the United States the dollar amount representing these excess earnings.

For each bond issue, rebate must be calculated and paid at least once every five years and within 60 days after the last bond of the issue is paid. Payment of rebate is a condition to maintaining the tax-exempt status of each bond issue, and failure by the Unified Government to comply with the rebate requirements may cause the interest on an issue of bonds to become taxable, retroactive to their date of issuance.

The Unified Government’s investment position is to pursue the maximum yield on investments without jeopardizing the tax-exempt status of the bonds. To the extent possible, the Unified Government will seek to comply with applicable exceptions to rebate and when necessary rebate any excess earnings to the United States. The potential rebate of excess earnings will not influence the Unified Government’s investment policies.

Section 15. Collateral Requirements

- A. Full Collateralization Required.** All Unified Government deposits shall be fully insured or collateralized at all times.
- B. Initial Placement.** Moneys to be deposited in financial institutions shall not be released until the financial institution has executed and adopted a security agreement and required custodial agreements.

- C. Allowable Collateral.** Acceptable collateral for Unified Government deposits, including idle fund investments, as permitted by K.S.A. 9-1402, and amendments thereto, shall be limited to:
1. Except as otherwise set out in this subsection C.1., the financial institution may pledge or assign securities owned directly or indirectly by it, the market value of which is equal to 105% of the total deposits at any given time. The following are allowable securities:
 - a. Direct obligations of or obligations that are insured as to principal and interest by, the United States or any agency thereof.
 - b. Obligations including letters of credit and securities of United States-sponsored corporations which under federal law may be accepted as security for public funds, subject to the following restrictions:
 - (1) The letter of credit must be in the format acceptable to the Director of Revenue.
 - (2) The Unified Government must be designated as the irrevocable and unconditional beneficiary of the letter of credit.
 - (3) The issuer and the depository bank must notify the Director of Revenue by certified or registered mail at least 45 days prior to the cancellation or the non-renewal of a letter of credit.
 - (4) The issuer may not provide letters of credit for any one depository bank in an amount which exceeds ten percent of the issuer's capital and surplus.
 - (5) If a letter of credit issued by the Federal Home Loan Bank is to be pledged as collateral, the amount of the letter of credit shall be equal to 100% of the deposits to be collateralized plus the interest expected to be received by the Unified Government upon maturity of the investment.
 2. The following securities may be used as collateral only if the financial institution pledges or assigns them in an amount, the market value of which is equal to 125% of the Unified Government deposits. Not more than 5% of the Unified Government's total idle funds portfolio may be collateralized by the following securities.
 - a. Bonds of any Kansas municipality which have been refunded in advance of their maturity and are fully secured as to payment of principal and interest thereon by deposit in trust, under escrow agreement with a

bank, of direct obligations of, or obligations the principal of and the interest on which are unconditionally guaranteed by the United States.

- b. Bonds of the State of Kansas.
 - c. General obligation bonds of any Kansas municipality.
 - d. Revenue bonds of any Kansas municipality if approved by the state bank (or savings and loan) commissioner and which are rated at least Aa by Moody's Investors Service or AA by Standard and Poor's Corporation Bonds secured by revenues of a utility which has been in operation for less than three years will not be accepted as collateral.
 - e. Temporary notes of any Kansas municipality which are general obligations of the municipality issuing the same.
 - f. Warrants of any Kansas municipality, the issuance of which is authorized by the State Court of Tax Appeals and which are payable from the proceeds of a mandatory tax levy.
 - g. Commercial paper that does not exceed 270 days to maturity and which has received one of the two highest commercial paper credit ratings by a nationally recognized investment rating firm.
3. For overnight repurchase agreements in which the Unified Government is the buyer, the seller shall deliver the following securities to the custodian for the Unified Government in the amount of 102% of the market value of the securities on the purchase date:
- a. Direct obligations of or obligations that are insured as to principal and interest by the United States or any agency thereof, or
 - b. Obligations and securities of U.S. government-sponsored corporations which under federal law may be accepted as security for public funds, subject to any restrictions contained in Section C.1.b. above.
- D. *Peak Period Agreements.*** Peak-period agreements permitted under K.S.A. 9-1403 are not permitted under this Policy.
- E. *Collateral Substitution.*** Collateralized investments often require substitution, additions and/or deletions of collateral. Any financial institution requesting these actions must contact the Chief Financial Officer or the Director of Revenue/County Treasurer. Substitution of collateral shall be required whenever, in the opinion of the Unified Government Chief Financial Officer, the collateral no longer satisfies or complies with the security requirements established under this Policy.
- F. *Valuation of Collateral.*** For purposes of compliance with this section, all collateral shall be priced on a market value basis no less than monthly. Collateral requirement is

defined as the outstanding amount of Unified Government funds deposited plus accrued interest thereon less federal deposit insurance coverage.

- G. **Collateral Compliance Report.** Each financial institution with Unified Government deposits shall submit monthly to the Chief Financial Officer or the Director of Revenue/County Treasurer, or more frequently if requested, a report documenting the institution's compliance with the collateral requirements of this Policy.
- H. **Custodial Agreement.** Each depository bank depositing securities with a custodial bank shall enter into a written custodial agreement with the custodial bank and the Unified Government for the safekeeping of the securities.
- I. **Failure to Meet Collateral Requirements.** If a depository bank fails to meet requirements established by this Policy, the depository bank shall be offered the following options:
 - 1. Close the account and return to the Unified Government all principal and accrued interest without penalty; or
 - 2. Convert the deposit to a repurchase agreement under terms acceptable to the Unified Government.



Staff Request for Commission Action

Tracking No. 191068

Full Commission Meeting Date: 12/12/19

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: No

<u>Date:</u> 11/20/2019	<u>Contact Name:</u> Kathleen VonAchen	<u>Contact Phone:</u> x5186	<u>Contact Email:</u> kvonachen@wycokck.org	<u>Department/Division:</u> Finance
<u>Item Description:</u> Adopt revisions to the Special Revenue Funds Operating Reserve Policy to adjust the minimum reserve targets. Submitted by Kathleen VonAchen, Chief Financial Officer.				
<u>Action Requested:</u> Approve for Commission consideration December 12, 2019				
<u>Budget Impact: (if applicable)</u> Amount: Source: Included In Budget: Other (explain):				
<u>Attachments List:</u> Special Revenue Funds Reserve Policy Revision Resolution-11-20-19, SpecialRevenueReserve-Fall 2019 Revisions				

RESOLUTION NO. R-_____

WHEREAS, budgetary and financial policies contain high-level principles and requirements that an organization must follow, as formally agreed upon by management and a governing body, in order to direct the strategic vision of an organization;

WHEREAS, budgetary and financial policies inform organizational processes by providing insight into standard functions and key risk and control points needing monitoring and take into consideration risk assessments, mitigations and audit efforts to achieve operational efficiencies; And, that policies help to shape strategic direction, so an organization can move to a mindset that recognizes cost/risk avoidance as a critical public policy discipline.

WHEREAS, formal adoption of budgetary and financial policies by a governmental organization is a recommended practice of the International City Management Association (ICMA) and the Government Finance Officers Association (GFOA).

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/ KANSAS CITY, KANSAS:

Section 1. That the Unified Government Board of Commissioners hereby adopts revisions to the Special Revenue Funds Operating Reserve Policy as included in Exhibit A.

Section 2. That the County Administrator is hereby authorized to execute in the name of the Unified Government of Wyandotte County/Kansas City, Kansas, any and all documents and instruments as may be necessary or desirable to carry out and comply with the intent of this Resolution. And that all other Unified Government staff is further authorized to take such actions as are necessary and proper to effectuate the purposes and intent of this Resolution.

ADOPTED BY THE BOARD OF COMMISSIONERS OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS, THIS 12th DAY OF DECEMBER, 2019.

Unified Government Clerk



Unified Government of Wyandotte County
and Kansas City, Kansas

Commission Resolution:
R-35-18
Adopted: 07/26/2018

Special Revenue Funds Operating Reserve Policy

I. Authority:

The Mayor and the Board of Commissioners are responsible for legislation, policy formulation, and overall direction setting of the government. This includes the approval of financial policies which establish and direct the operations of Unified Government (UG). The County Administrator is responsible for carrying out the policy directives of the UG Board of Commissioners and managing the day-to-day operations of the executive departments, including the Finance Department. This policy shall be administered on behalf of the County Administrator by the Chief Financial Officer and the Budget Director.

II. Purpose:

The Unified Government desires to maintain a prudent level of financial resources to guard its stakeholders against service disruption in the event of unexpected temporary revenue shortfalls or unpredicted one-time expenditures. In addition, this policy is intended to document the appropriate Reserve level to protect the Unified Government's credit worthiness. The Special Revenue Operating Fund Reserves are accumulated and maintained in governmental funds in order to provide stability and flexibility to respond to unexpected adversity and/or opportunities.

This policy establishes the amounts the UG will strive to maintain in its Special Revenue Operating Funds Reserves, how the Reserves will be funded, and the conditions under which Reserves may be used.

III. Applicability and Scope:

This policy shall apply to all special revenue operating governmental funds that receive property tax revenue, assess a fee directly to residents, or include operational expenditures restricted for specific use by local, state and federal laws. Funds not meeting any of these criteria do not have a minimum Reserve requirement. The specific funds sought to meet compliance with this policy are detailed in the policy section.

IV. Policy:

A. Reserve Levels - The Unified Government will maintain a ~~range for the~~ minimum level of Fund Balance (per the annual CAFR on a modified accrual basis of accounting) in the Special Revenue Operating Funds equivalent to the percentages by respective Fund listed below of regular, on-going operating expenditures (including transfers out).

The following is a list of the applicable special revenue ~~and debt service~~ funds with the ~~range of~~ minimum level of Reserves established by this policy, presented as a

percentage of on-going operating expenditures (including transfer out):

- ~~UG Clerk Technology~~ ————— ~~5-10% of expenditures~~
- ~~County Treasurer Technology~~ ————— ~~5-10% of expenditures~~
- Court Trustee ~~8-12%~~two months of expenditures
- Developmental Disabilities ~~10-15%~~two months of expenditures
- Elections ~~10-15%~~two months of expenditures
- Health Department ~~10-15%~~two months of expenditures
- Jail Commissary ~~8-12%~~10% of expenditures
- ~~Library District~~ ————— ~~10-15% of expenditures~~
- Mental Health ~~10-15%~~two months of expenditures
- ~~Register of Deeds Technology~~ ————— ~~5-10% of expenditures~~
- Special Dedicated Sales Tax ~~5-10%~~two months of expenditures
- ~~Special Assets Fund~~ ————— ~~5-10% of expenditures~~
- Special Programs for Elderly ~~10-15%~~two months of expenditures
- Special Wyandotte 911 ~~5-10%~~10% of expenditures
- Special Alcohol ~~5-10%~~two months of expenditures
- Special Parks & Recreation ~~3-5%~~two months of expenditures
- Special Street & Highway ~~3-5%~~three months of expenditures
- ~~Tourism & Convention~~ ————— ~~3-5% of expenditures~~
- Environmental Trust ~~10-15%~~100% of expenditures
- ~~Debt Service funds~~ ————— ~~5-10% of expenditures~~

- B. Compliance** - The UG will measure its compliance with this policy as of December 31st each year, as soon as practical after final year-end account information becomes available as part of the annual financial audit. During the course of the year the Finance Department shall closely monitor the UG's revenues and expenditures to ensure Reserves are not used beyond any planned. For the purposes of this policy, current year's actual expenditures will exclude significant Non-Recurring (one-time) Items.

If, based on staff's analysis and forecasting, the target level of Reserves is not being met or are likely to not be met at some point within a five-year time horizon, then during the annual budget process, Fund Balance levels will be provided to the Mayor and Board of Commissioners. Should the projected year-end Fund Balance be below the minimum Reserve amount established by this policy, a plan to replenish the Reserve would be established based on the requirements outlined in this policy.

- C. Cash Balance** - To provide liquidity adequate to meet the demands of government services provision including unanticipated reductions in revenues or unplanned expenditure increases, Cash Balances will be maintained and managed through the Pooled Cash method in such a way as to minimize short-term borrowing. This reduces overall cost to taxpayers by minimizing interest expense. The above established Reserves are intended to support this effort and counterbalance the revenue and/or tax collection cycle.

- D. Funding the Reserve** - Funding of Special Revenue Operating Reserve targets will generally come from excess revenues over expenditures or one-time revenues.
- E. Conditions for Use of Reserves** - It is the intent of the Unified Government to limit use of Special Revenue Operating Reserves to address unanticipated, Non-Recurring (one-time) needs. Reserves shall not normally be applied to recurring annual operating expenditures. Reserves may, however, be used to allow time for the Unified Government to restructure its operations in a deliberate manner (as might be required in an economic downturn), but such use will only take place in the context of an adopted long-term financial plan.
- F. Authority over Reserves** - The UG Board of Commissioners may authorize the use of Reserves. The Finance Department will regularly report both current and projected Reserve levels to the UG Board of Commissioners.
- G. Fund Balance Classification** - The Unified Government desires to establish a fund balance classification policy consistent with the needs of the Unified Government, and in a manner consistent with governmental accounting standards. The following classifications serve to enhance the usefulness of fund balance information. It shall be the policy to reduce restricted fund balance first, followed by unrestricted fund balance. For unrestricted fund balance, committed amounts should be reduced first, followed by assigned amounts, followed by unassigned amounts.

Restricted Fund Balance

- a) *Non-spendable Balance*: Assets legally or contractually required to be maintained, or are not in spendable form. Such constraint is binding until the legal requirement is repealed or the amounts become spendable.
- b) *Restricted Balance*: Assets with externally imposed constraints, such as those mandated by creditors, grantors and contributors, or laws and regulations. Such constraint is binding unless modified or rescinded by the applicable external body, laws, or regulations.

Unrestricted Fund Balance

- c) *Committed*: Assets with a purpose formally imposed by resolution by the Governing Body of the Unified Government, binding unless modified or rescinded by the Governing Body.
- d) *Assigned*: Assets constrained by the government's intent as expressed by the Governing Body, County Administrator or designee. Encumbrances shall be considered as assigned, unless they specifically meet the requirements to be committed or restricted.
- e) *Unassigned*: All amounts not included in other fund balance classifications. The

general fund shall be the only fund to report positive unassigned fund balance. All other governmental funds may report negative unassigned fund balance.

- H. Assigning Fund Balance** - The County Administrator and Chief Financial Officer, collectively, are hereby authorized to assign Fund Balance for specific purposes in accordance with the intent and actions of the Board of Commissioners.
- I. Replenishment of Reserves** - In the event that Reserves are used resulting in a balance below the established reserve minimum ranges, a plan will be developed and included in the formulation of the five-year forecast presented during the annual budget process.
- J. Excess of Reserves** - In the event Reserves exceed the minimum range balance requirements, at the end of each fiscal year, any excess Reserves may be used in the following ways, within the use of funds required by local, state or federal laws:
 - a) Fund accrued liabilities, including but not limited to debt service, workers' compensation benefits, pension, employee health benefits and other post-employment benefits as directed and approved within the long-term financial plan and the annual budget resolution. Priority will be given to those items that relieve budget or financial operating pressure in future periods;
 - b) Appropriated to lower the amount of outstanding general obligation bonds, temporary notes or contributions needed to fund capital projects in the UG's CMIP;
 - c) Property tax mill rate and/or charges for services fee reductions.
 - d) One-time expenditures that do not increase recurring operating costs that cannot be funded through current revenues. Emphasis will be placed on one-time uses that reduce future operating costs; or
 - e) Start-up expenditures for new programs, provided that such action is approved by the Board of Commissioners and is considered in the context of multi-year projections of revenue and expenditures as prepared by the Finance Department.
- I. Periodic Review of the Targets** - Compliance with this section will be reviewed in conjunction with the annual budget process. At a minimum, during the annual budget process staff shall review the current and five-year projected Reserves to ensure that they are appropriate given the economic and financial risk factors the Unified Government is subject to.

V. Quality Control and Quality Assurance:

It is the responsibility of the Budget Director to ensure the presence of procedures that provide sufficient guidance to affected Unified Government personnel to fulfill the intent of this policy.

These policies will be reviewed at least annually and updated on an as-needed basis.

VI. Metrics:

To be developed and managed accordingly.

VII. Definitions and Acronyms:

- A. Capital Maintenance and Improvement Plan (CMIP) - A plan that describes the capital projects and associated funding sources the Unified Government intends to undertake in the current year plus five additional future years, including the acquisition or construction of capital facilities, equipment and assets, and the maintenance thereof.
- B. Cash Balance - The sum of cash and Cash Equivalents of an accounting fund.
- C. Cash Equivalent - In the context of cash flows reporting, short-term, highly liquid investments that are both 1) readily convertible to known amounts of cash and 2) so near their maturity that they present insignificant risk of changes in value because of changes in interest rates. Generally, only investments with original maturities of three months or less meet this definition. For this purpose, "original maturity" means maturity as of the date the investment is acquired.
- D. Fund Balance (CAFR) - Fund Balance is the difference between (a) assets and deferred outflows of resources and (b) liabilities and deferred inflows of resources in a governmental fund as reported in the Comprehensive Annual Financial Report (CAFR).
- E. Fund Balance (Budgetary) – Fund Balance for Budgetary Purposes begins with the beginning of the year unencumbered fund balance as reported in the CAFR's Schedule of Budgetary Accounts, Budget and Actual Budgetary Basis (non-GAAP) for the respective fund, plus revenues less all expenditures recorded on a cash basis for the respective fiscal year.
- F. Non-Recurring Item - An expenditure that has not occurred in the previous two years and is not expected to occur in the following year.
- G. Pooled Cash - The sum of unrestricted cash and investments of several accounting funds that are consolidated for cash management and investment purposes. Investment income or expenditure is allocated to the various funds based on their respective participation and in accordance with generally accepted accounting principles.
- H. Reserve - Reserve refers only to the portion of Fund Balance that is intended to provide stability and respond to unplanned events or opportunities.

- I. Special Revenue Fund - Governmental fund type used to account for the proceeds of specific revenue sources that are restricted or committed to expenditures for specified purpose other than debt service or capital projects and exclusive of resources held in trust for individuals, private organizations, or other governments.
- J. Unrestricted Fund Balance - The difference between total Fund Balance in a governmental fund and its nonspendable and restricted components.

VIII. Related Documents and References:

- A. Capital Asset and Equipment Investment and Management Policy
- B. Long-Term Financial Planning Policy
- C. Operating and Capital Budget Policy
- D. County Administrator policies as applicable



Staff Request for Commission Action

Tracking No. 191062

Full Commission Meeting Date: NA

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: No

<u>Date:</u>	<u>Contact Name:</u>	<u>Contact Phone:</u>	<u>Contact Email:</u>	<u>Department/Division:</u>
11/19/2019	Kathleen VonAchen, Reginald Lindsey	x5186, x5292	kvonachen@wycokck.org, rlindsey@wycokck.org	Finance

Item Description:

Third Quarter of Fiscal Year 2019 Budget to Actuals Report is provided for informational purposes only. No action is required.

Action Requested:

For information only.

Budget Impact: (if applicable)

Amount:

Source:

Included In Budget:

Other (explain):

Attachments List:

2019 Quarterly Report Q3-KV edits, 2019 Q3



QUARTERLY FINANCIAL REPORT

This document has been prepared by the Finance department.
Please direct any inquiries to the Budget Director, Reginald Lindsey at
913-573-5292

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Assistant Budget Manager
Budget Analyst
Budget Analyst
Debt Coordinator
Budget Intern

Third
Quarter
2019
Budget to
Actuals
Trend
Analysis



UNIFIED GOVERNMENT OF WYANDOTTE COUNTY/KANSAS CITY, KANSAS

Quarterly Financial Report

Third Quarter of 2019

The Unified Government has completed the third quarter of the 2019 fiscal year which began in July 2019. This report summarizes the activities of the General Funds, and is not meant to be inclusive of all financial transactions. We hope this report provides our residents with an overview of the Unified Government's financial performance.

The General Funds consist of the City General Fund, the County General Fund, and the Consolidated Parks Fund. They are the primary operating funds of the Unified Government and are used to account for a majority of operating activities. Other large funds, such as the Emergency Medical Services Fund, Dedicated Sales Tax Fund, Sewer Enterprise Fund and the Street & Highway Fund, account for a good portion of the remaining financial activities.

The total 2019 Amended Budget is \$371.9M which consists of \$222.5M for the General Funds, \$50.0M for Other Tax Levy Supported Funds and \$99.4M for Non-Tax Levy Supported Funds. The General Fund Budget does not include the annual appropriation for debt service contingency of \$9.88M.

This report focuses on the General Funds. Financial performance of all other funds may be found on the last page.

CONSOLIDATED GENERAL FUND BALANCE

In measuring financial performance, it is recommended to review the collections and spending of resources through the current period and compare this information with the prior fiscal year for the same period. The following table is the financial overview of the Consolidated General Fund for the third quarter period of 2018 in comparison to the same period in 2019. This data includes all three general funds.

CONSOLIDATED GENERAL FUND <i>numbers in 000's</i>	FY 2018			FY 2019		
	Budget	3rd Qtr YTD Actual	% of budget	Budget	3rd Qtr YTD Actual	% of budget
Revenues	\$ 212,445	\$ 169,691	79.9%	\$ 216,843	\$ 174,808	80.6%
Expenditures	\$ 213,223	\$ 151,668	71.1%	\$ 220,488	\$ 156,408	70.9%
Net Alloc & Transfers	\$ (360)	\$ (90)	25.0%	\$ 285	\$ 71	25.0%
Net Change	\$ (1,139)	\$ 17,932		\$ (3,359)	\$ 18,471	
Balance, Start of Year	\$ 26,925	\$ 26,925		\$ 26,853	\$ 26,853	
Balance Year-to -Date	\$ 25,787	\$ 44,858		\$ 23,494	\$ 45,324	

Table 1: Consolidated General Fund Schedule of Revenues, Expenditures and Changes in Fund Balance

- Collected revenues are 3% higher than the 2019 third quarter primarily due to improved collections for Sales Tax and Charges for Service compared with the same prior year period.
- Expenditures for the third quarter actuals were 3% higher than the same period last year. They were also 4.1% below budgets compared to 3.9% below budgets for the same period of the prior year.
- The beginning fund balances are on a cash basis and are updated based on the comprehensive annual financial report for the prior year.
- The General Fund's Revenues and Expenditures do not include the annual appropriation for debt service contingency of \$9.88M.

CITY GENERAL FUND REVENUES

The City General Fund is the principal operating account of Kansas City, KS. Revenue is received from taxes, fees, intergovernmental revenue, charges for services, and other sources. The General Fund is used to support general operations, including Police, Fire, Municipal Court, Public Works, general services functions and administrative programs. City General Fund revenues are also used to finance the purchase of equipment and capital projects not financed with debt.

City General Fund Revenues	2019 Amended Budget	2019 3rd Qtr YTD Actual	% Rev Collected
<i>numbers in 000s</i>			
Property Tax	\$ 23,045	\$ 23,289	101.1%
Sales Tax	\$ 42,961	\$ 32,497	75.6%
Other Tax	\$ 58,881	\$ 39,900	67.8%
Permits/Licenses	\$ 1,350	\$ 1,134	84.0%
Intergovernmental Revenues	\$ 713	\$ 687	96.4%
Charges for Service	\$ 11,366	\$ 8,271	72.8%
Fines, Forfeits, Fees	\$ 3,428	\$ 3,012	87.9%
Misc. & Transfers-In	\$ 6,466	\$ 3,120	48.3%
Total	\$ 148,210	\$ 111,912	75.5%

Table 2: City General Fund YTD Revenues as a % of Budget

Tax Revenue collections are at 76.6% of the amended budget. Property taxes amounts to \$23.3M or 101.1% of projected property tax revenues and is \$265,000 below prior year collections due to a 2-mill reduction instituted in 2019. Sales and use tax revenues total \$32.5M or 75.6% of the reduced revised target. Franchise and Other taxes ended the quarter in line with targets reaching 67.8% of the budgeted levels. Franchise taxes are 10% below target for the third quarter.

Permits & Licenses collections include landlord rental licenses and Right-of-way permits. Collections are at 84% of targeted revenues; a comparable pace to what was received last year for the 3rd quarter.

Seventy five percent (75.5%) of actual City General Fund revenue has been collected through September 30, 2019; 0.5% higher than the 75% quarterly target. *Table 2* shows the actual collections by major revenue source category and the percent collected compared to the budget. *Table 3* shows that collected revenues are above revenues collected for the same period last year with increases for Franchise Taxes and Charges helping offset reductions in Sales Taxes.

City General Fund Revenues	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
<i>numbers in 000s</i>			
Property Tax	\$ 23,554	\$ 23,289	\$ (265)
Sales Tax	\$ 33,448	\$ 32,497	\$ (951)
Other Tax	\$ 37,609	\$ 39,900	\$ 2,291
Permits/Licenses	\$ 1,102	\$ 1,134	\$ 32
Intergovernmental Revenues	\$ 745	\$ 687	\$ (58)
Charges for Service	\$ 7,234	\$ 8,271	\$ 1,037
Fines, Forfeits, Fees	\$ 2,990	\$ 3,012	\$ 22
Misc. & Transfers-In	\$ 3,705	\$ 3,120	\$ (585)
Total	\$ 110,388	\$ 111,912	\$ 1,524

Table 3: City General Fund Revenues Year to Year Comparison

Charges for Service including residential trash fees and building inspection fees ended the quarter at 72.8%, in line with collection rates for the third period 2018.

Fines, Forfeits, Fees include Municipal Court revenue and are 12.9% above the 75% revenue target for the 3rd quarter. This is due to Misc Fines, Forefeitures and Fees collections at 110% of budget at the end of the 3rd Quarter.

Misc. & Transfers-In include interest, reimbursements, sale of land and indirect charges and ended 26.7% below target. These revenues fluctuate throughout the year.

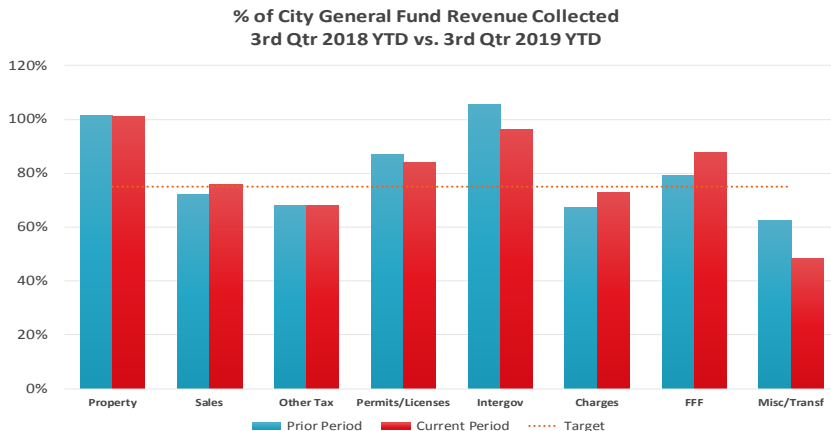


Figure 1: City General Fund Prior Year vs. Current Year

CITY GENERAL FUND EXPENDITURES

City General Fund Expenditures	2019 Amended Budget	2019 3rd Qtr YTD Actual	% of Budget
<i>numbers in 000s</i>			
Personnel	\$ 111,009	\$ 80,507	72.5%
Services	\$ 23,413	\$ 17,398	74.3%
Supplies	\$ 5,471	\$ 3,802	69.5%
Grants, Claims	\$ 5,092	\$ 3,375	66.3%
Misc. & Transfers-Out	\$ 1,688	\$ 520	30.8%
Capital Outlay	\$ 4,184	\$ 1,675	40.0%
Total	\$ 150,857	\$ 107,276	71.1%

Table 4: City General Fund YTD Expenditures as a % of Budget

Supplies are below budget target by 5.5%, due to operational savings with the most notable savings being in lower than anticipated Auto Parts and the timing of Natural Gas expenses being higher in winter months.

Grants, Claims ended at 66.3% of budgeted amounts. This is primarily due to lower than budgeted legal claims and judgements; an obligatory expense that varies from year to year as well as the timing on our grants distributions being later in the year.

Personnel expenditure spend rate is 72.5% or 2.5% below the target for the 3rd Quarter. Increases in overtime are being offset by a higher vacancy rate in 2019 than the prior year.

Services expenses ended 0.7% lower than the quarter target level in line with the third quarter target. Major expenses paid in this category are transit contract fees, software maintenance, clearance and demo fees, city jail expenses, and the trash contract.

City General Fund Expenditures	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
<i>numbers in 000s</i>			
Personnel	\$ 79,501	\$ 80,507	\$ 1,005
Services	\$ 16,416	\$ 17,398	\$ 982
Supplies	\$ 2,805	\$ 3,802	\$ 997
Grants, Claims	\$ 3,488	\$ 3,375	\$ (113)
Misc. & Transfers-Out	\$ 813	\$ 520	\$ (293)
Capital Outlay	\$ 1,450	\$ 1,675	\$ 225
Total	\$ 104,473	\$ 107,276	\$ 2,803

Table 5: City General Fund Expenditures Year to Year Comparison

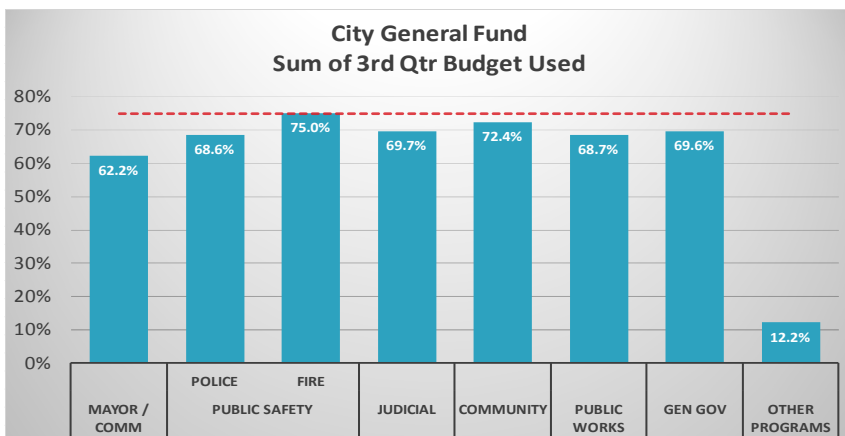


Figure 2: City General Fund Dept. Expenditures as a % of Budget

All Departments are in line with spending targets for the third quarter. Other Programs includes reserves, long-term debt and is currently below target due to expenses not hitting the reserves budgets and hitting long-term debt closer to year end.

Misc & Transfers-Out ended the third Quarter at 30.8%. This category primarily consists of contingencies that are transferred if needed during the year and transfers-out which are spread by quarter through the year.

Capital Outlay spend rate ended the the third quarter at 40%. Capital equipment makes up 40% of the capital outlay budget at \$1.66M. The remaining \$2.5M in the capital budget is set aside for capital projects.

COUNTY GENERAL FUND REVENUES

The County General Fund is the principal operation account of Wyandotte County. Revenue is received from taxes, fees, intergovernmental revenue, charges for services, and other sources. The County General Fund is used to support general operations including Emergency Management, Sheriff, Emergency Dispatch, Court programs, Public Works, general services functions, and administrative programs. County General Fund revenues are also used to finance the purchase of capital equipment and capital projects which are not debt financed.

County General Fund Revenues <i>numbers in 000s</i>	2019 Amended Budget	2019 3rd Qtr YTD Actual	% Rev Collected
Property Tax	\$ 37,891	\$ 38,341	101.2%
Sales Tax	\$ 6,726	\$ 5,094	75.7%
Other Tax	\$ 9,479	\$ 7,719	81.4%
Permits/Licenses	\$ 1,052	\$ 802	76.2%
Intergovernmental Revenues	\$ 66	\$	0.7%
Charges for Service	\$ 1,792	\$ 1,398	78.0%
Fines, Forfeits, Fees	\$ 3,088	\$ 2,225	72.1%
Misc. & Transfers-In	\$ 4,356	\$ 3,161	72.6%
Total	\$ 64,450	\$ 58,740	91.1%

Table 6: County General Fund YTD Revenues as a % of Budget

Tax Revenue collections are at 94.56% of the 2019 amended target budget. In line with revenue received in the prior year due to most property tax collected earlier in the year than other revenues. Sales Tax ended the third quarter at 75.7% or in line with targets and Other Tax revenue ended the quarter 6% above target. Both halves of property tax payments are due.

Permits & Licenses collected in line with the third quarter target at 76.2%. This category consists of Auto Licenses, Auto License Fees and Antique Vehicle Licensing.

County General Fund Revenues <i>numbers in 000s</i>	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
Property Tax	\$ 35,494	\$ 38,341	\$ 2,847
Sales Tax	\$ 5,194	\$ 5,094	\$ (100)
Other Tax	\$ 8,011	\$ 7,719	\$ (293)
Permits/Licenses	\$ 804	\$ 802	\$ (2)
Intergovernmental Revenues	\$ 50	\$	\$ (50)
Charges for Service	\$ 998	\$ 1,398	\$ 400
Fines, Forfeits, Fees	\$ 2,244	\$ 2,225	\$ (18)
Misc. & Transfers-In	\$ 2,975	\$ 3,161	\$ 186
Total	\$ 55,771	\$ 58,740	\$ 2,969

Table 7: County General Fund Revenues Year to Year Comparison

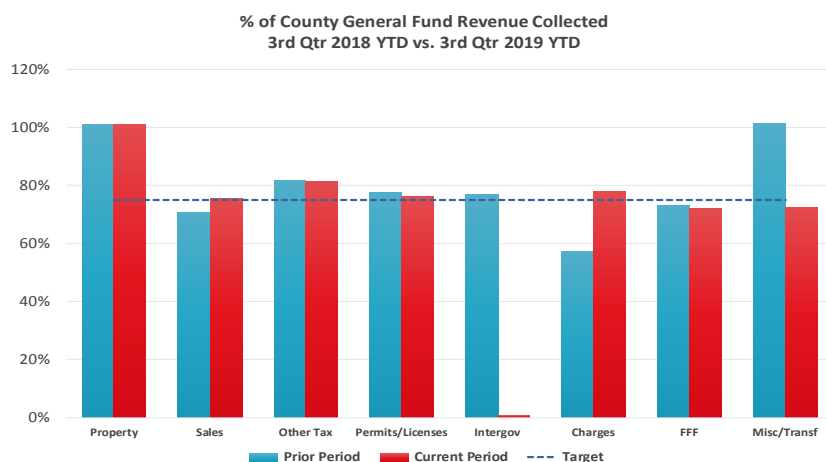


Figure 3: County General Fund Prior Year vs. Current Year

County General Fund revenue ended 2019 third quarter exceeding the budgeted revenue targets by 16.1%. *Table 6* shows the actual collections by major revenue source category and the percent collected compared to the budget. *Table 7* shows where revenues are trending in comparison to the same period last year with revenues coming in 5.3% higher than prior year totals thru the 3rd quarter due to increased property tax collections.

Charges for Service collections trended 3% above target for the third quarter. Jail Fees make up 77% of budgeted revenue and are in line with budgeted targets.

Fines, Forfeits, Fees includes officer fees, treasurer fees, and development agreement penalties; collections came in 2.9% lower than budgeted levels.

Miscellaneous Revenue ended the 2019 third quarter at 2.4% below target with interest income currently sitting 10.3% below the budget target.

COUNTY GENERAL FUND EXPENDITURES

County General Fund Expenditures <i>numbers in 000s</i>	2019 Amended Budget	2019 3rd Qtr YTD Actual	% of Budget
Personnel	\$ 43,963	\$ 32,150	73.1%
Services	\$ 14,408	\$ 10,094	70.1%
Supplies	\$ 1,704	\$ 944	55.4%
Grants, Claims	\$ 1,162	\$ 856	73.6%
Misc. & Transfers-Out	\$ 1,592	\$ 1,020	64.1%
Capital Outlay	\$ 1,844	\$ 546	29.6%
Total	\$ 64,674	\$ 45,610	70.5%

Table 9: County General Fund YTD Expenditures as a % of Budget

Supplies ended the third quarter 19.6% below budget targets. Major expenses paid in this category are natural gas, fuel, and vehicle repair & maintenance. Fuel is 28.5% below target for the 3rd quarter.

Grants, Claims ended the third quarter in line with the budget target at 73.6%. Significant items in this category include Legal Claims and Judgements, 4.92% of budget expended, Grants, 103% of budget expended, and Tax Rebates, paid out at the end of the year.

Personnel expenditures ended the 2019 third quarter 1.9% lower than budgeted levels. This includes overtime pay of personnel exceeding the 2019 amended budget by 53.8%, offsetting payroll being 9.1% below target.

Services expenditures ended the third quarter 4.9% below target. Major expenses paid in this category are attorney and lawyers, external prisoner housing, and prisoner medical contracts. Prisoner medical and housing are 16.4% over target and are being offset by operational savings across the fund.

County General Fund Expenditures <i>numbers in 000s</i>	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
Personnel	\$ 31,318	\$ 32,150	\$ 832
Services	\$ 9,209	\$ 10,094	\$ 885
Supplies	\$ 974	\$ 944	\$ (30)
Grants, Claims	\$ 960	\$ 856	\$ (104)
Misc. & Transfers-Out	\$ 523	\$ 1,020	\$ 497
Capital Outlay	\$ 515	\$ 546	\$ 31
Total	\$ 43,499	\$ 45,610	\$ 2,111

Table 10: County General Fund Expenditures Year to Year Comparison

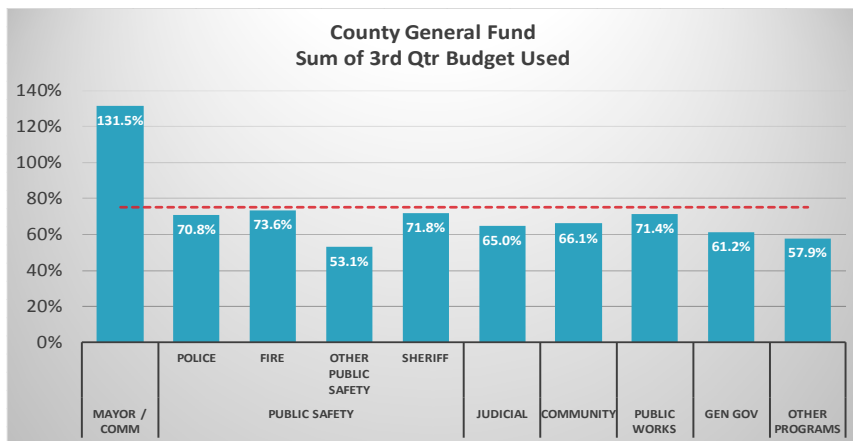


Figure 4: County General Fund Dept. Expenditures as a % of Budget

Misc. & Transfers-Out ended the 2019 third quarter 10.9% below target. \$1.36M consists of Operating Transfers-Out, with the other \$230k consisting of budget reserves and contingencies that would be transferred if needed for expenditures.

Capital Outlay is 45.4% below the budget target for the third quarter. Capital equipment makes up 63.5% of the capital outlay budget and has expended 23.6% of it's budget with projects expending 40% of their budgets.

The majority of Departments are in line with spending targets for the year. Mayor and Commission are exceeding their target for the quarter due to personnel transactions not hitting the budgeted fund for the personnel budget and are below target on the city side.

CONSOLIDATED PARKS GENERAL FUND REVENUES

The Consolidated Parks General Fund combines the former City and County Park's Department budget into one operating fund. This fund is used for the operation of parks, parkways, recreational areas and facilities under the supervision of the Director of Parks and Recreation. The three divisions of this fund include: Administration, Parks, and Recreation.

All park and recreation user fees, rentals, contracts and lease revenues will be allocated to this fund. In addition to a county tax levy, this fund receives an annual appropriation from the city general fund shown under Intergovernmental Revenues.

Parks General Fund Revenues <i>numbers in 000s</i>	2019 Amended Budget	2019 3rd Qtr YTD Actual	% Rev Collected
Property Tax	\$ 1,690	\$ 1,711	101.2%
Other Tax	\$ 320	\$ 268	83.6%
Intergovernmental Revenues	\$ 3,700	\$ 2,775	75.0%
Charges for Service	\$ 628	\$ 380	60.4%
Misc. & Transfers-In	\$ 101	\$ 151	149.4%
Total	\$ 6,439	\$ 5,284	82.1%

Table 10: Consolidated Parks General Fund YTD Revenues as a % of Budget

Tax Revenue collections are at 101.2% of the 2019 amended budget. Both halves of property tax payments are due for 2019 and revenues have exceeded budget due to a lower tax delinquency rate for the year.

Intergovernmental Revenues ended 2019 third quarter at 75% of target with three of the quarterly transfers of the annual city appropriation having been processed for the consolidated parks fund.

Parks General Fund Revenues <i>numbers in 000s</i>	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
Property Tax	\$ 1,585	\$ 1,711	\$ 126
Other Tax	\$ 268	\$ 268	\$ (0)
Intergovernmental Revenues	\$ 2,821	\$ 2,775	\$ (46)
Charges for Service	\$ 450	\$ 380	\$ (70)
Misc. & Transfers-In	\$ 100	\$ 151	\$ 50
Total	\$ 5,224	\$ 5,284	\$ 60

Table 11: Consolidated Parks General Fund Revenues Year to Year Comparison

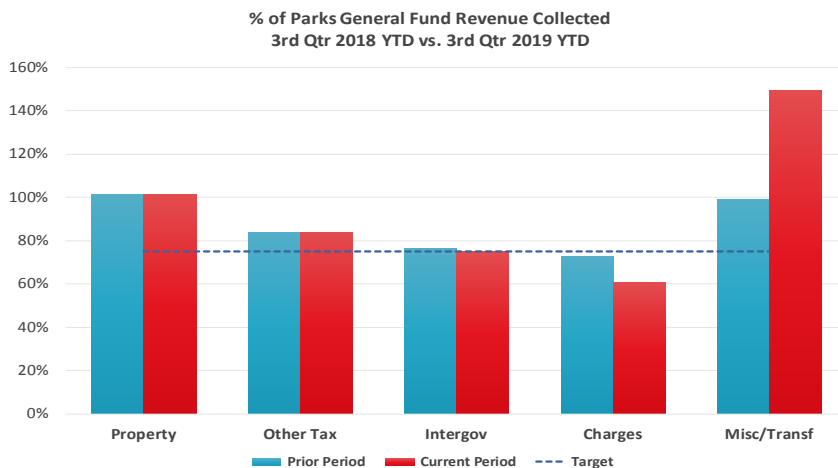


Figure 5: Consolidated Parks General Fund Prior Year vs. Current Year

Consolidated Parks General Fund revenue is 7.1% above the third quarter target. *Table 10* shows the actual collections for the major revenue sources and the percent collected compared to the budget. *Table 11* shows that the revenues were stable with a slight increase in Property Tax revenue between the third quarter 2019 to the same prior year revenues.

Charges for Service include park shelter and field rentals and ended the third quarter 14.6% below target. This is partially due to some revenues shifting in coding to the Misc category.

Miscellaneous Revenue ended the third quarter 49.4% above budgeted collections. This is due to some revenue shifting from Charges in coding.

Accounting for the shift in coding between Charges and Misc revenue, revenues in each category are in line with the same period the prior year.

CONS. PARKS GENERAL FUND EXPENDITURES

Parks General Fund Expenditures <i>numbers in 000s</i>	2019 Amended Budget	2019 3rd Qtr YTD Actual	% of Budget
Personnel	\$ 4,465	\$ 3,343	74.9%
Services	\$ 1,101	\$ 952	86.4%
Supplies	\$ 554	\$ 440	79.5%
Grants, Claims	\$ 12	\$ -	0.0%
Misc. & Transfers-Out	\$ 56	\$ -	0.0%
Capital Outlay	\$ 740	\$ 324	43.8%
Total	\$ 6,928	\$ 5,059	73.0%

Table 12: Consolidated Parks General Fund YTD Expenditures as a % of Budget

Supplies exceeded budget targets by 4.5% for the third quarter. Larger items in this category include fuel, fish stocking, landscaping and custodial supplies. Parks and Rec expenditures and operations peak in summer months.

Misc. & Transfers-Out ended the third quarter with no expenditures. This category consists primarily of Reserves that would be transferred to other categories for use if needed.

Parks General Fund Expenditures <i>numbers in 000s</i>	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
Personnel	\$ 3,229	\$ 3,343	\$ 113
Services	\$ 842	\$ 952	\$ 110
Supplies	\$ 428	\$ 440	\$ 12
Grants, Claims	\$ 2	\$ -	\$ (2)
Misc. & Transfers-Out	\$ -	\$ -	\$ -
Capital Outlay	\$ 363	\$ 324	\$ (39)
Total	\$ 4,864	\$ 5,059	\$ 195

Table 13: Consolidated Parks Expenditures Year to Year Comparison

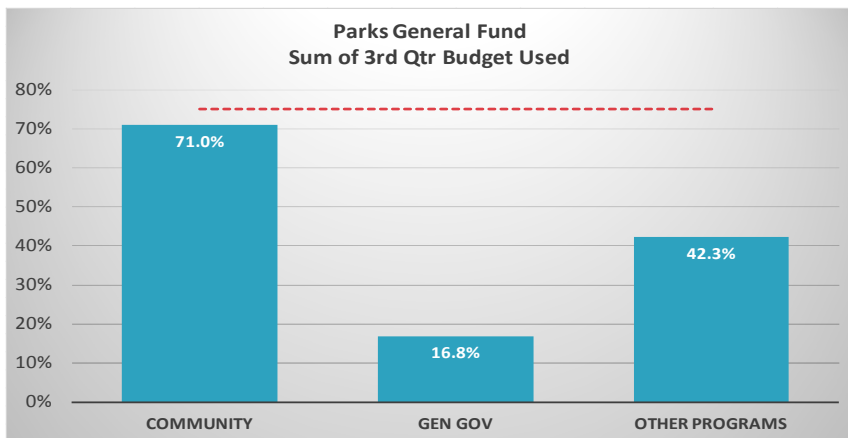


Figure 6: Parks General Fund Dept. Expenditures as a % of Budget

Personnel expenditures for 2019 third quarter ended in line with the amended budget coming in just 0.1% lower than target. Personnel should trend down for the fourth quarter with summer/seasonal positions not being staffed.

Services ended the quarter 11.4% above target. This is primarily due to seasonality of contract employees and mowing.

Capital Outlay ended the third quarter at 43.8% of budgeted expenditures. Capital equipment makes up 43.2%, or \$320K, of the capital outlay budget. The majority of capital equipment has been purchased with only the budget for the new online rec system remaining. Capital projects make up 56.8%, or \$420,000, of the capital budget and have expended 25.4% of budgets.

Parks and Recreation, or Community Services, is a majority of the Consolidated Parks Fund making up 99.8% of the fund. Spending for Parks and Recreation is in line spending targets for the amended budget.

Budget to Actual through September 30th 2019

Third Quarter

	REVENUES <i>numbers in 000's</i>			EXPENDITURES <i>numbers in 000's</i>		
	2019 Amended	2019		2019 Amended	2019	
Tax Levy Funds	Budget	YTD Actual	% of Budget	Budget	YTD Actual	% of Budget
City General Fund	\$ 148,210	\$ 111,912	75.5%	\$ 150,857	\$ 107,276	71.1%
City Bond & Interest	\$ 34,135	\$ 24,420	71.5%	\$ 36,452	\$ 37,238	102.2%
County General Fund	\$ 64,450	\$ 58,740	91.1%	\$ 64,674	\$ 45,610	70.5%
Cons. Parks General Fund	\$ 6,439	\$ 5,284	82.1%	\$ 6,928	\$ 5,059	73.0%
County Bond & Interest	\$ 4,717	\$ 4,363	92.5%	\$ 5,371	\$ 1,696	31.6%
Aging	\$ 1,876	\$ 1,752	93.4%	\$ 1,918	\$ 1,355	70.6%
Developmental Disabilities	\$ 545	\$ 521	95.5%	\$ 594	\$ 272	45.9%
Elections	\$ 1,317	\$ 1,263	95.9%	\$ 1,484	\$ 865	58.3%
Health	\$ 3,229	\$ 2,580	79.9%	\$ 3,572	\$ 2,502	70.0%
Mental Health	\$ 616	\$ 605	98.1%	\$ 659	\$ 405	61.5%
Total UG Tax Levy Funds	\$ 265,535	\$ 211,440	79.6%	\$ 272,508	\$ 202,279	74.2%
Other Funds	2019 Amended	2019		2019 Amended	2019	
	Budget	YTD Actual	% of Budget	Budget	YTD Actual	% of Budget
Alcohol	\$ 570	\$ 418	73.2%	\$ 573	\$ 271	47.2%
Clerk Technology	\$ 40	\$ 31	77.0%	\$ 120	\$ 3	2.6%
Court Trustee	\$ 435	\$ 331	76.1%	\$ 611	\$ 347	56.9%
Dedicated Sales Tax	\$ 10,600	\$ 7,688	72.5%	\$ 11,068	\$ 6,026	54.5%
Emergency Medical Services	\$ 11,824	\$ 8,510	72.0%	\$ 11,927	\$ 8,110	68.0%
Environmental Trust	\$ 1,078	\$ 805	74.6%	\$ 1,130	\$ 367	32.5%
Jail Commissary	\$ 55	\$ 23	42.4%	\$ 60	\$ 2	3.5%
Parks & Recreation	\$ 570	\$ 420	73.6%	\$ 595	\$ 394	66.2%
Public Levee	\$ 340	\$ 258	75.8%	\$ 387	\$ 50	12.8%
Register of Deeds Technology	\$ 160	\$ 123	77.1%	\$ 130	\$ 126	97.0%
Sewer System	\$ 42,628	\$ 30,656	71.9%	\$ 50,923	\$ 23,848	46.8%
Special Assets	\$ -	\$ -		\$ 850	\$ -	0.0%
Stadium	\$ 555	\$ 138	24.9%	\$ 694	\$ 390	56.2%
Stormwater	\$ 3,545	\$ 2,676	75.5%	\$ 5,059	\$ 1,503	29.7%
Street & Highway	\$ 7,105	\$ 5,498	77.4%	\$ 7,830	\$ 5,694	72.7%
Sunflower Hills Golf Course	\$ 679	\$ 580	85.5%	\$ 670	\$ 522	77.8%
Travel & Tourism	\$ 4,018	\$ 2,602	64.8%	\$ 5,825	\$ 1,139	19.6%
Treasury Technology	\$ 40	\$ 31	77.0%	\$ 45	\$ 9	20.5%
Wyandotte County 911	\$ 845	\$ 610	72.2%	\$ 928	\$ 465	50.2%
Total Other Funds	\$ 85,085	\$ 61,398	72.2%	\$ 99,425	\$ 49,268	49.6%
Total Funds	\$ 350,620	\$ 272,838	77.8%	\$ 371,933	\$ 251,546	67.6%
County Library	\$ 3,095	\$ 3,124	101.0%	\$ 3,205	\$ 2,925	91.2%
Total ALL Funds	\$ 353,714	\$ 275,962	78.0%	\$ 375,138	\$ 254,471	67.8%



2019 Q3 BUDGET-TO-ACTUAL FINANCIAL PERFORMANCE

(JANUARY 2019-SEPTEMBER 2019)

Economic Development and Finance Committee
December 2, 2019

CONSOLIDATED GENERAL FUND		FY 2018		FY 2019		
		3rd Qtr YTD	% of	3rd Qtr YTD	% of	
<i>numbers in 000's</i>	Budget	Actual	budget	Budget	Actual	budget
Revenues	\$ 212,445	\$ 169,691	79.9%	\$ 216,843	\$ 174,808	80.6%
Expenditures	\$ 213,223	\$ 151,668	71.1%	\$ 220,488	\$ 156,408	70.9%
Net Alloc & Transfers	\$ (360)	\$ (90)	25.0%	\$ 285	\$ 71	25.0%
Net Change	\$ (1,139)	\$ 17,932		\$ (3,359)	\$ 18,471	
Balance, Start of Year	\$ 26,925	\$ 26,925		\$ 26,853	\$ 26,853	
Balance Year-to -Date	\$ 25,787	\$ 44,858		\$ 23,494	\$ 45,324	

Table 1: Consolidated General Fund Schedule of Revenues, Expenditures and Changes in Fund Balance

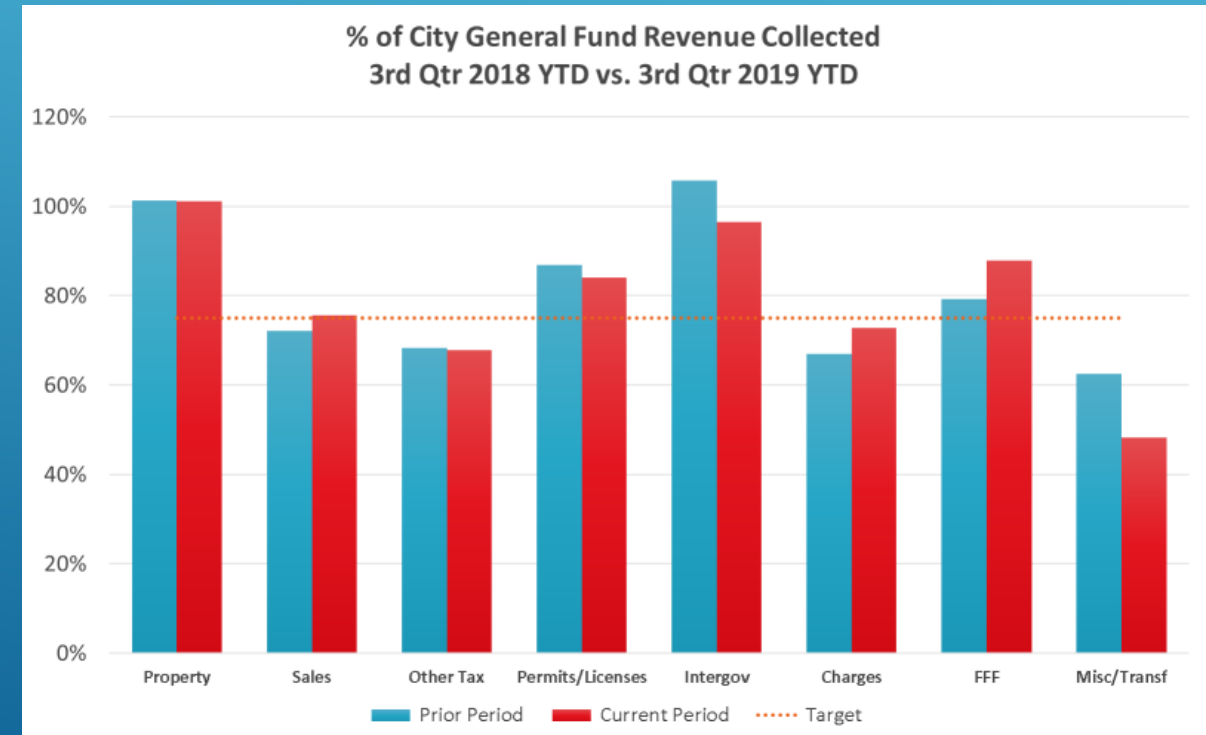
CONSOLIDATED GENERAL FUND OVERVIEW

2019 Budget-to-Actuals

City General Fund Revenues	2019 Amended Budget	2019 3rd Qtr YTD Actual	% Rev Collected
<i>numbers in 000s</i>			
Property Tax	\$ 23,045	\$ 23,289	101.1%
Sales Tax	\$ 42,961	\$ 32,497	75.6%
Other Tax	\$ 58,881	\$ 39,900	67.8%
Permits/Licenses	\$ 1,350	\$ 1,134	84.0%
Intergovernmental Revenues	\$ 713	\$ 687	96.4%
Charges for Service	\$ 11,366	\$ 8,271	72.8%
Fines, Forfeits, Fees	\$ 3,428	\$ 3,012	87.9%
Misc. & Transfers-In	\$ 6,466	\$ 3,120	48.3%
Total	\$ 148,210	\$ 111,912	75.5%

CITY GENERAL FUND REVENUES

Comparison with 2018 Actuals



Comparison with 2018 Actuals

City General Fund Revenues	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/ Decrease	Variance %
<i>numbers in 000s</i>				
Property Tax	\$ 23,554	\$ 23,289	\$ (265)	-1.1%
Sales Tax	\$ 33,448	\$ 32,497	\$ (951)	-2.8%
Other Tax	\$ 37,609	\$ 39,900	\$ 2,291	6.1%
Permits/Licenses	\$ 1,102	\$ 1,134	\$ 32	2.9%
Intergovernmental Revenues	\$ 745	\$ 687	\$ (58)	-7.7%
Charges for Service	\$ 7,234	\$ 8,271	\$ 1,037	14.3%
Fines, Forfeits, Fees	\$ 2,990	\$ 3,012	\$ 22	0.7%
Misc. & Transfers-In	\$ 3,705	\$ 3,120	\$ (585)	-15.8%
Total	\$ 110,388	\$ 111,912	\$ 1,524	1.4%

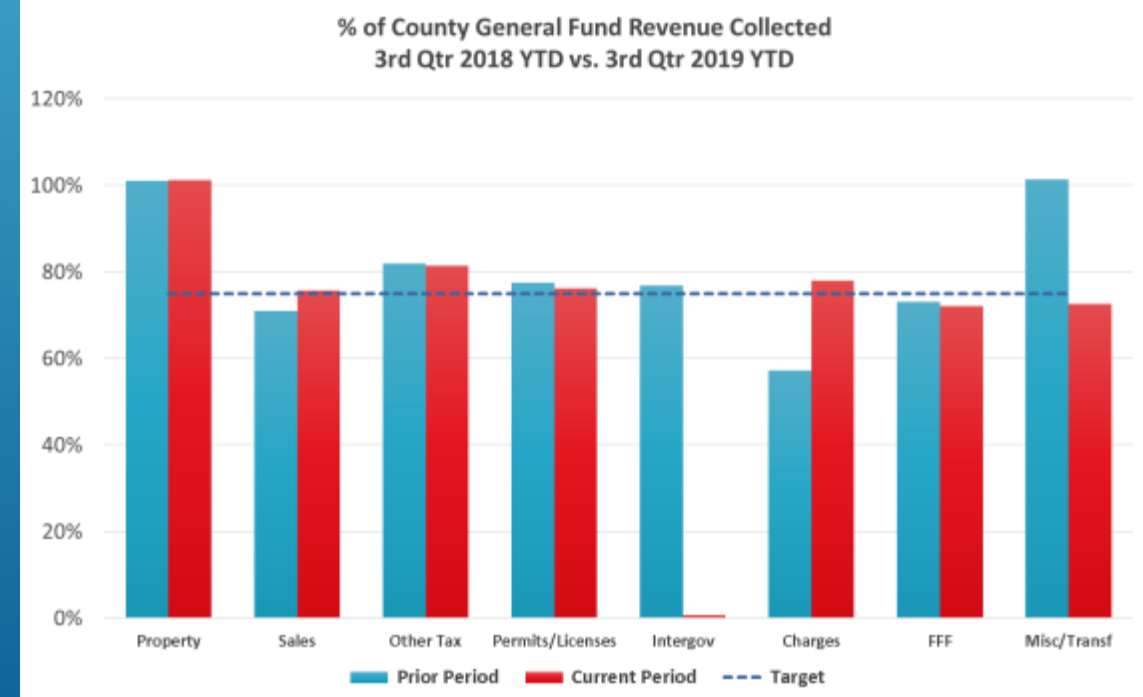
**CITY
GENERAL FUND
REVENUES**

2019 Budget-to-Actuals

County General Fund Revenues <i>numbers in 000s</i>	2019 Amended Budget	2019 3rd Qtr YTD Actual	% Rev Collected
Property Tax	\$ 37,891	\$ 38,341	101.2%
Sales Tax	\$ 6,726	\$ 5,094	75.7%
Other Tax	\$ 9,479	\$ 7,719	81.4%
Permits/Licenses	\$ 1,052	\$ 802	76.2%
Intergovernmental Revenues	\$ 66	\$	0.7%
Charges for Service	\$ 1,792	\$ 1,398	78.0%
Fines, Forfeits, Fees	\$ 3,088	\$ 2,225	72.1%
Misc. & Transfers-In	\$ 4,356	\$ 3,161	72.6%
Total	\$ 64,450	\$ 58,740	91.1%

COUNTY GENERAL FUND REVENUES

Comparison with 2018 Actuals



Comparison with 2018 Actuals

County General Fund Revenues <i>numbers in 000s</i>	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/ Decrease	Variance %
Property Tax	\$ 35,494	\$ 38,341	\$ 2,847	8.0%
Sales Tax	\$ 5,194	\$ 5,094	\$ (100)	-1.9%
Other Tax	\$ 8,011	\$ 7,719	\$ (293)	-3.7%
Permits/Licenses	\$ 804	\$ 802	\$ (2)	-0.3%
Intergovernmental Revenues	\$ 50	\$	\$ (50)	-99.1%
Charges for Service	\$ 998	\$ 1,398	\$ 400	40.1%
Fines, Forfeits, Fees	\$ 2,244	\$ 2,225	\$ (18)	-0.8%
Misc. & Transfers-In	\$ 2,975	\$ 3,161	\$ 186	6.2%
Total	\$ 55,771	\$ 58,740	\$ 2,969	5.3%

**COUNTY
GENERAL FUND
REVENUES**

2019 Budget-to-Actuals

City General Fund Expenditures	2019 Amended Budget	2019 3rd Qtr YTD Actual	% of Budget
<i>numbers in 000s</i>			
Personnel	\$ 111,009	\$ 80,507	72.5%
Services	\$ 23,413	\$ 17,398	74.3%
Supplies	\$ 5,471	\$ 3,802	69.5%
Grants, Claims	\$ 5,092	\$ 3,375	66.3%
Misc. & Transfers-Out	\$ 1,688	\$ 520	30.8%
Capital Outlay	\$ 4,184	\$ 1,675	40.0%
Total	\$ 150,857	\$ 107,276	71.1%

Comparison with 2018 Actuals

City General Fund Expenditures	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/ Decrease
<i>numbers in 000s</i>			
Personnel	\$ 79,501	\$ 80,507	\$ 1,005
Services	\$ 16,416	\$ 17,398	\$ 982
Supplies	\$ 2,805	\$ 3,802	\$ 997
Grants, Claims	\$ 3,488	\$ 3,375	\$ (113)
Misc. & Transfers-Out	\$ 813	\$ 520	\$ (293)
Capital Outlay	\$ 1,450	\$ 1,675	\$ 225
Total	\$ 104,473	\$ 107,276	\$ 2,803

CITY
GENERAL FUND
EXPENDITURES

2019 Budget-to-Actuals

County General Fund Expenditures <i>numbers in 000s</i>	2019 Amended Budget	2019 3rd Qtr YTD Actual	% of Budget
Personnel	\$ 43,963	\$ 32,150	73.1%
Services	\$ 14,408	\$ 10,094	70.1%
Supplies	\$ 1,704	\$ 944	55.4%
Grants, Claims	\$ 1,162	\$ 856	73.6%
Misc. & Transfers-Out	\$ 1,592	\$ 1,020	64.1%
Capital Outlay	\$ 1,844	\$ 546	29.6%
Total	\$ 64,674	\$ 45,610	70.5%

Comparison with 2018 Actuals

County General Fund Expenditures <i>numbers in 000s</i>	2018 3rd Qtr YTD Actual	2019 3rd Qtr YTD Actual	Increase/Decrease
Personnel	\$ 31,318	\$ 32,150	\$ 832
Services	\$ 9,209	\$ 10,094	\$ 885
Supplies	\$ 974	\$ 944	\$ (30)
Grants, Claims	\$ 960	\$ 856	\$ (104)
Misc. & Transfers-Out	\$ 523	\$ 1,020	\$ 497
Capital Outlay	\$ 515	\$ 546	\$ 31
Total	\$ 43,499	\$ 45,610	\$ 2,111

COUNTY
GENERAL FUND EXPENDITURES

Tax Levy Funds	REVENUES			EXPENDITURES		
	<i>numbers in 000's</i>			<i>numbers in 000's</i>		
	2019 Amended Budget	2019 YTD Actual	% of Budget	2019 Amended Budget	2019 YTD Actual	% of Budget
City General Fund	\$ 148,210	\$ 111,912	75.5%	\$ 150,857	\$ 107,276	71.1%
City Bond & Interest	\$ 34,135	\$ 24,420	71.5%	\$ 36,452	\$ 37,238	102.2%
County General Fund	\$ 64,450	\$ 58,740	91.1%	\$ 64,674	\$ 45,610	70.5%
Cons. Parks General Fund	\$ 6,439	\$ 5,284	82.1%	\$ 6,928	\$ 5,059	73.0%
County Bond & Interest	\$ 4,717	\$ 4,363	92.5%	\$ 5,371	\$ 1,696	31.6%
Aging	\$ 1,876	\$ 1,752	93.4%	\$ 1,918	\$ 1,355	70.6%
Developmental Disabilities	\$ 545	\$ 521	95.5%	\$ 594	\$ 272	45.9%
Elections	\$ 1,317	\$ 1,263	95.9%	\$ 1,484	\$ 865	58.3%
Health	\$ 3,229	\$ 2,580	79.9%	\$ 3,572	\$ 2,502	70.0%
Mental Health	\$ 616	\$ 605	98.1%	\$ 659	\$ 405	61.5%
Total UG Tax Levy Funds	\$ 265,535	\$ 211,440	79.6%	\$ 272,508	\$ 202,279	74.2%

ALL UNIFIED GOVERNMENT TAX LEVY FUNDS

ALL OTHER & TOTAL UG FUNDS

Other Funds	2019 Amended Budget	2019 YTD Actual	% of Budget	2019 Amended Budget	2019 YTD Actual	% of Budget
Alcohol	\$ 570	\$ 418	73.2%	\$ 573	\$ 271	47.2%
Clerk Technology	\$ 40	\$ 31	77.0%	\$ 120	\$ 3	2.6%
Court Trustee	\$ 435	\$ 331	76.1%	\$ 611	\$ 347	56.9%
Dedicated Sales Tax	\$ 10,600	\$ 7,688	72.5%	\$ 11,068	\$ 6,026	54.5%
Emergency Medical Services	\$ 11,824	\$ 8,510	72.0%	\$ 11,927	\$ 8,110	68.0%
Environmental Trust	\$ 1,078	\$ 805	74.6%	\$ 1,130	\$ 367	32.5%
Jail Commissary	\$ 55	\$ 23	42.4%	\$ 60	\$ 2	3.5%
Parks & Recreation	\$ 570	\$ 420	73.6%	\$ 595	\$ 394	66.2%
Public Levee	\$ 340	\$ 258	75.8%	\$ 387	\$ 50	12.8%
Register of Deeds Technology	\$ 160	\$ 123	77.1%	\$ 130	\$ 126	97.0%
Sewer System	\$ 42,628	\$ 30,656	71.9%	\$ 50,923	\$ 23,848	46.8%
Special Assets	\$ -	\$ -		\$ 850	\$ -	0.0%
Stadium	\$ 555	\$ 138	24.9%	\$ 694	\$ 390	56.2%
Stormwater	\$ 3,545	\$ 2,676	75.5%	\$ 5,059	\$ 1,503	29.7%
Street & Highway	\$ 7,105	\$ 5,498	77.4%	\$ 7,830	\$ 5,694	72.7%
Sunflower Hills Golf Course	\$ 679	\$ 580	85.5%	\$ 670	\$ 522	77.8%
Travel & Tourism	\$ 4,018	\$ 2,602	64.8%	\$ 5,825	\$ 1,139	19.6%
Treasury Technology	\$ 40	\$ 31	77.0%	\$ 45	\$ 9	20.5%
Wyandotte County 911	\$ 845	\$ 610	72.2%	\$ 928	\$ 465	50.2%
Total Other Funds	\$ 85,085	\$ 61,398	72.2%	\$ 99,425	\$ 49,268	49.6%
Total Funds	\$ 350,620	\$ 272,838	77.8%	\$ 371,933	\$ 251,546	67.6%



QUESTIONS ??



Staff Request for Commission Action

Tracking No. 191073

Full Commission Meeting Date: NA

Committee: Economic Development & Finance

Date of Standing Committee Action: 12/2/19

(If none, please explain):

Publication Required: No

Date:	Contact Name:	Contact Phone:	Contact Email:	Department/Division:
11/20/2019	Reginald Lindsey	x5292	rlindsey@wycokck.org	Finance

Item Description:

This Third Quarter and Fourth Quarter Budget Revision Report is provided for informational purposes only. No action is required.
Submitted by, Reginald Lindsey, Budget Manager

Action Requested:

For information only.

Budget Impact: (if applicable)

Amount:

Source:

Included In Budget:

Other (explain):

Attachments List:

3rd Quarter 2019 Summary of Budget Revisions \$10K or Greater, 4th Quarter 2019 Summary of Budget Revisions \$50K or Greater

BUDGET REVISIONS \$10,000 OR GREATER - THIRD QUARTER 2019*(For information only)*

ENTRY	FUND	DEPARTMENT	CMIP/OPERATING	DESCRIPTION	FUNDING SOURCE	AMOUNT	DATE APPROVED
1	City General	Police Department	Operating	Promotional Testing	Inside Department	\$17,775	July 18, 2019
2	City General	Police Department	CMIP	Facility Repairs/Maintenance	Inside Department	\$25,000	July 18, 2019
3	County General	Police Department	Operating	Mileage Riemburstment For Dispatch Relocation	Outside	\$40,000	July 18, 2019
4	County General	Sheriff	CMIP	Body Scanner	Inside Department	\$25,000	September 12, 2019
GRAND TOTAL						\$107,775	

BUDGET REVISIONS \$50,000 OR GREATER - FOURTH QUARTER 2019*(For information only)*

ENTRY	FUND	DEPARTMENT	CMIP/OPERATING	DESCRIPTION	FUNDING SOURCE	AMOUNT	DATE APPROVED
1	Water Pollution Enterprise	Public Works	Operating	Oxygen For Treatment Of Waste	Inside Department	\$300,000	October 21, 2019
2	Dedicated Sales Tax	Police Department	CMIP	Special Operating Unit Raid Van	Inside Department	\$50,000	November 6, 2019
GRAND TOTAL							\$350,000

191874

FILED *28*

SEP 04 2019

UNIFIED GOVERNMENT CLERK



Unified Government Clerk's Office

Bridgette D. Cobbins
Unified Government Clerk

701 North 7th Street, Suite 323
Phone: 913-573-5260
Kansas City, Kansas 66101-3070
Fax: 913-573-5299

E-mail: bcobbins@wycokck.org

<http://www.wycokck.org>

REQUEST TO APPEAR FORM

Requestor will be given 5 minutes to speak to
committee

Standing Committee: Request to appear on
12/27/19

Name: Scher Manck

First

Last

Address:

Phone Number:

E-mail address:

Brief description of topic to be discussed:

We would like to address the Northeast Master Plan. We would like to give ideas ~~as to~~ how we can move forward & what the Community wants with our tax money. What is needed for us to thrive on the Northeast Side of town. Our ~~low~~ BPU bills are too expensive and ~~most~~ some are even higher than the rent they pay! Most of the time our UG half of the bill cost more than our light & water charges. T-BONES BACK due bills.

Signature

Manck.



Unified Government Clerk's Office

Bridgette D. Cobbins
Unified Government Clerk

701 North 7th Street, Suite 323
Kansas City, Kansas 66101-3070

Phone: 913-573-5260
Fax: 913-573-5299
<http://www.wycokck.org>

November 21, 2019

Tscher Manck

Ms. Manck:

Your request to appear before a standing committee regarding the Northeast Master Plan and BPU bills has been approved for the following standing committee:

COMMITTEE: Economic Development & Finance Standing Committee

DATE: Monday, December 2, 2019

TIME: immediately upon adjournment of the 5:00 p.m. meeting

LOCATION: Municipal Office Building

701 North 7th Street, 5th floor conference room (Suite 515)
Kansas City, KS 66101

You will be given up to five minutes to make your comments. All comments must pertain to the subject matter.

If you have any questions, do not hesitate to contact me at 573-5260.

Sincerely,

Carol Godsil
Deputy UG Clerk